

**CONTRACT DOCUMENTS
FOR**

ALICE WATER RESERVOIR REHABILITATION

IN THE
CITY OF WAUWATOSA, WISCONSIN

CONTRACT 25-50

PROJECT 5118
QuestCDN No. 9626249



April 11, 2025

**Issued for Board of Public
Works Approval Only
Not to Be Used for Bidding**

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The plans and specifications for this project were prepared by Dixon Engineering and approved by the Board of Public Works.

Date April 16, 2025

David Simpson
Director of Public Works

The plans, specifications, form of contracts and other documents contained in and constituting the contract documents for this project were approved by the Board of Public Works of the City of Wauwatosa, at a meeting held April 16, 2025.

Steven A. Braatz Jr., City Clerk
Wauwatosa, Wisconsin

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SECTION 100 – OFFICIAL NOTICE

OFFICIAL NOTICE TO CONTRACTORS ADVERTISEMENT FOR BIDS

The City of Wauwatosa will receive proposals for utility improvements until 10:01 A.M. Local Time, May 7th, 2025, at which time all bids will be publicly opened and read virtually via use of the Zoom platform. Anyone wishing to participate should visit zoom.us/join and use meeting ID: 858 4894 1097 or you may call in by dialing (312) 626-6799.

CONTRACT 25-50 Alice Water Reservoir Rehabilitation

Under this proposal, the Contractor shall furnish all labor, materials, supplies, equipment, tools and other services necessary for water tower rehabilitation, painting, and work incidental thereto of the Alice Water Reservoir located at 1502 N Alice Street in Wauwatosa all in accordance with contract documents.

Interested parties may view and obtain digital copies of the contract documents, including plans and specifications, from Quest Construction Data Network. Access the QuestCDN website at www.questcdn.com. Input QuestCDN eBidDoc No. 9626249 on the website's Project Search page. No password is required. Contact QuestCDN.com at 952-233-1632 or info@questcdn.com for assistance in downloading and working with the digital documents.

There is a nonrefundable charge of \$25.00 for the plans and contract documents. Plans are also available for viewing only at the Engineering office at City Hall, 7725 W. North Avenue, Wauwatosa, Wisconsin.

The City will accept only online electronic bids through QuestCDN. To access the electronic bid form, download the project documents and click the online bidding button at the top of the advertisement.

All proposals must be submitted in electronic format together with a bid bond equal to five (5) percent of the bid payable to the City of Wauwatosa, Wisconsin, as a guarantee that if his bid is accepted, the successful bidder will execute and file the proper contract and bonds within ten (10) days after notification of award of the contract.

Failure on the part of the successful bidder to execute his contract and *performance and labor & material payment* bonds within ten (10) days from the date of notice of the award of contract will be considered as just cause for the annulment of the award and the forfeiture of the proposal guarantee to the City not as a penalty but in payment to the City as liquidated damages as a result of such failure.

No bid shall be withdrawn after the opening of bids for a period of sixty (60) days after the scheduled time of closing of bids.

The letting of the work described herein is subject to the provisions of Section 66.09, Wisconsin Statutes, requiring the bidder to furnish proof of responsibility. Bidder prequalification is required on forms furnished by the City of Wauwatosa and submitted to the City Engineer. Prequalification forms that are submitted after 5 days preceding the contract letting date may be cause for the rejecting of bids.

TIME OF SUBSTANTIAL COMPLETION

The substantial completion date for Contract 25-50 Alice Water Reservoir Rehabilitation shall be October 31, 2025. Liquidated damages are applicable and begin after 60 days out-of-service or after Substantial Completion date whichever is the earlier date. Liquidated damages at \$1,250/calendar day is to apply after this date. See Section 600 for additional completion requirements.

There will be no other extension of time and no extenuating circumstances, except perhaps an industry strike, or the inability of the City to receive plan and specification approval.

If the contractor does not complete the work on or before the date set forth above for Contract 25-50 Alice Water Reservoir Rehabilitation or within the extra time allowed under a City Engineer granted time extension, the City will assess liquidated damages. The City will deduct \$1,250 for every calendar day that the work remains uncompleted from payments due the contractor.

The Contractor will also be charged for each and every day inspection is required after the time of completion has expired. This charge will be based on the actual costs of inspection, construction supervision, clerical and administrative costs, traffic control and overhead charges.

A required "Affidavit of Compliance" is included in Section 300 and must be submitted with the bid.

The right to reject or accept any or all bids and the right to waive any informality in bidding is reserved to the City of Wauwatosa, Wisconsin.

Dated at Wauwatosa, Wisconsin [_____]

Steven A. Braatz Jr., City Clerk

City of Wauwatosa, Wisconsin

SECTION 200 – INSTRUCTIONS TO BIDDERS

200.01 - **DESCRIPTION OF WORK** The work on this contract consists of the following:

CONTRACT 25-50 Alice Water Reservoir Rehabilitation

Under this proposal, the Contractor shall furnish all labor, materials, supplies, equipment, tools and other services necessary for Exterior Overcoating, Wet Interior Repainting, Caulk Roof Lap Seams, Pit Piping Repaint, Splash Pad Installation, Sample Tap Installation, Overflow Pipe Discharge Modification, Swing Gate Installation, Roof Vent Replacement, and work incidental thereto. The contractor will perform this work at various locations in areas within the City of Wauwatosa, all in accordance with contract documents.

200.02 - **RETURN OF PROPOSAL GUARANTY** The bid deposit(s) of all depositors will be returned after the bids have been accepted by the Common Council and the vouchers for the return of the deposit(s) approved by the Common Council.

200.03 - **TIME OF SUBSTANTIAL COMPLETION** The substantial completion date for Contract 25-50 Alice Water Reservoir Rehabilitation shall be October 31, 2025. Liquidated damages are applicable and begin after 80 days out-of-service or after Substantial Completion date whichever is the earlier date. Liquidated damages at \$1,250/calendar day is to apply after this date. See Section 600 for additional completion requirements.

If the contractor does not complete the work on or before the date set forth above for **25-50 Alice Water Reservoir Rehabilitation** or within the extra time allowed under a City Engineer granted time extension, the City will assess liquidated damages. The City will deduct \$1,250 for every calendar day that the work remains uncompleted from payments due the contractor.

200.04 - **BOND REQUIREMENTS** In addition to the standard full penalty for nonperformance of Contract, the Contractor's attention is directed to Section 500 that requires a second performance bond guaranteeing labor and material payments.

200.05 - **EXAMINATION OF SITE AND CONTRACT DOCUMENTS** The bidder is required to examine carefully the site of the work, the proposal, plans specifications, general conditions, official notice to contractors, contract and bond, all as herein contained and known as the contract documents for the work contemplated; it will be assumed that the bidder has investigated and is satisfied as to the requirements of the contract documents. It is mutually agreed that the submission of a proposal shall be considered as conclusive evidence that the bidder has made such examination and is satisfied as to all the conditions and contingencies.

No pleas of ignorance of conditions that exist or that may hereafter exist, or of conditions or difficulties that may be encountered in the execution of the work under this

Contract, as a result of failure to make the necessary examinations and investigations will be accepted as an excuse for any failure or omission on the part of the Contractor to fulfill, in every detail, all of the requirements of the contract documents, or will be accepted as a basis for any claims whatsoever for extra compensation or for an extension of time.

200.06 - INTERPRETATION OF CONTRACT DOCUMENTS AND ADDENDA Should any question arise concerning the true meaning of any part of the contract documents, the bidder may submit to the City Engineer a written request for an interpretation thereof. The interpretation of the question so requested will be made as an addendum and either mailed or delivered to all bidders who receive contract documents.

Addenda: Bidders shall acknowledge receipt and incorporation of all addenda at the appropriate location provided in the proposal. Any addenda issued during the time of bidding shall be included with the bid, and in closing a contract they will become a part thereof.

200.07 - PREPARATION OF PROPOSALS The bidder can ONLY submit his proposal through the QuestCDN electronic bidding format. A nominal fee will be charged to the Bidder for an electronic submission of a proposal through QuestCDN.

Wisconsin Statute 77.54(9m) allows a sales and use tax exemption for certain building materials sold to construction contractors for incorporation into public works projects. To claim the exemption, contractors must prepare Wisconsin Form S-211, Sales and Use Tax Exemption Certificate and provide the form to their supplier in compliance with WI 77.54(9m) when purchasing supplies covered by this statute. All other materials, supplies, and equipment purchased by a contractor, sub contractor, or builder for the construction of the work specified under this contract is subject to all applicable sales tax. Proposals are to include all applicable sales tax.

200.08 - REQUIREMENTS FOR SIGNING BIDS

- a) Bids, which are not signed by individuals making them, shall have attached thereto a power of attorney evidencing authority to sign the bid in the name of the person for whom it is signed.
- b) Bids, which are signed for a partnership, shall be signed by all of the partners or by an attorney-in-fact. If signed by an attorney-in-fact there shall be attached to the bid a power-of-attorney evidencing authority to sign the bid, executed by the partners.
- c) Bids, which are signed for a corporation, shall have the corporate name thereof and the signature of the President or other authorized officers of the Corporation, manually written below the corporate name following the word "By _____."

200.09 - INTERPRETATION OF ESTIMATES The estimated quantities of the work, which are the results of calculations as accurate as possible in advance, shall be used as a basis for determining the lowest bidder. After the contract is awarded, the quantity of work listed under any item, or all items, may be increased or decreased a reasonable amount at the discretion of the City Engineer without in any way invalidating the bid price. The quantities on which payment will be made to the contractor will be determined by the City Engineer who shall measure the work actually performed by the contractor as specified in the contract.

Bidders must determine for themselves the quantities of work that will be required, by such means as they may prefer, and shall assume all risks as to variations in the quantities of the different classes of work actually performed under the contract. Bidders shall not at any time after the submission of their proposal dispute or complain of the aforesaid schedule of quantities or assert that there was any misunderstanding in regard to the amount or character of the work to be done, and shall not make any claim for damages or loss of profits because of a difference between the quantities of work assumed for comparison of bids and the quantities of work actually performed.

200.10 - WHEN AWARD EFFECTUAL The contract shall be deemed as having been awarded when formal notice of award shall have been duly served upon the intended awardee (i.e., the bidder to whom the City contemplates awarding the contract) by some officer or agent of the City duly authorized to give such notice.

200.11 - REQUIRED NUMBER OF EXECUTED CONTRACTS The successful bidder will be required, after the award of the contract, to furnish four (4) counterparts of the contract and bond, no later than 10 days after notification of the award of the contract.

200.12 - WITHDRAWAL OF BIDS Any bidder may withdraw his bid at any time prior to the scheduled time for the receipt of bids.

200.13 - DELIVERY OF PROPOSALS - The bidder can ONLY submit his proposal through the QuestCDN electronic bidding format. A nominal fee will be charged to the Bidder for an electronic submission of a proposal through QuestCDN.

Please note that returning the entire Project Manual is not required; the relevant contract forms, proposals, etc. shall be considered sufficiently complete when submitted through the QuestCDN on-line bidding process.

200.14 - REJECTION OF PROPOSALS Proposals may be rejected, if they show any alterations of form, additions not called for, conditional or alternate bids unless called for, incomplete bids, or irregularities of any kind. Proposals in which the unit prices are obviously unbalanced may be rejected.

200.15 - PROPOSAL GUARANTY No proposal will be considered unless the bid is accompanied by either of the following proposal guarantees:

a) Bid Bond The bidder may accompany his proposal with a bid bond equal to at least five percent (5%) but not more than ten percent (10%) of his bid, made payable to the City of Wauwatosa, Wisconsin, as a guarantee that if his bid is accepted he will execute and file the proper contract and bond within ten (10) days after notification of the award of the contract.

b) Certified Check The bidder may accompany his proposal with a certified check for at least five percent (5%) of the total amount of his bid, made payable to the City of Wauwatosa, Wisconsin, as a guaranty that if his bid is accepted he will execute and file the proper contract and bond within ten (10) days after notification of the award of the contract.

Failure on the part of the successful bidder to execute his contract and performance bond within ten (10) days from the date of notice of the award of contract will be considered as just cause for the annulment of the award and the forfeiture of the proposal guarantee to the City not as a penalty but in payment to the City as liquidated damages as a result of such failure.

200.16 - CONSIDERATION OF PROPOSALS The City reserves the right to reject any or all proposals, to waive technicalities, and to advertise for new proposals, or to proceed to do the work otherwise.

Before any contract is awarded, the bidder may be required to furnish a complete statement of the origin, composition and manufacture of any or all materials to be used in the construction of the work, together with samples, which may be subjected to tests provided for in these specifications to determine their quality and fitness for the work.

200.17 – PAYMENT

The City will not accept or respond to payment application requests from subcontractors.

No interest will be paid by the Owner for any delay in making any payment unless the Contractor makes written demand of the Owner for payment of interest for any such delay. In no event, however, will any interest be payable for the 10 day period following the 15th of the calendar month. Interest will be payable at the rate of 5% annually and Wisconsin Statutes Section 66.01335 does not apply.

200.18 - RESPONSIBILITY OF THE CONTRACTOR The Contractor, under this contract, shall protect the City against any damage to the equipment and material being used or installed. Any damage occurring because of failure on the part of the equipment, employees, or supervisors, shall be repaired or replaced by the contractor without cost to the City.

200.19 - PREQUALIFICATIONS OF BIDDERS All bidders are to furnish proof of responsibility by completing the prequalification form furnished by the City of

Wauwatosa. This form is to be obtained from the City Engineer's office and is to be returned to the City Engineer's office in the City Hall of Wauwatosa, Wisconsin, not less than five (5) days prior to the time set for opening of bids as stated in the Official Notice.

200.20 - SUBSTANCE ABUSE PREVENTION PROGRAM

By signing this Bid, the Bidder certifies to the City of Wauwatosa that it has, or will have prior to Contract award, a substance abuse prevention program which complies with State of Wisconsin Act 181 (Chapter 103.503 of the State Statutes) and Section 505.09 - SUBSTANCE ABUSE PREVENTION PROGRAM of these documents. The program must cover all union and non-union employees who work on the Owner's construction sites. Failure to implement such a program prior to award shall result in the Bidder being held to be non-responsible. Following award of the Contract if the Contractor breaches the District Policy by failing to have or to effectively implement the policy, the Owner shall consider this a breach of the Contract by the Contractor and may terminate the Contract. This requirement shall be applicable to all subcontractors with subcontracts in excess of one percent (1%) of the bid.

SECTION 300 - PROPOSAL

CONTRACT 25-50 Alice Water Reservoir Rehabilitation

Bids to be received until 10:01 A.M. Local Time, May 7, 2025.

TO: CITY OF WAUWATOSA
WAUWATOSA, WISCONSIN

The undersigned, having familiarized oneself with the local conditions affecting the work and with the contract documents including advertisement for bids, instruction to bidders, general conditions, the form of proposal, the form of contract, form of bond, plan, specifications on file in the office of the City Clerk of the City of Wauwatosa, Wisconsin, hereby proposes to perform everything required to be performed and to provide and furnish all labor, materials, supplies, equipment, tools and other services necessary for Exterior Overcoating, Wet Interior Repainting, Caulk Roof Lap Seams, Pit Piping Repaint, Splash Pad Installation, Sample Tap Installation, Overflow Pipe Discharge Modification, Swing Gate Installation, Roof Vent Replacement, and work incidental thereto all in accordance with the plans and specifications as prepared Dixon Engineering, including all addenda issued hereto for the prices as listed below.

Addenda: The bidder hereby acknowledges that they have received Addenda Nos. ___, ___, ___, (Bidder shall insert No. of each addendum received) and agrees that all addenda are hereby made part of the Contract Documents, and Bidder further agrees that their bid(s) includes all impact resulting from said addenda.

25-50 Alice Water Reservoir Rehabilitation

DIVISION A - Concrete Work

NO.	ITEM	QUANTITY	UNIT	UNIT PRICE	TOTAL
A1	Splash Pad	1	EA	\$	\$

\$

TOTAL FOR DIVISION A – Concrete Work (ITEM A1)

DIVISION B – Metal Work

NO.	ITEM	QUANTITY	UNIT	UNIT PRICE	TOTAL
B1	Sample Tap	1	EA	\$	\$
B2	Overflow Pipe Discharge Modification	1	EA	\$	\$
B3	Swing Gate	1	EA	\$	\$
B4	Roof Vent	1	EA	\$	\$

\$

TOTAL FOR DIVISION B – Tower Steel Work (ITEMS B1 – B4)

DIVISION C – Painting

NO.	ITEM	QUANTITY	UNIT	UNIT PRICE	TOTAL
C1	Exterior Overcoat	1	EA	\$	\$
C2	Wet Interior Repaint	1	EA	\$	\$
C3	Seam Sealer	1	EA	\$	\$
C4	Pit Piping Repaint	1	EA	\$	\$

TOTAL FOR DIVISION C – Tower Paint (ITEMS C1 – C4)

\$

TOTAL FOR CONTRACT 25-50 Alice Water Reservoir Rehabilitation

DIVISION A, DIVISION B AND DIVISION C

\$

DIVISION D – Alternate Bid if Contractor intends to cut an access door through the sidewall

Contractor to indicate if they intend to cut a door sheet – Y or N

NO.	ITEM	QUANTITY	UNIT	UNIT PRICE	TOTAL
D1	Access Door (Contractor's Option)	1	EA	\$8,000	\$8,000

TOTAL FOR DIVISION D – Alternate Bid (ITEM D1)

\$

**AFFIDAVIT OF COMPLIANCE WITH THE STATE OF WISCONSIN ACT 181
(CHAPTER 103.503 OF THE STATE STATUTES)
CONTROLLED SUBSTANCE PREVENTION PROGRAM**

State of _____ Project Name _____

_____ County Contract No. _____

I, _____, being duly sworn, state that:

1. I am the _____ of _____, a _____ (State) Corporation, partnership, or individual of _____ (City, Village, _____ (State) and make this affidavit pursuant to the requirements of State of Wisconsin Act 181.
2. I have entered into the City of Wauwatosa's Contract No. _____ and the total cost (including labor, equipment and materials) of completing the contract will exceed \$48,000 if a single-trade project or \$200,000 if a multiple trade project.
3. The corporation, partnership or individual I represent has in place a Controlled Substance Prevention Program that is consistent with and meets the requirements of the State of Wisconsin Act 181.
4. I have confirmed that the subcontractors I plan to employ on this contract also have in place a Controlled Substance Prevention Program that is consistent with and meets the requirements of the State of Wisconsin Act 181.

<u>Title</u>	<u>Officer Name</u>	<u>Address</u>
President	_____	_____
Vice President	_____	_____
Secretary	_____	_____
Treasurer	_____	_____

Subscribed and sworn to before me this _____

Day of _____, 20 _____

(Notary Signature)

(Contractor Signature)

Notary Public, State of _____

My Commission expires: _____

2. Accompanying this proposal is a _____
(Bond-Certified Check)
in the sum of _____ Dollars
\$ _____ as required by the Advertisement for Bids.

3. This bid is based on the following subcontractors:

<u>Name</u>	<u>Address</u>	<u>Class of Work</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

4. I hereby certify that all statements herein are made on behalf of

(Name of Corporation, partnership or person submitting bid)

a corporation organized and existing under the laws of the State of _____;
a partnership consisting of an individual trading as _____
of the City of _____
State of _____.

that I have examined and carefully prepared this proposal from the plans and specifications and have checked the same in detail before submitting this proposal; that I have full authority to make such statements and submit this proposal in (its) (their) behalf, and that the said statements are true and correct.

Signature _____

(Title, if any)

Sworn and subscribed before me this _____ day of _____, 20__.

(Notary or other officer authorized to administer oaths).

My Commission expires _____.

(Bidders should not add any conditions or qualifying statements to this proposal, as otherwise the proposal may be declared irregular as being not responsive to the advertisement. Do not remove Proposal Form from Contract Documents)

SCHEDULE OF FIXED EXTRAS

CONSTRUCTION:

1. Foundation Coating
2. Replace the sidewall manway gaskets
3. Install deflector bars over the draw pipe.
4. Install a chemical feed tap on the draw pipe.

SECTION 400 –MINIMUM WAGE SCALE

Minimum wage scale intentionally omitted pursuant to 2015 Wisconsin Act 55, effective January 1, 2017.

**SECTION 500 - STANDARD GENERAL CONDITIONS
OF THE CONSTRUCTION CONTRACT**

STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

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STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

ARTICLE 1—DEFINITIONS AND TERMINOLOGY

1.01 *Defined Terms*

- A. Wherever used in the Bidding Requirements or Contract Documents, a term printed with initial capital letters, including the term's singular and plural forms, will have the meaning indicated in the definitions below. In addition to terms specifically defined, terms with initial capital letters in the Contract Documents include references to identified articles and paragraphs, and the titles of other documents or forms.
1. *Addenda*—Written or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bidding Requirements or the proposed Contract Documents.
 2. *Agreement*—The written instrument, executed by Owner and Contractor, that sets forth the Contract Price and Contract Times, identifies the parties and the Engineer, and designates the specific items that are Contract Documents.
 3. *Application for Payment*—The document prepared by Contractor, in a form acceptable to Engineer, to request progress or final payments, and which is to be accompanied by such supporting documentation as is required by the Contract Documents.
 4. *Bid*—The offer of a Bidder submitted on the prescribed form setting forth the prices for the Work to be performed.
 5. *Bidder*—An individual or entity that submits a Bid to Owner.
 6. *Bidding Documents*—The Bidding Requirements, the proposed Contract Documents, and all Addenda.
 7. *Bidding Requirements*—The Advertisement or invitation to bid, Instructions to Bidders, Bid Bond or other Bid security, if any, the Bid Form, and the Bid with any attachments.
 8. *Change Order*—A document which is signed by Contractor and Owner and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, or other revision to the Contract, issued on or after the Effective Date of the Contract.
 9. *Change Proposal*—A written request by Contractor, duly submitted in compliance with the procedural requirements set forth herein, seeking an adjustment in Contract Price or Contract Times; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; challenging a set-off against payments due; or seeking other relief with respect to the terms of the Contract.
 10. *Claim*
 - a. A demand or assertion by Owner directly to Contractor, duly submitted in compliance with the procedural requirements set forth herein, seeking an adjustment of Contract Price or Contract Times; contesting an initial decision by Engineer concerning the

- requirements of the Contract Documents or the acceptability of Work under the Contract Documents; contesting Engineer's decision regarding a Change Proposal; seeking resolution of a contractual issue that Engineer has declined to address; or seeking other relief with respect to the terms of the Contract.
- b. A demand or assertion by Contractor directly to Owner, duly submitted in compliance with the procedural requirements set forth herein, contesting Engineer's decision regarding a Change Proposal, or seeking resolution of a contractual issue that Engineer has declined to address.
 - c. A demand or assertion by Owner or Contractor, duly submitted in compliance with the procedural requirements set forth herein, made pursuant to Paragraph 12.01.A.4, concerning disputes arising after Engineer has issued a recommendation of final payment.
 - d. A demand for money or services by a third party is not a Claim.
11. *Constituent of Concern*—Asbestos, petroleum, radioactive materials, polychlorinated biphenyls (PCBs), lead-based paint (as defined by the HUD/EPA standard), hazardous waste, and any substance, product, waste, or other material of any nature whatsoever that is or becomes listed, regulated, or addressed pursuant to Laws and Regulations regulating, relating to, or imposing liability or standards of conduct concerning, any hazardous, toxic, or dangerous waste, substance, or material.
 12. *Contract*—The entire and integrated written contract between Owner and Contractor concerning the Work.
 13. *Contract Documents*—Those items so designated in the Agreement, and which together comprise the Contract.
 14. *Contract Price*—The money that Owner has agreed to pay Contractor for completion of the Work in accordance with the Contract Documents.
 15. *Contract Times*—The number of days or the dates by which Contractor shall: (a) achieve Milestones, if any; (b) achieve Substantial Completion; and (c) complete the Work.
 16. *Contractor*—The individual or entity with which Owner has contracted for performance of the Work.
 17. *Cost of the Work*—See Paragraph 13.01 for definition.
 18. *Drawings*—The part of the Contract that graphically shows the scope, extent, and character of the Work to be performed by Contractor.
 19. *Effective Date of the Contract*—The date, indicated in the Agreement, on which the Contract becomes effective.
 20. *Electronic Document*—Any Project-related correspondence, attachments to correspondence, data, documents, drawings, information, or graphics, including but not limited to Shop Drawings and other Submittals, that are in an electronic or digital format.
 21. *Electronic Means*—Electronic mail (email), upload/download from a secure Project website, or other communications methods that allow: (a) the transmission or communication of Electronic Documents; (b) the documentation of transmissions, including sending and receipt; (c) printing of the transmitted Electronic Document by the

recipient; (d) the storage and archiving of the Electronic Document by sender and recipient; and (e) the use by recipient of the Electronic Document for purposes permitted by this Contract. Electronic Means does not include the use of text messaging, or of Facebook, Twitter, Instagram, or similar social media services for transmission of Electronic Documents.

22. *Engineer*—The individual or entity named as such in the Agreement.
23. *Field Order*—A written order issued by Engineer which requires minor changes in the Work but does not change the Contract Price or the Contract Times.
24. *Hazardous Environmental Condition*—The presence at the Site of Constituents of Concern in such quantities or circumstances that may present a danger to persons or property exposed thereto.
 - a. The presence at the Site of materials that are necessary for the execution of the Work, or that are to be incorporated into the Work, and that are controlled and contained pursuant to industry practices, Laws and Regulations, and the requirements of the Contract, is not a Hazardous Environmental Condition.
 - b. The presence of Constituents of Concern that are to be removed or remediated as part of the Work is not a Hazardous Environmental Condition.
 - c. The presence of Constituents of Concern as part of the routine, anticipated, and obvious working conditions at the Site, is not a Hazardous Environmental Condition.
25. *Laws and Regulations; Laws or Regulations*—Any and all applicable laws, statutes, rules, regulations, ordinances, codes, and binding decrees, resolutions, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.
26. *Liens*—Charges, security interests, or encumbrances upon Contract-related funds, real property, or personal property.
27. *Milestone*—A principal event in the performance of the Work that the Contract requires Contractor to achieve by an intermediate completion date, or by a time prior to Substantial Completion of all the Work.
28. *Notice of Award*—The written notice by Owner to a Bidder of Owner's acceptance of the Bid.
29. *Notice to Proceed*—A written notice by Owner to Contractor fixing the date on which the Contract Times will commence to run and on which Contractor shall start to perform the Work.
30. *Owner*—The individual or entity with which Contractor has contracted regarding the Work, and which has agreed to pay Contractor for the performance of the Work, pursuant to the terms of the Contract.
31. *Progress Schedule*—A schedule, prepared and maintained by Contractor, describing the sequence and duration of the activities comprising Contractor's plan to accomplish the Work within the Contract Times.
32. *Project*—The total undertaking to be accomplished for Owner by engineers, contractors, and others, including planning, study, design, construction, testing, commissioning, and start-up, and of which the Work to be performed under the Contract Documents is a part.

33. *Resident Project Representative*—The authorized representative of Engineer assigned to assist Engineer at the Site. As used herein, the term Resident Project Representative (RPR) includes any assistants or field staff of Resident Project Representative.
34. *Samples*—Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and that establish the standards by which such portion of the Work will be judged.
35. *Schedule of Submittals*—A schedule, prepared and maintained by Contractor, of required submittals and the time requirements for Engineer’s review of the submittals.
36. *Schedule of Values*—A schedule, prepared and maintained by Contractor, allocating portions of the Contract Price to various portions of the Work and used as the basis for reviewing Contractor’s Applications for Payment.
37. *Shop Drawings*—All drawings, diagrams, illustrations, schedules, and other data or information that are specifically prepared or assembled by or for Contractor and submitted by Contractor to illustrate some portion of the Work. Shop Drawings, whether approved or not, are not Drawings and are not Contract Documents.
38. *Site*—Lands or areas indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements, and such other lands or areas furnished by Owner which are designated for the use of Contractor.
39. *Specifications*—The part of the Contract that consists of written requirements for materials, equipment, systems, standards, and workmanship as applied to the Work, and certain administrative requirements and procedural matters applicable to the Work.
40. *Subcontractor*—An individual or entity having a direct contract with Contractor or with any other Subcontractor for the performance of a part of the Work.
41. *Submittal*—A written or graphic document, prepared by or for Contractor, which the Contract Documents require Contractor to submit to Engineer, or that is indicated as a Submittal in the Schedule of Submittals accepted by Engineer. Submittals may include Shop Drawings and Samples; schedules; product data; Owner-delegated designs; sustainable design information; information on special procedures; testing plans; results of tests and evaluations, source quality-control testing and inspections, and field or Site quality-control testing and inspections; warranties and certifications; Suppliers’ instructions and reports; records of delivery of spare parts and tools; operations and maintenance data; Project photographic documentation; record documents; and other such documents required by the Contract Documents. Submittals, whether or not approved or accepted by Engineer, are not Contract Documents. Change Proposals, Change Orders, Claims, notices, Applications for Payment, and requests for interpretation or clarification are not Submittals.
42. *Substantial Completion*—The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms “substantially complete” and “substantially completed” as applied to all or part of the Work refer to Substantial Completion of such Work.

43. *Successful Bidder*—The Bidder to which the Owner makes an award of contract.
44. *Supplementary Conditions*—The part of the Contract that amends or supplements these General Conditions.
45. *Supplier*—A manufacturer, fabricator, supplier, distributor, or vendor having a direct contract with Contractor or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by Contractor or a Subcontractor.
46. *Technical Data*
- a. Those items expressly identified as Technical Data in the Supplementary Conditions, with respect to either (1) existing subsurface conditions at or adjacent to the Site, or existing physical conditions at or adjacent to the Site including existing surface or subsurface structures (except Underground Facilities) or (2) Hazardous Environmental Conditions at the Site.
 - b. If no such express identifications of Technical Data have been made with respect to conditions at the Site, then Technical Data is defined, with respect to conditions at the Site under Paragraphs 5.03, 5.04, and 5.06, as the data contained in boring logs, recorded measurements of subsurface water levels, assessments of the condition of subsurface facilities, laboratory test results, and other factual, objective information regarding conditions at the Site that are set forth in any geotechnical, environmental, or other Site or facilities conditions report prepared for the Project and made available to Contractor.
 - c. Information and data regarding the presence or location of Underground Facilities are not intended to be categorized, identified, or defined as Technical Data, and instead Underground Facilities are shown or indicated on the Drawings.
47. *Underground Facilities*—All active or not-in-service underground lines, pipelines, conduits, ducts, encasements, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or systems at the Site, including but not limited to those facilities or systems that produce, transmit, distribute, or convey telephone or other communications, cable television, fiber optic transmissions, power, electricity, light, heat, gases, oil, crude oil products, liquid petroleum products, water, steam, waste, wastewater, storm water, other liquids or chemicals, or traffic or other control systems. An abandoned facility or system is not an Underground Facility.
48. *Unit Price Work*—Work to be paid for on the basis of unit prices.
49. *Work*—The entire construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the result of performing or providing all labor, services, and documentation necessary to produce such construction; furnishing, installing, and incorporating all materials and equipment into such construction; and may include related services such as testing, start-up, and commissioning, all as required by the Contract Documents.
50. *Work Change Directive*—A written directive to Contractor issued on or after the Effective Date of the Contract, signed by Owner and recommended by Engineer, ordering an addition, deletion, or revision in the Work.

1.02 Terminology

- A. The words and terms discussed in Paragraphs 1.02.B, C, D, and E are not defined terms that require initial capital letters, but, when used in the Bidding Requirements or Contract Documents, have the indicated meaning.
- B. *Intent of Certain Terms or Adjectives:* The Contract Documents include the terms “as allowed,” “as approved,” “as ordered,” “as directed” or terms of like effect or import to authorize an exercise of professional judgment by Engineer. In addition, the adjectives “reasonable,” “suitable,” “acceptable,” “proper,” “satisfactory,” or adjectives of like effect or import are used to describe an action or determination of Engineer as to the Work. It is intended that such exercise of professional judgment, action, or determination will be solely to evaluate, in general, the Work for compliance with the information in the Contract Documents and with the design concept of the Project as a functioning whole as shown or indicated in the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective is not intended to and shall not be effective to assign to Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility contrary to the provisions of Article 10 or any other provision of the Contract Documents.
- C. *Day:* The word “day” means a calendar day of 24 hours measured from midnight to the next midnight.
- D. *Defective:* The word “defective,” when modifying the word “Work,” refers to Work that is unsatisfactory, faulty, or deficient in that it:
 - 1. does not conform to the Contract Documents;
 - 2. does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents; or
 - 3. has been damaged prior to Engineer’s recommendation of final payment (unless responsibility for the protection thereof has been assumed by Owner at Substantial Completion in accordance with Paragraph 15.03 or Paragraph 15.04).
- E. *Furnish, Install, Perform, Provide*
 - 1. The word “furnish,” when used in connection with services, materials, or equipment, means to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.
 - 2. The word “install,” when used in connection with services, materials, or equipment, means to put into use or place in final position said services, materials, or equipment complete and ready for intended use.
 - 3. The words “perform” or “provide,” when used in connection with services, materials, or equipment, means to furnish and install said services, materials, or equipment complete and ready for intended use.
 - 4. If the Contract Documents establish an obligation of Contractor with respect to specific services, materials, or equipment, but do not expressly use any of the four words “furnish,” “install,” “perform,” or “provide,” then Contractor shall furnish and install said services, materials, or equipment complete and ready for intended use.

- F. *Contract Price or Contract Times*: References to a change in “Contract Price or Contract Times” or “Contract Times or Contract Price” or similar, indicate that such change applies to (1) Contract Price, (2) Contract Times, or (3) both Contract Price and Contract Times, as warranted, even if the term “or both” is not expressed.
- G. Unless stated otherwise in the Contract Documents, words or phrases that have a well-known technical or construction industry or trade meaning are used in the Contract Documents in accordance with such recognized meaning.

ARTICLE 2—PRELIMINARY MATTERS

2.01 *Delivery of Performance and Payment Bonds; Evidence of Insurance*

- A. *Performance and Payment Bonds*: When Contractor delivers the signed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner the performance bond and payment bond (if the Contract requires Contractor to furnish such bonds).
- B. *Evidence of Contractor’s Insurance*: When Contractor delivers the signed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner, with copies to each additional insured (as identified in the Contract), the certificates, endorsements, and other evidence of insurance required to be provided by Contractor in accordance with Article 6, except to the extent the Supplementary Conditions expressly establish other dates for delivery of specific insurance policies.
- C. *Evidence of Owner’s Insurance*: After receipt of the signed counterparts of the Agreement and all required bonds and insurance documentation, Owner shall promptly deliver to Contractor, with copies to each additional insured (as identified in the Contract), the certificates and other evidence of insurance required to be provided by Owner under Article 6.

2.02 *Copies of Documents*

- A. Owner shall furnish to Contractor four printed copies of the Contract (including one fully signed counterpart of the Agreement), and one copy in electronic portable document format (PDF). Additional printed copies will be furnished upon request at the cost of reproduction.
- B. Owner shall maintain and safeguard at least one original printed record version of the Contract, including Drawings and Specifications signed and sealed by Engineer and other design professionals. Owner shall make such original printed record version of the Contract available to Contractor for review. Owner may delegate the responsibilities under this provision to Engineer.

2.03 *Before Starting Construction*

- A. *Preliminary Schedules*: Within 10 days after the Effective Date of the Contract (or as otherwise required by the Contract Documents), Contractor shall submit to Engineer for timely review:
 - 1. a preliminary Progress Schedule indicating the times (numbers of days or dates) for starting and completing the various stages of the Work, including any Milestones specified in the Contract;
 - 2. a preliminary Schedule of Submittals; and
 - 3. a preliminary Schedule of Values for all of the Work which includes quantities and prices of items which when added together equal the Contract Price and subdivides the Work

into component parts in sufficient detail to serve as the basis for progress payments during performance of the Work. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work.

2.04 *Preconstruction Conference; Designation of Authorized Representatives*

- A. Before any Work at the Site is started, a conference attended by Owner, Contractor, Engineer, and others as appropriate will be held to establish a working understanding among the parties as to the Work, and to discuss the schedules referred to in Paragraph 2.03.A, procedures for handling Shop Drawings, Samples, and other Submittals, processing Applications for Payment, electronic or digital transmittals, and maintaining required records.
- B. At this conference Owner and Contractor each shall designate, in writing, a specific individual to act as its authorized representative with respect to the services and responsibilities under the Contract. Such individuals shall have the authority to transmit and receive information, render decisions relative to the Contract, and otherwise act on behalf of each respective party.

2.05 *Acceptance of Schedules*

- A. At least 10 days before submission of the first Application for Payment a conference, attended by Contractor, Engineer, and others as appropriate, will be held to review the schedules submitted in accordance with Paragraph 2.03.A. No progress payment will be made to Contractor until acceptable schedules are submitted to Engineer.
 - 1. The Progress Schedule will be acceptable to Engineer if it provides an orderly progression of the Work to completion within the Contract Times. Such acceptance will not impose on Engineer responsibility for the Progress Schedule, for sequencing, scheduling, or progress of the Work, nor interfere with or relieve Contractor from Contractor's full responsibility therefor.
 - 2. Contractor's Schedule of Submittals will be acceptable to Engineer if it provides a workable arrangement for reviewing and processing the required submittals.
 - 3. Contractor's Schedule of Values will be acceptable to Engineer as to form and substance if it provides a reasonable allocation of the Contract Price to the component parts of the Work.
 - 4. If a schedule is not acceptable, Contractor will have an additional 10 days to revise and resubmit the schedule.

2.06 *Electronic Transmittals*

- A. Except as otherwise stated elsewhere in the Contract, the Owner, Engineer, and Contractor may send, and shall accept, Electronic Documents transmitted by Electronic Means.
- B. If the Contract does not establish protocols for Electronic Means, then Owner, Engineer, and Contractor shall jointly develop such protocols.
- C. Subject to any governing protocols for Electronic Means, when transmitting Electronic Documents by Electronic Means, the transmitting party makes no representations as to long-term compatibility, usability, or readability of the Electronic Documents resulting from the recipient's use of software application packages, operating systems, or computer hardware differing from those used in the drafting or transmittal of the Electronic Documents.

ARTICLE 3—CONTRACT DOCUMENTS: INTENT, REQUIREMENTS, REUSE

3.01 *Intent*

- A. The Contract Documents are complementary; what is required by one Contract Document is as binding as if required by all.
- B. It is the intent of the Contract Documents to describe a functionally complete Project (or part thereof) to be constructed in accordance with the Contract Documents.
- C. Unless otherwise stated in the Contract Documents, if there is a discrepancy between the electronic versions of the Contract Documents (including any printed copies derived from such electronic versions) and the printed record version, the printed record version will govern.
- D. The Contract supersedes prior negotiations, representations, and agreements, whether written or oral.
- E. Engineer will issue clarifications and interpretations of the Contract Documents as provided herein.
- F. Any provision or part of the Contract Documents held to be void or unenforceable under any Law or Regulation will be deemed stricken, and all remaining provisions will continue to be valid and binding upon Owner and Contractor, which agree that the Contract Documents will be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.
- G. Nothing in the Contract Documents creates:
 - 1. any contractual relationship between Owner or Engineer and any Subcontractor, Supplier, or other individual or entity performing or furnishing any of the Work, for the benefit of such Subcontractor, Supplier, or other individual or entity; or
 - 2. any obligation on the part of Owner or Engineer to pay or to see to the payment of any money due any such Subcontractor, Supplier, or other individual or entity, except as may otherwise be required by Laws and Regulations.

3.02 *Reference Standards*

- A. *Standards Specifications, Codes, Laws and Regulations*
 - 1. Reference in the Contract Documents to standard specifications, manuals, reference standards, or codes of any technical society, organization, or association, or to Laws or Regulations, whether such reference be specific or by implication, means the standard specification, manual, reference standard, code, or Laws or Regulations in effect at the time of opening of Bids (or on the Effective Date of the Contract if there were no Bids), except as may be otherwise specifically stated in the Contract Documents.
 - 2. No provision of any such standard specification, manual, reference standard, or code, and no instruction of a Supplier, will be effective to change the duties or responsibilities of Owner, Contractor, or Engineer from those set forth in the part of the Contract Documents prepared by or for Engineer. No such provision or instruction shall be effective to assign to Owner or Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility

inconsistent with the provisions of the part of the Contract Documents prepared by or for Engineer.

3.03 *Reporting and Resolving Discrepancies*

A. *Reporting Discrepancies*

1. *Contractor's Verification of Figures and Field Measurements:* Before undertaking each part of the Work, Contractor shall carefully study the Contract Documents, and check and verify pertinent figures and dimensions therein, particularly with respect to applicable field measurements. Contractor shall promptly report in writing to Engineer any conflict, error, ambiguity, or discrepancy that Contractor discovers, or has actual knowledge of, and shall not proceed with any Work affected thereby until the conflict, error, ambiguity, or discrepancy is resolved by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract issued pursuant to Paragraph 11.01.
2. *Contractor's Review of Contract Documents:* If, before or during the performance of the Work, Contractor discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents, or between the Contract Documents and (a) any applicable Law or Regulation, (b) actual field conditions, (c) any standard specification, manual, reference standard, or code, or (d) any instruction of any Supplier, then Contractor shall promptly report it to Engineer in writing. Contractor shall not proceed with the Work affected thereby (except in an emergency as required by Paragraph 7.15) until the conflict, error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract issued pursuant to Paragraph 11.01.
3. Contractor shall not be liable to Owner or Engineer for failure to report any conflict, error, ambiguity, or discrepancy in the Contract Documents unless Contractor had actual knowledge thereof.

B. *Resolving Discrepancies*

1. Except as may be otherwise specifically stated in the Contract Documents, the provisions of the part of the Contract Documents prepared by or for Engineer take precedence in resolving any conflict, error, ambiguity, or discrepancy between such provisions of the Contract Documents and:
 - a. the provisions of any standard specification, manual, reference standard, or code, or the instruction of any Supplier (whether or not specifically incorporated by reference as a Contract Document); or
 - b. the provisions of any Laws or Regulations applicable to the performance of the Work (unless such an interpretation of the provisions of the Contract Documents would result in violation of such Law or Regulation).

3.04 *Requirements of the Contract Documents*

- A. During the performance of the Work and until final payment, Contractor and Owner shall submit to the Engineer in writing all matters in question concerning the requirements of the Contract Documents (sometimes referred to as requests for information or interpretation—RFIs), or relating to the acceptability of the Work under the Contract Documents, as soon as possible after such matters arise. Engineer will be the initial interpreter of the requirements of the Contract Documents, and judge of the acceptability of the Work.

- B. Engineer will, with reasonable promptness, render a written clarification, interpretation, or decision on the issue submitted, or initiate an amendment or supplement to the Contract Documents. Engineer's written clarification, interpretation, or decision will be final and binding on Contractor, unless it appeals by submitting a Change Proposal, and on Owner, unless it appeals by filing a Claim.
- C. If a submitted matter in question concerns terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work under the Contract Documents, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, then Engineer will promptly notify Owner and Contractor in writing that Engineer is unable to provide a decision or interpretation. If Owner and Contractor are unable to agree on resolution of such a matter in question, either party may pursue resolution as provided in Article 12.

3.05 *Reuse of Documents*

- A. Contractor and its Subcontractors and Suppliers shall not:
 - 1. have or acquire any title to or ownership rights in any of the Drawings, Specifications, or other documents (or copies of any thereof) prepared by or bearing the seal of Engineer or its consultants, including electronic media versions, or reuse any such Drawings, Specifications, other documents, or copies thereof on extensions of the Project or any other project without written consent of Owner and Engineer and specific written verification or adaptation by Engineer; or
 - 2. have or acquire any title or ownership rights in any other Contract Documents, reuse any such Contract Documents for any purpose without Owner's express written consent, or violate any copyrights pertaining to such Contract Documents.
- B. The prohibitions of this Paragraph 3.05 will survive final payment, or termination of the Contract. Nothing herein precludes Contractor from retaining copies of the Contract Documents for record purposes.

ARTICLE 4—COMMENCEMENT AND PROGRESS OF THE WORK

4.01 *Commencement of Contract Times; Notice to Proceed*

- A. The Contract Times will commence to run on the 30th day after the Effective Date of the Contract or, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 30 days after the Effective Date of the Contract. In no event will the Contract Times commence to run later than the 60th day after the day of Bid opening or the 30th day after the Effective Date of the Contract, whichever date is earlier.

4.02 *Starting the Work*

- A. Contractor shall start to perform the Work on the date when the Contract Times commence to run. No Work may be done at the Site prior to such date.

4.03 *Reference Points*

- A. Owner shall provide engineering surveys to establish reference points for construction which in Engineer's judgment are necessary to enable Contractor to proceed with the Work. Contractor shall be responsible for laying out the Work, shall protect and preserve the

established reference points and property monuments, and shall make no changes or relocations without the prior written approval of Owner. Contractor shall report to Engineer whenever any reference point or property monument is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points or property monuments by professionally qualified personnel.

4.04 *Progress Schedule*

- A. Contractor shall adhere to the Progress Schedule established in accordance with Paragraph 2.05 as it may be adjusted from time to time as provided below.
 - 1. Contractor shall submit to Engineer for acceptance (to the extent indicated in Paragraph 2.05) proposed adjustments in the Progress Schedule that will not result in changing the Contract Times.
 - 2. Proposed adjustments in the Progress Schedule that will change the Contract Times must be submitted in accordance with the requirements of Article 11.
- B. Contractor shall carry on the Work and adhere to the Progress Schedule during all disputes or disagreements with Owner. No Work will be delayed or postponed pending resolution of any disputes or disagreements, or during any appeal process, except as permitted by Paragraph 16.04, or as Owner and Contractor may otherwise agree in writing.

4.05 *Delays in Contractor's Progress*

- A. If Owner, Engineer, or anyone for whom Owner is responsible, delays, disrupts, or interferes with the performance or progress of the Work, then Contractor shall be entitled to an equitable adjustment in Contract Price or Contract Times.
- B. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for delay, disruption, or interference caused by or within the control of Contractor. Delay, disruption, and interference attributable to and within the control of a Subcontractor or Supplier shall be deemed to be within the control of Contractor.
- C. If Contractor's performance or progress is delayed, disrupted, or interfered with by unanticipated causes not the fault of and beyond the control of Owner, Contractor, and those for which they are responsible, then Contractor shall be entitled to an equitable adjustment in Contract Times. Such an adjustment will be Contractor's sole and exclusive remedy for the delays, disruption, and interference described in this paragraph. Causes of delay, disruption, or interference that may give rise to an adjustment in Contract Times under this paragraph include but are not limited to the following:
 - 1. Severe and unavoidable natural catastrophes such as fires, floods, epidemics, and earthquakes;
 - 2. Abnormal weather conditions;
 - 3. Acts or failures to act of third-party utility owners or other third-party entities (other than those third-party utility owners or other third-party entities performing other work at or adjacent to the Site as arranged by or under contract with Owner, as contemplated in Article 8); and
 - 4. Acts of war or terrorism.

- D. Contractor's entitlement to an adjustment of Contract Times or Contract Price is limited as follows:
1. Contractor's entitlement to an adjustment of the Contract Times is conditioned on the delay, disruption, or interference adversely affecting an activity on the critical path to completion of the Work, as of the time of the delay, disruption, or interference.
 2. Contractor shall not be entitled to an adjustment in Contract Price for any delay, disruption, or interference if such delay is concurrent with a delay, disruption, or interference caused by or within the control of Contractor. Such a concurrent delay by Contractor shall not preclude an adjustment of Contract Times to which Contractor is otherwise entitled.
 3. Adjustments of Contract Times or Contract Price are subject to the provisions of Article 11.
- E. Each Contractor request or Change Proposal seeking an increase in Contract Times or Contract Price must be supplemented by supporting data that sets forth in detail the following:
1. The circumstances that form the basis for the requested adjustment;
 2. The date upon which each cause of delay, disruption, or interference began to affect the progress of the Work;
 3. The date upon which each cause of delay, disruption, or interference ceased to affect the progress of the Work;
 4. The number of days' increase in Contract Times claimed as a consequence of each such cause of delay, disruption, or interference; and
 5. The impact on Contract Price, in accordance with the provisions of Paragraph 11.07.
- Contractor shall also furnish such additional supporting documentation as Owner or Engineer may require including, where appropriate, a revised progress schedule indicating all the activities affected by the delay, disruption, or interference, and an explanation of the effect of the delay, disruption, or interference on the critical path to completion of the Work.
- F. Delays, disruption, and interference to the performance or progress of the Work resulting from the existence of a differing subsurface or physical condition, an Underground Facility that was not shown or indicated by the Contract Documents, or not shown or indicated with reasonable accuracy, and those resulting from Hazardous Environmental Conditions, are governed by Article 5, together with the provisions of Paragraphs 4.05.D and 4.05.E.
- G. Paragraph 8.03 addresses delays, disruption, and interference to the performance or progress of the Work resulting from the performance of certain other work at or adjacent to the Site.

ARTICLE 5—SITE; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS

5.01 *Availability of Lands*

- A. Owner shall furnish the Site. Owner shall notify Contractor in writing of any encumbrances or restrictions not of general application but specifically related to use of the Site with which Contractor must comply in performing the Work.

- B. Upon reasonable written request, Owner shall furnish Contractor with a current statement of record legal title and legal description of the lands upon which permanent improvements are to be made and Owner's interest therein as necessary for giving notice of or filing a mechanic's or construction lien against such lands in accordance with applicable Laws and Regulations.
- C. Contractor shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

5.02 *Use of Site and Other Areas*

A. *Limitation on Use of Site and Other Areas*

1. Contractor shall confine construction equipment, temporary construction facilities, the storage of materials and equipment, and the operations of workers to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas permitted by Laws and Regulations, and shall not unreasonably encumber the Site and such other adjacent areas with construction equipment or other materials or equipment. Contractor shall assume full responsibility for (a) damage to the Site; (b) damage to any such other adjacent areas used for Contractor's operations; (c) damage to any other adjacent land or areas, or to improvements, structures, utilities, or similar facilities located at such adjacent lands or areas; and (d) for injuries and losses sustained by the owners or occupants of any such land or areas; provided that such damage or injuries result from the performance of the Work or from other actions or conduct of the Contractor or those for which Contractor is responsible.
 2. If a damage or injury claim is made by the owner or occupant of any such land or area because of the performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible, Contractor shall (a) take immediate corrective or remedial action as required by Paragraph 7.13, or otherwise; (b) promptly attempt to settle the claim as to all parties through negotiations with such owner or occupant, or otherwise resolve the claim by arbitration or other dispute resolution proceeding, or in a court of competent jurisdiction; and (c) to the fullest extent permitted by Laws and Regulations, indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, from and against any such claim, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any claim or action, legal or equitable, brought by any such owner or occupant against Owner, Engineer, or any other party indemnified hereunder to the extent caused directly or indirectly, in whole or in part by, or based upon, Contractor's performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible.
- B. *Removal of Debris During Performance of the Work:* During the progress of the Work the Contractor shall keep the Site and other adjacent areas free from accumulations of waste materials, rubbish, and other debris. Removal and disposal of such waste materials, rubbish, and other debris will conform to applicable Laws and Regulations.
 - C. *Cleaning:* Prior to Substantial Completion of the Work Contractor shall clean the Site and the Work and make it ready for utilization by Owner. At the completion of the Work Contractor shall remove from the Site and adjacent areas all tools, appliances, construction equipment

and machinery, and surplus materials and shall restore to original condition all property not designated for alteration by the Contract Documents.

- D. *Loading of Structures*: Contractor shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall Contractor subject any part of the Work or adjacent structures or land to stresses or pressures that will endanger them.

5.03 *Subsurface and Physical Conditions*

- A. *Reports and Drawings*: The Supplementary Conditions identify:

1. Those reports of explorations and tests of subsurface conditions at or adjacent to the Site that contain Technical Data;
2. Those drawings of existing physical conditions at or adjacent to the Site, including those drawings depicting existing surface or subsurface structures at or adjacent to the Site (except Underground Facilities), that contain Technical Data; and
3. Technical Data contained in such reports and drawings.

- B. *Underground Facilities*: Underground Facilities are shown or indicated on the Drawings, pursuant to Paragraph 5.05, and not in the drawings referred to in Paragraph 5.03.A. Information and data regarding the presence or location of Underground Facilities are not intended to be categorized, identified, or defined as Technical Data.

- C. *Reliance by Contractor on Technical Data*: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely upon the accuracy of the Technical Data as defined in Paragraph 1.01.A.46.b.

- D. *Limitations of Other Data and Documents*: Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:

1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto;
2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings;
3. the contents of other Site-related documents made available to Contractor, such as record drawings from other projects at or adjacent to the Site, or Owner's archival documents concerning the Site; or
4. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions, or information.

5.04 *Differing Subsurface or Physical Conditions*

- A. *Notice by Contractor:* If Contractor believes that any subsurface or physical condition that is uncovered or revealed at the Site:
1. is of such a nature as to establish that any Technical Data on which Contractor is entitled to rely as provided in Paragraph 5.03 is materially inaccurate;
 2. is of such a nature as to require a change in the Drawings or Specifications;
 3. differs materially from that shown or indicated in the Contract Documents; or
 4. is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents;

then Contractor shall, promptly after becoming aware thereof and before further disturbing the subsurface or physical conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in connection therewith (except with respect to an emergency) until receipt of a written statement permitting Contractor to do so.

- B. *Engineer's Review:* After receipt of written notice as required by the preceding paragraph, Engineer will promptly review the subsurface or physical condition in question; determine whether it is necessary for Owner to obtain additional exploration or tests with respect to the condition; conclude whether the condition falls within any one or more of the differing site condition categories in Paragraph 5.04.A; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the subsurface or physical condition in question and the need for any change in the Drawings or Specifications; and advise Owner in writing of Engineer's findings, conclusions, and recommendations.
- C. *Owner's Statement to Contractor Regarding Site Condition:* After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the subsurface or physical condition in question, addressing the resumption of Work in connection with such condition, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations, in whole or in part.
- D. *Early Resumption of Work:* If at any time Engineer determines that Work in connection with the subsurface or physical condition in question may resume prior to completion of Engineer's review or Owner's issuance of its statement to Contractor, because the condition in question has been adequately documented, and analyzed on a preliminary basis, then the Engineer may at its discretion instruct Contractor to resume such Work.
- E. *Possible Price and Times Adjustments*
1. Contractor shall be entitled to an equitable adjustment in Contract Price or Contract Times, to the extent that the existence of a differing subsurface or physical condition, or any related delay, disruption, or interference, causes an increase or decrease in

Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:

- a. Such condition must fall within any one or more of the categories described in Paragraph 5.04.A;
 - b. With respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03; and,
 - c. Contractor's entitlement to an adjustment of the Contract Times is subject to the provisions of Paragraphs 4.05.D and 4.05.E.
2. Contractor shall not be entitled to any adjustment in the Contract Price or Contract Times with respect to a subsurface or physical condition if:
- a. Contractor knew of the existence of such condition at the time Contractor made a commitment to Owner with respect to Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract, or otherwise;
 - b. The existence of such condition reasonably could have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas expressly required by the Bidding Requirements or Contract Documents to be conducted by or for Contractor prior to Contractor's making such commitment; or
 - c. Contractor failed to give the written notice required by Paragraph 5.04.A.
3. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, then any such adjustment will be set forth in a Change Order.
4. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the subsurface or physical condition in question.
- F. *Underground Facilities; Hazardous Environmental Conditions*: Paragraph 5.05 governs rights and responsibilities regarding the presence or location of Underground Facilities. Paragraph 5.06 governs rights and responsibilities regarding Hazardous Environmental Conditions. The provisions of Paragraphs 5.03 and 5.04 are not applicable to the presence or location of Underground Facilities, or to Hazardous Environmental Conditions.

5.05 *Underground Facilities*

- A. *Contractor's Responsibilities*: Unless it is otherwise expressly provided in the Supplementary Conditions, the cost of all of the following are included in the Contract Price, and Contractor shall have full responsibility for:
1. reviewing and checking all information and data regarding existing Underground Facilities at the Site;
 2. complying with applicable state and local utility damage prevention Laws and Regulations;

3. verifying the actual location of those Underground Facilities shown or indicated in the Contract Documents as being within the area affected by the Work, by exposing such Underground Facilities during the course of construction;
 4. coordination of the Work with the owners (including Owner) of such Underground Facilities, during construction; and
 5. the safety and protection of all existing Underground Facilities at the Site, and repairing any damage thereto resulting from the Work.
- B. *Notice by Contractor:* If Contractor believes that an Underground Facility that is uncovered or revealed at the Site was not shown or indicated on the Drawings, or was not shown or indicated on the Drawings with reasonable accuracy, then Contractor shall, promptly after becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing regarding such Underground Facility.
- C. *Engineer's Review:* Engineer will:
1. promptly review the Underground Facility and conclude whether such Underground Facility was not shown or indicated on the Drawings, or was not shown or indicated with reasonable accuracy;
 2. identify and communicate with the owner of the Underground Facility; prepare recommendations to Owner (and if necessary issue any preliminary instructions to Contractor) regarding the Contractor's resumption of Work in connection with the Underground Facility in question;
 3. obtain any pertinent cost or schedule information from Contractor; determine the extent, if any, to which a change is required in the Drawings or Specifications to reflect and document the consequences of the existence or location of the Underground Facility; and
 4. advise Owner in writing of Engineer's findings, conclusions, and recommendations.

During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.

- D. *Owner's Statement to Contractor Regarding Underground Facility:* After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the Underground Facility in question addressing the resumption of Work in connection with such Underground Facility, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations in whole or in part.
- E. *Early Resumption of Work:* If at any time Engineer determines that Work in connection with the Underground Facility may resume prior to completion of Engineer's review or Owner's issuance of its statement to Contractor, because the Underground Facility in question and conditions affected by its presence have been adequately documented, and analyzed on a preliminary basis, then the Engineer may at its discretion instruct Contractor to resume such Work.
- F. *Possible Price and Times Adjustments*
1. Contractor shall be entitled to an equitable adjustment in the Contract Price or Contract Times, to the extent that any existing Underground Facility at the Site that was not shown

or indicated on the Drawings, or was not shown or indicated with reasonable accuracy, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:

- a. With respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03;
 - b. Contractor's entitlement to an adjustment of the Contract Times is subject to the provisions of Paragraphs 4.05.D and 4.05.E; and
 - c. Contractor gave the notice required in Paragraph 5.05.B.
2. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, then any such adjustment will be set forth in a Change Order.
 3. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the Underground Facility in question.
 4. The information and data shown or indicated on the Drawings with respect to existing Underground Facilities at the Site is based on information and data (a) furnished by the owners of such Underground Facilities, or by others, (b) obtained from available records, or (c) gathered in an investigation conducted in accordance with the current edition of ASCE 38, Standard Guideline for the Collection and Depiction of Existing Subsurface Utility Data, by the American Society of Civil Engineers. If such information or data is incorrect or incomplete, Contractor's remedies are limited to those set forth in this Paragraph 5.05.F.

5.06 *Hazardous Environmental Conditions at Site*

A. *Reports and Drawings:* The Supplementary Conditions identify:

1. those reports known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site;
2. drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site; and
3. Technical Data contained in such reports and drawings.

B. *Reliance by Contractor on Technical Data Authorized:* Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely on the accuracy of the Technical Data as defined in Paragraph 1.01.A.46.b. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:

1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences and procedures

- of construction to be employed by Contractor, and safety precautions and programs incident thereto;
2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or
 3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions or information.
- C. Contractor shall not be responsible for removing or remediating any Hazardous Environmental Condition encountered, uncovered, or revealed at the Site unless such removal or remediation is expressly identified in the Contract Documents to be within the scope of the Work.
- D. Contractor shall be responsible for controlling, containing, and duly removing all Constituents of Concern brought to the Site by Contractor, Subcontractors, Suppliers, or anyone else for whom Contractor is responsible, and for any associated costs; and for the costs of removing and remediating any Hazardous Environmental Condition created by the presence of any such Constituents of Concern.
- E. If Contractor encounters, uncovers, or reveals a Hazardous Environmental Condition whose removal or remediation is not expressly identified in the Contract Documents as being within the scope of the Work, or if Contractor or anyone for whom Contractor is responsible creates a Hazardous Environmental Condition, then Contractor shall immediately: (1) secure or otherwise isolate such condition; (2) stop all Work in connection with such condition and in any area affected thereby (except in an emergency as required by Paragraph 7.15); and (3) notify Owner and Engineer (and promptly thereafter confirm such notice in writing). Owner shall promptly consult with Engineer concerning the necessity for Owner to retain a qualified expert to evaluate such condition or take corrective action, if any. Promptly after consulting with Engineer, Owner shall take such actions as are necessary to permit Owner to timely obtain required permits and provide Contractor the written notice required by Paragraph 5.06.F. If Contractor or anyone for whom Contractor is responsible created the Hazardous Environmental Condition in question, then Owner may remove and remediate the Hazardous Environmental Condition, and impose a set-off against payments to account for the associated costs.
- F. Contractor shall not resume Work in connection with such Hazardous Environmental Condition or in any affected area until after Owner has obtained any required permits related thereto, and delivered written notice to Contractor either (1) specifying that such condition and any affected area is or has been rendered safe for the resumption of Work, or (2) specifying any special conditions under which such Work may be resumed safely.
- G. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times, as a result of such Work stoppage, such special conditions under which Work is agreed to be resumed by Contractor, or any costs or expenses incurred in response to the Hazardous Environmental Condition, then within 30 days of Owner's written notice regarding the resumption of Work, Contractor may submit a Change Proposal, or Owner may impose a set-off. Entitlement to any such adjustment is subject to the provisions of Paragraphs 4.05.D, 4.05.E, 11.07, and 11.08.
- H. If, after receipt of such written notice, Contractor does not agree to resume such Work based on a reasonable belief it is unsafe, or does not agree to resume such Work under such special

conditions, then Owner may order the portion of the Work that is in the area affected by such condition to be deleted from the Work, following the contractual change procedures in Article 11. Owner may have such deleted portion of the Work performed by Owner's own forces or others in accordance with Article 8.

- I. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court, arbitration, or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition, provided that such Hazardous Environmental Condition (1) was not shown or indicated in the Drawings, Specifications, or other Contract Documents, identified as Technical Data entitled to limited reliance pursuant to Paragraph 5.06.B, or identified in the Contract Documents to be included within the scope of the Work, and (2) was not created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.I obligates Owner to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- J. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the failure to control, contain, or remove a Constituent of Concern brought to the Site by Contractor or by anyone for whom Contractor is responsible, or to a Hazardous Environmental Condition created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.J obligates Contractor to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- K. The provisions of Paragraphs 5.03, 5.04, and 5.05 do not apply to the presence of Constituents of Concern or to a Hazardous Environmental Condition uncovered or revealed at the Site.

ARTICLE 6—BONDS AND INSURANCE

6.01 *Performance, Payment, and Other Bonds*

- A. Contractor shall furnish a performance bond and a payment bond, each in an amount at least equal to the Contract Price, as security for the faithful performance and payment of Contractor's obligations under the Contract. These bonds must remain in effect until one year after the date when final payment becomes due or until completion of the correction period specified in Paragraph 15.08, whichever is later, except as provided otherwise by Laws or Regulations, the terms of a prescribed bond form, the Supplementary Conditions, or other provisions of the Contract.
- B. Contractor shall also furnish such other bonds (if any) as are required by the Supplementary Conditions or other provisions of the Contract.
- C. All bonds must be in the form included in the Bidding Documents or otherwise specified by Owner prior to execution of the Contract, except as provided otherwise by Laws or

Regulations, and must be issued and signed by a surety named in “Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies” as published in Department Circular 570 (as amended and supplemented) by the Bureau of the Fiscal Service, U.S. Department of the Treasury. A bond signed by an agent or attorney-in-fact must be accompanied by a certified copy of that individual’s authority to bind the surety. The evidence of authority must show that it is effective on the date the agent or attorney-in-fact signed the accompanying bond.

- D. Contractor shall obtain the required bonds from surety companies that are duly licensed or authorized, in the state or jurisdiction in which the Project is located, to issue bonds in the required amounts.
- E. If the surety on a bond furnished by Contractor is declared bankrupt or becomes insolvent, or the surety ceases to meet the requirements above, then Contractor shall promptly notify Owner and Engineer in writing and shall, within 20 days after the event giving rise to such notification, provide another bond and surety, both of which must comply with the bond and surety requirements above.
- F. If Contractor has failed to obtain a required bond, Owner may exclude the Contractor from the Site and exercise Owner’s termination rights under Article 16.
- G. Upon request to Owner from any Subcontractor, Supplier, or other person or entity claiming to have furnished labor, services, materials, or equipment used in the performance of the Work, Owner shall provide a copy of the payment bond to such person or entity.
- H. Upon request to Contractor from any Subcontractor, Supplier, or other person or entity claiming to have furnished labor, services, materials, or equipment used in the performance of the Work, Contractor shall provide a copy of the payment bond to such person or entity.

6.02 *Insurance—General Provisions*

- A. Owner and Contractor shall obtain and maintain insurance as required in this article and in the Supplementary Conditions.
- B. All insurance required by the Contract to be purchased and maintained by Owner or Contractor shall be obtained from insurance companies that are duly licensed or authorized in the state or jurisdiction in which the Project is located to issue insurance policies for the required limits and coverages. Unless a different standard is indicated in the Supplementary Conditions, all companies that provide insurance policies required under this Contract shall have an A.M. Best rating of A-VII or better.
- C. Alternative forms of insurance coverage, including but not limited to self-insurance and “Occupational Accident and Excess Employer’s Indemnity Policies,” are not sufficient to meet the insurance requirements of this Contract, unless expressly allowed in the Supplementary Conditions.
- D. Contractor shall deliver to Owner, with copies to each additional insured identified in the Contract, certificates of insurance and endorsements establishing that Contractor has obtained and is maintaining the policies and coverages required by the Contract. Upon request by Owner or any other insured, Contractor shall also furnish other evidence of such required insurance, including but not limited to copies of policies, documentation of applicable self-insured retentions (if allowed) and deductibles, full disclosure of all relevant exclusions, and evidence of insurance required to be purchased and maintained by

- Subcontractors or Suppliers. In any documentation furnished under this provision, Contractor, Subcontractors, and Suppliers may block out (redact) (1) any confidential premium or pricing information and (2) any wording specific to a project or jurisdiction other than those applicable to this Contract.
- E. Owner shall deliver to Contractor, with copies to each additional insured identified in the Contract, certificates of insurance and endorsements establishing that Owner has obtained and is maintaining the policies and coverages required of Owner by the Contract (if any). Upon request by Contractor or any other insured, Owner shall also provide other evidence of such required insurance (if any), including but not limited to copies of policies, documentation of applicable self-insured retentions (if allowed) and deductibles, and full disclosure of all relevant exclusions. In any documentation furnished under this provision, Owner may block out (redact) (1) any confidential premium or pricing information and (2) any wording specific to a project or jurisdiction other than those relevant to this Contract.
 - F. Failure of Owner or Contractor to demand such certificates or other evidence of the other party's full compliance with these insurance requirements, or failure of Owner or Contractor to identify a deficiency in compliance from the evidence provided, will not be construed as a waiver of the other party's obligation to obtain and maintain such insurance.
 - G. In addition to the liability insurance required to be provided by Contractor, the Owner, at Owner's option, may purchase and maintain Owner's own liability insurance. Owner's liability policies, if any, operate separately and independently from policies required to be provided by Contractor, and Contractor cannot rely upon Owner's liability policies for any of Contractor's obligations to the Owner, Engineer, or third parties.
 - H. Contractor shall require:
 - 1. Subcontractors to purchase and maintain worker's compensation, commercial general liability, and other insurance that is appropriate for their participation in the Project, and to name as additional insureds Owner and Engineer (and any other individuals or entities identified in the Supplementary Conditions as additional insureds on Contractor's liability policies) on each Subcontractor's commercial general liability insurance policy; and
 - 2. Suppliers to purchase and maintain insurance that is appropriate for their participation in the Project.
 - I. If either party does not purchase or maintain the insurance required of such party by the Contract, such party shall notify the other party in writing of such failure to purchase prior to the start of the Work, or of such failure to maintain prior to any change in the required coverage.
 - J. If Contractor has failed to obtain and maintain required insurance, Contractor's entitlement to enter or remain at the Site will end immediately, and Owner may impose an appropriate set-off against payment for any associated costs (including but not limited to the cost of purchasing necessary insurance coverage), and exercise Owner's termination rights under Article 16.
 - K. Without prejudice to any other right or remedy, if a party has failed to obtain required insurance, the other party may elect (but is in no way obligated) to obtain equivalent insurance to protect such other party's interests at the expense of the party who was required to provide such coverage, and the Contract Price will be adjusted accordingly.

- L. Owner does not represent that insurance coverage and limits established in this Contract necessarily will be adequate to protect Contractor or Contractor's interests. Contractor is responsible for determining whether such coverage and limits are adequate to protect its interests, and for obtaining and maintaining any additional insurance that Contractor deems necessary.
- M. The insurance and insurance limits required herein will not be deemed as a limitation on Contractor's liability, or that of its Subcontractors or Suppliers, under the indemnities granted to Owner and other individuals and entities in the Contract or otherwise.
- N. All the policies of insurance required to be purchased and maintained under this Contract will contain a provision or endorsement that the coverage afforded will not be canceled, or renewal refused, until at least 10 days prior written notice has been given to the purchasing policyholder. Within three days of receipt of any such written notice, the purchasing policyholder shall provide a copy of the notice to each other insured and Engineer.

6.03 Contractor's Insurance

- A. *Required Insurance:* Contractor shall purchase and maintain Worker's Compensation, Commercial General Liability, and other insurance pursuant to the specific requirements of the Supplementary Conditions.
- B. *General Provisions:* The policies of insurance required by this Paragraph 6.03 as supplemented must:
 - 1. include at least the specific coverages required;
 - 2. be written for not less than the limits provided, or those required by Laws or Regulations, whichever is greater;
 - 3. remain in effect at least until the Work is complete (as set forth in Paragraph 15.06.D), and longer if expressly required elsewhere in this Contract, and at all times thereafter when Contractor may be correcting, removing, or replacing defective Work as a warranty or correction obligation, or otherwise, or returning to the Site to conduct other tasks arising from the Contract;
 - 4. apply with respect to the performance of the Work, whether such performance is by Contractor, any Subcontractor or Supplier, or by anyone directly or indirectly employed by any of them to perform any of the Work, or by anyone for whose acts any of them may be liable; and
 - 5. include all necessary endorsements to support the stated requirements.
- C. *Additional Insureds:* The Contractor's commercial general liability, automobile liability, employer's liability, umbrella or excess, pollution liability, and unmanned aerial vehicle liability policies, if required by this Contract, must:
 - 1. include and list as additional insureds Owner and Engineer, and any individuals or entities identified as additional insureds in the Supplementary Conditions;
 - 2. include coverage for the respective officers, directors, members, partners, employees, and consultants of all such additional insureds;
 - 3. afford primary coverage to these additional insureds for all claims covered thereby (including as applicable those arising from both ongoing and completed operations);

4. not seek contribution from insurance maintained by the additional insured; and
5. as to commercial general liability insurance, apply to additional insureds with respect to liability caused in whole or in part by Contractor's acts or omissions, or the acts and omissions of those working on Contractor's behalf, in the performance of Contractor's operations.

6.04 *Builder's Risk and Other Property Insurance*

- A. *Builder's Risk*: Unless otherwise provided in the Supplementary Conditions, Contractor shall purchase and maintain builder's risk insurance upon the Work on a completed value basis, in the amount of the Work's full insurable replacement cost (subject to such deductible amounts as may be provided in the Supplementary Conditions or required by Laws and Regulations). The specific requirements applicable to the builder's risk insurance are set forth in the Supplementary Conditions.
- B. *Property Insurance for Facilities of Owner Where Work Will Occur*: Owner is responsible for obtaining and maintaining property insurance covering each existing structure, building, or facility in which any part of the Work will occur, or to which any part of the Work will attach or be adjoined. Such property insurance will be written on a special perils (all-risk) form, on a replacement cost basis, providing coverage consistent with that required for the builder's risk insurance, and will be maintained until the Work is complete, as set forth in Paragraph 15.06.D.
- C. *Property Insurance for Substantially Complete Facilities*: Promptly after Substantial Completion, and before actual occupancy or use of the substantially completed Work, Owner will obtain property insurance for such substantially completed Work, and maintain such property insurance at least until the Work is complete, as set forth in Paragraph 15.06.D. Such property insurance will be written on a special perils (all-risk) form, on a replacement cost basis, and provide coverage consistent with that required for the builder's risk insurance. The builder's risk insurance may terminate upon written confirmation of Owner's procurement of such property insurance.
- D. *Partial Occupancy or Use by Owner*: If Owner will occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work, as provided in Paragraph 15.04, then Owner (directly, if it is the purchaser of the builder's risk policy, or through Contractor) will provide advance notice of such occupancy or use to the builder's risk insurer, and obtain an endorsement consenting to the continuation of coverage prior to commencing such partial occupancy or use.
- E. *Insurance of Other Property; Additional Insurance*: If the express insurance provisions of the Contract do not require or address the insurance of a property item or interest, then the entity or individual owning such property item will be responsible for insuring it. If Contractor elects to obtain other special insurance to be included in or supplement the builder's risk or property insurance policies provided under this Paragraph 6.04, it may do so at Contractor's expense.

6.05 *Property Losses; Subrogation*

- A. The builder's risk insurance policy purchased and maintained in accordance with Paragraph 6.04 (or an installation floater policy if authorized by the Supplementary Conditions), will contain provisions to the effect that in the event of payment of any loss or damage the insurer will have no rights of recovery against any insureds thereunder, or against

Engineer or its consultants, or their officers, directors, members, partners, employees, agents, consultants, or subcontractors.

1. Owner and Contractor waive all rights against each other and the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, or resulting from any of the perils, risks, or causes of loss covered by such policies and any other property insurance applicable to the Work; and, in addition, waive all such rights against Engineer, its consultants, all individuals or entities identified in the Supplementary Conditions as builder's risk or installation floater insureds, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, under such policies for losses and damages so caused.
 2. None of the above waivers extends to the rights that any party making such waiver may have to the proceeds of insurance held by Owner or Contractor as trustee or fiduciary, or otherwise payable under any policy so issued.
- B. Any property insurance policy maintained by Owner covering any loss, damage, or consequential loss to Owner's existing structures, buildings, or facilities in which any part of the Work will occur, or to which any part of the Work will attach or adjoin; to adjacent structures, buildings, or facilities of Owner; or to part or all of the completed or substantially completed Work, during partial occupancy or use pursuant to Paragraph 15.04, after Substantial Completion pursuant to Paragraph 15.03, or after final payment pursuant to Paragraph 15.06, will contain provisions to the effect that in the event of payment of any loss or damage the insurer will have no rights of recovery against any insureds thereunder, or against Contractor, Subcontractors, or Engineer, or the officers, directors, members, partners, employees, agents, consultants, or subcontractors of each and any of them, and that the insured is allowed to waive the insurer's rights of subrogation in a written contract executed prior to the loss, damage, or consequential loss.
1. Owner waives all rights against Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, for all losses and damages caused by, arising out of, or resulting from fire or any of the perils, risks, or causes of loss covered by such policies.
- C. The waivers in this Paragraph 6.05 include the waiver of rights due to business interruption, loss of use, or other consequential loss extending beyond direct physical loss or damage to Owner's property or the Work caused by, arising out of, or resulting from fire or other insured peril, risk, or cause of loss.
- D. Contractor shall be responsible for assuring that each Subcontract contains provisions whereby the Subcontractor waives all rights against Owner, Contractor, all individuals or entities identified in the Supplementary Conditions as insureds, the Engineer and its consultants, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, relating to, or resulting from fire or other peril, risk, or cause of loss covered by builder's risk insurance, installation floater, and any other property insurance applicable to the Work.

6.06 *Receipt and Application of Property Insurance Proceeds*

- A. Any insured loss under the builder's risk and other policies of property insurance required by Paragraph 6.04 will be adjusted and settled with the named insured that purchased the policy. Such named insured shall act as fiduciary for the other insureds, and give notice to such other insureds that adjustment and settlement of a claim is in progress. Any other insured may state its position regarding a claim for insured loss in writing within 15 days after notice of such claim.
- B. Proceeds for such insured losses may be made payable by the insurer either jointly to multiple insureds, or to the named insured that purchased the policy in its own right and as fiduciary for other insureds, subject to the requirements of any applicable mortgage clause. A named insured receiving insurance proceeds under the builder's risk and other policies of insurance required by Paragraph 6.04 shall maintain such proceeds in a segregated account, and distribute such proceeds in accordance with such agreement as the parties in interest may reach, or as otherwise required under the dispute resolution provisions of this Contract or applicable Laws and Regulations.
- C. If no other special agreement is reached, Contractor shall repair or replace the damaged Work, using allocated insurance proceeds.

ARTICLE 7—CONTRACTOR'S RESPONSIBILITIES

7.01 *Contractor's Means and Methods of Construction*

- A. Contractor shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction.
- B. If the Contract Documents note, or Contractor determines, that professional engineering or other design services are needed to carry out Contractor's responsibilities for construction means, methods, techniques, sequences, and procedures, or for Site safety, then Contractor shall cause such services to be provided by a properly licensed design professional, at Contractor's expense. Such services are not Owner-delegated professional design services under this Contract, and neither Owner nor Engineer has any responsibility with respect to (1) Contractor's determination of the need for such services, (2) the qualifications or licensing of the design professionals retained or employed by Contractor, (3) the performance of such services, or (4) any errors, omissions, or defects in such services.

7.02 *Supervision and Superintendence*

- A. Contractor shall supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents.
- B. At all times during the progress of the Work, Contractor shall assign a competent resident superintendent who will not be replaced without written notice to Owner and Engineer except under extraordinary circumstances.

7.03 *Labor; Working Hours*

- A. Contractor shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. Contractor shall maintain good discipline and order at the Site.

- B. Contractor shall be fully responsible to Owner and Engineer for all acts and omissions of Contractor's employees; of Suppliers and Subcontractors, and their employees; and of any other individuals or entities performing or furnishing any of the Work, just as Contractor is responsible for Contractor's own acts and omissions.
- C. Except as otherwise required for the safety or protection of persons or the Work or property at the Site or adjacent thereto, and except as otherwise stated in the Contract Documents, all Work at the Site will be performed during regular working hours, Monday through Friday. Contractor will not perform Work on a Saturday, Sunday, or any legal holiday. Contractor may perform Work outside regular working hours or on Saturdays, Sundays, or legal holidays only with Owner's written consent, which will not be unreasonably withheld.

7.04 *Services, Materials, and Equipment*

- A. Unless otherwise specified in the Contract Documents, Contractor shall provide and assume full responsibility for all services, materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities, and all other facilities and incidentals necessary for the performance, testing, start up, and completion of the Work, whether or not such items are specifically called for in the Contract Documents.
- B. All materials and equipment incorporated into the Work must be new and of good quality, except as otherwise provided in the Contract Documents. All special warranties and guarantees required by the Specifications will expressly run to the benefit of Owner. If required by Engineer, Contractor shall furnish satisfactory evidence (including reports of required tests) as to the source, kind, and quality of materials and equipment.
- C. All materials and equipment must be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with instructions of the applicable Supplier, except as otherwise may be provided in the Contract Documents.

7.05 *"Or Equals"*

- A. *Contractor's Request; Governing Criteria:* Whenever an item of equipment or material is specified or described in the Contract Documents by using the names of one or more proprietary items or specific Suppliers, the Contract Price has been based upon Contractor furnishing such item as specified. The specification or description of such an item is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or "or equal" item is permitted, Contractor may request that Engineer authorize the use of other items of equipment or material, or items from other proposed Suppliers, under the circumstances described below.
 - 1. If Engineer in its sole discretion determines that an item of equipment or material proposed by Contractor is functionally equal to that named and sufficiently similar so that no change in related Work will be required, Engineer will deem it an "or equal" item. For the purposes of this paragraph, a proposed item of equipment or material will be considered functionally equal to an item so named if:
 - a. in the exercise of reasonable judgment Engineer determines that the proposed item:
 - 1) is at least equal in materials of construction, quality, durability, appearance, strength, and design characteristics;

- 2) will reliably perform at least equally well the function and achieve the results imposed by the design concept of the completed Project as a functioning whole;
 - 3) has a proven record of performance and availability of responsive service; and
 - 4) is not objectionable to Owner.
- b. Contractor certifies that, if the proposed item is approved and incorporated into the Work:
- 1) there will be no increase in cost to the Owner or increase in Contract Times; and
 - 2) the item will conform substantially to the detailed requirements of the item named in the Contract Documents.
- B. *Contractor's Expense:* Contractor shall provide all data in support of any proposed "or equal" item at Contractor's expense.
- C. *Engineer's Evaluation and Determination:* Engineer will be allowed a reasonable time to evaluate each "or-equal" request. Engineer may require Contractor to furnish additional data about the proposed "or-equal" item. Engineer will be the sole judge of acceptability. No "or-equal" item will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an "or-equal," which will be evidenced by an approved Shop Drawing or other written communication. Engineer will advise Contractor in writing of any negative determination.
- D. *Effect of Engineer's Determination:* Neither approval nor denial of an "or-equal" request will result in any change in Contract Price. The Engineer's denial of an "or-equal" request will be final and binding, and may not be reversed through an appeal under any provision of the Contract.
- E. *Treatment as a Substitution Request:* If Engineer determines that an item of equipment or material proposed by Contractor does not qualify as an "or-equal" item, Contractor may request that Engineer consider the item a proposed substitute pursuant to Paragraph 7.06.

7.06 *Substitutes*

- A. *Contractor's Request; Governing Criteria:* Unless the specification or description of an item of equipment or material required to be furnished under the Contract Documents contains or is followed by words reading that no substitution is permitted, Contractor may request that Engineer authorize the use of other items of equipment or material under the circumstances described below. To the extent possible such requests must be made before commencement of related construction at the Site.
1. Contractor shall submit sufficient information as provided below to allow Engineer to determine if the item of material or equipment proposed is functionally equivalent to that named and an acceptable substitute therefor. Engineer will not accept requests for review of proposed substitute items of equipment or material from anyone other than Contractor.
 2. The requirements for review by Engineer will be as set forth in Paragraph 7.06.B, as supplemented by the Specifications, and as Engineer may decide is appropriate under the circumstances.

3. Contractor shall make written application to Engineer for review of a proposed substitute item of equipment or material that Contractor seeks to furnish or use. The application:
 - a. will certify that the proposed substitute item will:
 - 1) perform adequately the functions and achieve the results called for by the general design;
 - 2) be similar in substance to the item specified; and
 - 3) be suited to the same use as the item specified.
 - b. will state:
 - 1) the extent, if any, to which the use of the proposed substitute item will necessitate a change in Contract Times;
 - 2) whether use of the proposed substitute item in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with Owner for other work on the Project) to adapt the design to the proposed substitute item; and
 - 3) whether incorporation or use of the proposed substitute item in connection with the Work is subject to payment of any license fee or royalty.
 - c. will identify:
 - 1) all variations of the proposed substitute item from the item specified; and
 - 2) available engineering, sales, maintenance, repair, and replacement services.
 - d. will contain an itemized estimate of all costs or credits that will result directly or indirectly from use of such substitute item, including but not limited to changes in Contract Price, shared savings, costs of redesign, and claims of other contractors affected by any resulting change.
- B. *Engineer's Evaluation and Determination*: Engineer will be allowed a reasonable time to evaluate each substitute request, and to obtain comments and direction from Owner. Engineer may require Contractor to furnish additional data about the proposed substitute item. Engineer will be the sole judge of acceptability. No substitute will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an acceptable substitute. Engineer's determination will be evidenced by a Field Order or a proposed Change Order accounting for the substitution itself and all related impacts, including changes in Contract Price or Contract Times. Engineer will advise Contractor in writing of any negative determination.
- C. *Special Guarantee*: Owner may require Contractor to furnish at Contractor's expense a special performance guarantee or other surety with respect to any substitute.
- D. *Reimbursement of Engineer's Cost*: Engineer will record Engineer's costs in evaluating a substitute proposed or submitted by Contractor. Whether or not Engineer approves a substitute so proposed or submitted by Contractor, Contractor shall reimburse Owner for the reasonable charges of Engineer for evaluating each such proposed substitute. Contractor shall also reimburse Owner for the reasonable charges of Engineer for making changes in the Contract Documents (or in the provisions of any other direct contract with Owner) resulting from the acceptance of each proposed substitute.

- E. *Contractor's Expense*: Contractor shall provide all data in support of any proposed substitute at Contractor's expense.
- F. *Effect of Engineer's Determination*: If Engineer approves the substitution request, Contractor shall execute the proposed Change Order and proceed with the substitution. The Engineer's denial of a substitution request will be final and binding, and may not be reversed through an appeal under any provision of the Contract. Contractor may challenge the scope of reimbursement costs imposed under Paragraph 7.06.D, by timely submittal of a Change Proposal.

7.07 *Concerning Subcontractors and Suppliers*

- A. Contractor may retain Subcontractors and Suppliers for the performance of parts of the Work. Such Subcontractors and Suppliers must be acceptable to Owner. The Contractor's retention of a Subcontractor or Supplier for the performance of parts of the Work will not relieve Contractor's obligation to Owner to perform and complete the Work in accordance with the Contract Documents.
- B. Contractor shall retain specific Subcontractors and Suppliers for the performance of designated parts of the Work if required by the Contract to do so.
- C. Subsequent to the submittal of Contractor's Bid or final negotiation of the terms of the Contract, Owner may not require Contractor to retain any Subcontractor or Supplier to furnish or perform any of the Work against which Contractor has reasonable objection.
- D. Prior to entry into any binding subcontract or purchase order, Contractor shall submit to Owner the identity of the proposed Subcontractor or Supplier (unless Owner has already deemed such proposed Subcontractor or Supplier acceptable during the bidding process or otherwise). Such proposed Subcontractor or Supplier shall be deemed acceptable to Owner unless Owner raises a substantive, reasonable objection within 5 days.
- E. Owner may require the replacement of any Subcontractor or Supplier. Owner also may require Contractor to retain specific replacements; provided, however, that Owner may not require a replacement to which Contractor has a reasonable objection. If Contractor has submitted the identity of certain Subcontractors or Suppliers for acceptance by Owner, and Owner has accepted it (either in writing or by failing to make written objection thereto), then Owner may subsequently revoke the acceptance of any such Subcontractor or Supplier so identified solely on the basis of substantive, reasonable objection after due investigation. Contractor shall submit an acceptable replacement for the rejected Subcontractor or Supplier.
- F. If Owner requires the replacement of any Subcontractor or Supplier retained by Contractor to perform any part of the Work, then Contractor shall be entitled to an adjustment in Contract Price or Contract Times, with respect to the replacement; and Contractor shall initiate a Change Proposal for such adjustment within 30 days of Owner's requirement of replacement.
- G. No acceptance by Owner of any such Subcontractor or Supplier, whether initially or as a replacement, will constitute a waiver of the right of Owner to the completion of the Work in accordance with the Contract Documents.

- H. On a monthly basis, Contractor shall submit to Engineer a complete list of all Subcontractors and Suppliers having a direct contract with Contractor, and of all other Subcontractors and Suppliers known to Contractor at the time of submittal.
- I. Contractor shall be solely responsible for scheduling and coordinating the work of Subcontractors and Suppliers.
- J. The divisions and sections of the Specifications and the identifications of any Drawings do not control Contractor in dividing the Work among Subcontractors or Suppliers, or in delineating the Work to be performed by any specific trade.
- K. All Work performed for Contractor by a Subcontractor or Supplier must be pursuant to an appropriate contractual agreement that specifically binds the Subcontractor or Supplier to the applicable terms and conditions of the Contract for the benefit of Owner and Engineer.
- L. Owner may furnish to any Subcontractor or Supplier, to the extent practicable, information about amounts paid to Contractor for Work performed for Contractor by the Subcontractor or Supplier.
- M. Contractor shall restrict all Subcontractors and Suppliers from communicating with Engineer or Owner, except through Contractor or in case of an emergency, or as otherwise expressly allowed in this Contract.

7.08 *Patent Fees and Royalties*

- A. Contractor shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If an invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the Work and if, to the actual knowledge of Owner or Engineer, its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights will be disclosed in the Contract Documents.
- B. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, and its officers, directors, members, partners, employees, agents, consultants, and subcontractors, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device specified in the Contract Documents, but not identified as being subject to payment of any license fee or royalty to others required by patent rights or copyrights.
- C. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device not specified in the Contract Documents.

7.09 *Permits*

- A. Unless otherwise provided in the Contract Documents, Contractor shall obtain and pay for all construction permits, licenses, and certificates of occupancy. Owner shall assist Contractor, when necessary, in obtaining such permits and licenses. Contractor shall pay all governmental charges and inspection fees necessary for the prosecution of the Work which are applicable at the time of the submission of Contractor's Bid (or when Contractor became bound under a negotiated contract). Owner shall pay all charges of utility owners for connections for providing permanent service to the Work.

7.10 *Taxes*

- A. Contractor shall pay all sales, consumer, use, and other similar taxes required to be paid by Contractor in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.

7.11 *Laws and Regulations*

- A. Contractor shall give all notices required by and shall comply with all Laws and Regulations applicable to the performance of the Work. Neither Owner nor Engineer shall be responsible for monitoring Contractor's compliance with any Laws or Regulations.
- B. If Contractor performs any Work or takes any other action knowing or having reason to know that it is contrary to Laws or Regulations, Contractor shall bear all resulting costs and losses, and shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such Work or other action. It is not Contractor's responsibility to make certain that the Work described in the Contract Documents is in accordance with Laws and Regulations, but this does not relieve Contractor of its obligations under Paragraph 3.03.
- C. Owner or Contractor may give written notice to the other party of any changes after the submission of Contractor's Bid (or after the date when Contractor became bound under a negotiated contract) in Laws or Regulations having an effect on the cost or time of performance of the Work, including but not limited to changes in Laws or Regulations having an effect on procuring permits and on sales, use, value-added, consumption, and other similar taxes. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times resulting from such changes, then within 30 days of such written notice Contractor may submit a Change Proposal, or Owner may initiate a Claim.

7.12 *Record Documents*

- A. Contractor shall maintain in a safe place at the Site one printed record copy of all Drawings, Specifications, Addenda, Change Orders, Work Change Directives, Field Orders, written interpretations and clarifications, and approved Shop Drawings. Contractor shall keep such record documents in good order and annotate them to show changes made during construction. These record documents, together with all approved Samples, will be available to Engineer for reference. Upon completion of the Work, Contractor shall deliver these record documents to Engineer.

7.13 *Safety and Protection*

- A. Contractor shall be solely responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the Work. Such responsibility does not relieve Subcontractors of their responsibility for the safety of persons or property in the performance of their work, nor for compliance with applicable safety Laws and Regulations.
- B. Contractor shall designate a qualified and experienced safety representative whose duties and responsibilities are the prevention of Work-related accidents and the maintenance and supervision of safety precautions and programs.
- C. Contractor shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury, or loss to:
 - 1. all persons on the Site or who may be affected by the Work;
 - 2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the Site; and
 - 3. other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, other work in progress, utilities, and Underground Facilities not designated for removal, relocation, or replacement in the course of construction.
- D. All damage, injury, or loss to any property referred to in Paragraph 7.13.C.2 or 7.13.C.3 caused, directly or indirectly, in whole or in part, by Contractor, any Subcontractor, Supplier, or any other individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, shall be remedied by Contractor at its expense (except damage or loss attributable to the fault of Drawings or Specifications or to the acts or omissions of Owner or Engineer or anyone employed by any of them, or anyone for whose acts any of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of Contractor or any Subcontractor, Supplier, or other individual or entity directly or indirectly employed by any of them).
- E. Contractor shall comply with all applicable Laws and Regulations relating to the safety of persons or property, or to the protection of persons or property from damage, injury, or loss; and shall erect and maintain all necessary safeguards for such safety and protection.
- F. Contractor shall notify Owner; the owners of adjacent property; the owners of Underground Facilities and other utilities (if the identity of such owners is known to Contractor); and other contractors and utility owners performing work at or adjacent to the Site, in writing, when Contractor knows that prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation, and replacement of their property or work in progress.
- G. Contractor shall comply with the applicable requirements of Owner's safety programs, if any. Any Owner's safety programs that are applicable to the Work are identified or included in the Supplementary Conditions or Specifications.
- H. Contractor shall inform Owner and Engineer of the specific requirements of Contractor's safety program with which Owner's and Engineer's employees and representatives must comply while at the Site.

- I. Contractor's duties and responsibilities for safety and protection will continue until all the Work is completed, Engineer has issued a written notice to Owner and Contractor in accordance with Paragraph 15.06.C that the Work is acceptable, and Contractor has left the Site (except as otherwise expressly provided in connection with Substantial Completion).
- J. Contractor's duties and responsibilities for safety and protection will resume whenever Contractor or any Subcontractor or Supplier returns to the Site to fulfill warranty or correction obligations, or to conduct other tasks arising from the Contract Documents.

7.14 *Hazard Communication Programs*

- A. Contractor shall be responsible for coordinating any exchange of safety data sheets (formerly known as material safety data sheets) or other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with Laws or Regulations.

7.15 *Emergencies*

- A. In emergencies affecting the safety or protection of persons or the Work or property at the Site or adjacent thereto, Contractor is obligated to act to prevent damage, injury, or loss. Contractor shall give Engineer prompt written notice if Contractor believes that any significant changes in the Work or variations from the Contract Documents have been caused by an emergency, or are required as a result of Contractor's response to an emergency. If Engineer determines that a change in the Contract Documents is required because of an emergency or Contractor's response, a Work Change Directive or Change Order will be issued.

7.16 *Submittals*

A. *Shop Drawing and Sample Requirements*

- 1. Before submitting a Shop Drawing or Sample, Contractor shall:
 - a. review and coordinate the Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents;
 - b. determine and verify:
 - 1) all field measurements, quantities, dimensions, specified performance and design criteria, installation requirements, materials, catalog numbers, and similar information with respect to the Submittal;
 - 2) the suitability of all materials and equipment offered with respect to the indicated application, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work; and
 - 3) all information relative to Contractor's responsibilities for means, methods, techniques, sequences, and procedures of construction, and safety precautions and programs incident thereto;
 - c. confirm that the Submittal is complete with respect to all related data included in the Submittal.
- 2. Each Shop Drawing or Sample must bear a stamp or specific written certification that Contractor has satisfied Contractor's obligations under the Contract Documents with respect to Contractor's review of that Submittal, and that Contractor approves the Submittal.

3. With each Shop Drawing or Sample, Contractor shall give Engineer specific written notice of any variations that the Submittal may have from the requirements of the Contract Documents. This notice must be set forth in a written communication separate from the Submittal; and, in addition, in the case of a Shop Drawing by a specific notation made on the Shop Drawing itself.
- B. *Submittal Procedures for Shop Drawings and Samples:* Contractor shall label and submit Shop Drawings and Samples to Engineer for review and approval in accordance with the accepted Schedule of Submittals.
1. *Shop Drawings*
 - a. Contractor shall submit the number of copies required in the Specifications.
 - b. Data shown on the Shop Drawings must be complete with respect to quantities, dimensions, specified performance and design criteria, materials, and similar data to show Engineer the services, materials, and equipment Contractor proposes to provide, and to enable Engineer to review the information for the limited purposes required by Paragraph 7.16.C.
 2. *Samples*
 - a. Contractor shall submit the number of Samples required in the Specifications.
 - b. Contractor shall clearly identify each Sample as to material, Supplier, pertinent data such as catalog numbers, the use for which intended and other data as Engineer may require to enable Engineer to review the Submittal for the limited purposes required by Paragraph 7.16.C.
 3. Where a Shop Drawing or Sample is required by the Contract Documents or the Schedule of Submittals, any related Work performed prior to Engineer's review and approval of the pertinent submittal will be at the sole expense and responsibility of Contractor.
- C. *Engineer's Review of Shop Drawings and Samples*
1. Engineer will provide timely review of Shop Drawings and Samples in accordance with the accepted Schedule of Submittals. Engineer's review and approval will be only to determine if the items covered by the Submittals will, after installation or incorporation in the Work, comply with the requirements of the Contract Documents, and be compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents.
 2. Engineer's review and approval will not extend to means, methods, techniques, sequences, or procedures of construction, or to safety precautions or programs incident thereto.
 3. Engineer's review and approval of a separate item as such will not indicate approval of the assembly in which the item functions.
 4. Engineer's review and approval of a Shop Drawing or Sample will not relieve Contractor from responsibility for any variation from the requirements of the Contract Documents unless Contractor has complied with the requirements of Paragraph 7.16.A.3 and Engineer has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample. Engineer will

document any such approved variation from the requirements of the Contract Documents in a Field Order or other appropriate Contract modification.

5. Engineer's review and approval of a Shop Drawing or Sample will not relieve Contractor from responsibility for complying with the requirements of Paragraphs 7.16.A and B.
6. Engineer's review and approval of a Shop Drawing or Sample, or of a variation from the requirements of the Contract Documents, will not, under any circumstances, change the Contract Times or Contract Price, unless such changes are included in a Change Order.
7. Neither Engineer's receipt, review, acceptance, or approval of a Shop Drawing or Sample will result in such item becoming a Contract Document.
8. Contractor shall perform the Work in compliance with the requirements and commitments set forth in approved Shop Drawings and Samples, subject to the provisions of Paragraph 7.16.C.4.

D. Resubmittal Procedures for Shop Drawings and Samples

1. Contractor shall make corrections required by Engineer and shall return the required number of corrected copies of Shop Drawings and submit, as required, new Samples for review and approval. Contractor shall direct specific attention in writing to revisions other than the corrections called for by Engineer on previous Submittals.
2. Contractor shall furnish required Shop Drawing and Sample submittals with sufficient information and accuracy to obtain required approval of an item with no more than two resubmittals. Engineer will record Engineer's time for reviewing a third or subsequent resubmittal of a Shop Drawing or Sample, and Contractor shall be responsible for Engineer's charges to Owner for such time. Owner may impose a set-off against payments due Contractor to secure reimbursement for such charges.
3. If Contractor requests a change of a previously approved Shop Drawing or Sample, Contractor shall be responsible for Engineer's charges to Owner for its review time, and Owner may impose a set-off against payments due Contractor to secure reimbursement for such charges, unless the need for such change is beyond the control of Contractor.

E. Submittals Other than Shop Drawings, Samples, and Owner-Delegated Designs

1. The following provisions apply to all Submittals other than Shop Drawings, Samples, and Owner-delegated designs:
 - a. Contractor shall submit all such Submittals to the Engineer in accordance with the Schedule of Submittals and pursuant to the applicable terms of the Contract Documents.
 - b. Engineer will provide timely review of all such Submittals in accordance with the Schedule of Submittals and return such Submittals with a notation of either Accepted or Not Accepted. Any such Submittal that is not returned within the time established in the Schedule of Submittals will be deemed accepted.
 - c. Engineer's review will be only to determine if the Submittal is acceptable under the requirements of the Contract Documents as to general form and content of the Submittal.

- d. If any such Submittal is not accepted, Contractor shall confer with Engineer regarding the reason for the non-acceptance, and resubmit an acceptable document.
 2. Procedures for the submittal and acceptance of the Progress Schedule, the Schedule of Submittals, and the Schedule of Values are set forth in Paragraphs 2.03, 2.04, and 2.05.
- F. Owner-delegated Designs: Submittals pursuant to Owner-delegated designs are governed by the provisions of Paragraph 7.19.

7.17 Contractor's General Warranty and Guarantee

- A. Contractor warrants and guarantees to Owner that all Work will be in accordance with the Contract Documents and will not be defective. Engineer is entitled to rely on Contractor's warranty and guarantee.
- B. Owner's rights under this warranty and guarantee are in addition to, and are not limited by, Owner's rights under the correction period provisions of Paragraph 15.08. The time in which Owner may enforce its warranty and guarantee rights under this Paragraph 7.17 is limited only by applicable Laws and Regulations restricting actions to enforce such rights; provided, however, that after the end of the correction period under Paragraph 15.08:
1. Owner shall give Contractor written notice of any defective Work within 60 days of the discovery that such Work is defective; and
 2. Such notice will be deemed the start of an event giving rise to a Claim under Paragraph 12.01.B, such that any related Claim must be brought within 30 days of the notice.
- C. Contractor's warranty and guarantee hereunder excludes defects or damage caused by:
1. abuse, or improper modification, maintenance, or operation, by persons other than Contractor, Subcontractors, Suppliers, or any other individual or entity for whom Contractor is responsible; or
 2. normal wear and tear under normal usage.
- D. Contractor's obligation to perform and complete the Work in accordance with the Contract Documents is absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents, a release of Contractor's obligation to perform the Work in accordance with the Contract Documents, or a release of Owner's warranty and guarantee rights under this Paragraph 7.17:
1. Observations by Engineer;
 2. Recommendation by Engineer or payment by Owner of any progress or final payment;
 3. The issuance of a certificate of Substantial Completion by Engineer or any payment related thereto by Owner;
 4. Use or occupancy of the Work or any part thereof by Owner;
 5. Any review and approval of a Shop Drawing or Sample submittal;
 6. The issuance of a notice of acceptability by Engineer;
 7. The end of the correction period established in Paragraph 15.08;
 8. Any inspection, test, or approval by others; or

9. Any correction of defective Work by Owner.
- E. If the Contract requires the Contractor to accept the assignment of a contract entered into by Owner, then the specific warranties, guarantees, and correction obligations contained in the assigned contract will govern with respect to Contractor's performance obligations to Owner for the Work described in the assigned contract.

7.18 *Indemnification*

- A. To the fullest extent permitted by Laws and Regulations, and in addition to any other obligations of Contractor under the Contract or otherwise, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, from losses, damages, costs, and judgments (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising from third-party claims or actions relating to or resulting from the performance or furnishing of the Work, provided that any such claim, action, loss, cost, judgment or damage is attributable to bodily injury, sickness, disease, or death, or to damage to or destruction of tangible property (other than the Work itself), including the loss of use resulting therefrom, but only to the extent caused by any negligent act or omission of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable.
- B. In any and all claims against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, by any employee (or the survivor or personal representative of such employee) of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 7.18.A will not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for Contractor or any such Subcontractor, Supplier, or other individual or entity under workers' compensation acts, disability benefit acts, or other employee benefit acts.

7.19 *Delegation of Professional Design Services*

- A. Owner may require Contractor to provide professional design services for a portion of the Work by express delegation in the Contract Documents. Such delegation will specify the performance and design criteria that such services must satisfy, and the Submittals that Contractor must furnish to Engineer with respect to the Owner-delegated design.
- B. Contractor shall cause such Owner-delegated professional design services to be provided pursuant to the professional standard of care by a properly licensed design professional, whose signature and seal must appear on all drawings, calculations, specifications, certifications, and Submittals prepared by such design professional. Such design professional must issue all certifications of design required by Laws and Regulations.
- C. If a Shop Drawing or other Submittal related to the Owner-delegated design is prepared by Contractor, a Subcontractor, or others for submittal to Engineer, then such Shop Drawing or other Submittal must bear the written approval of Contractor's design professional when submitted by Contractor to Engineer.

- D. Owner and Engineer shall be entitled to rely upon the adequacy, accuracy, and completeness of the services, certifications, and approvals performed or provided by the design professionals retained or employed by Contractor under an Owner-delegated design, subject to the professional standard of care and the performance and design criteria stated in the Contract Documents.
- E. Pursuant to this Paragraph 7.19, Engineer's review, approval, and other determinations regarding design drawings, calculations, specifications, certifications, and other Submittals furnished by Contractor pursuant to an Owner-delegated design will be only for the following limited purposes:
 - 1. Checking for conformance with the requirements of this Paragraph 7.19;
 - 2. Confirming that Contractor (through its design professionals) has used the performance and design criteria specified in the Contract Documents; and
 - 3. Establishing that the design furnished by Contractor is consistent with the design concept expressed in the Contract Documents.
- F. Contractor shall not be responsible for the adequacy of performance or design criteria specified by Owner or Engineer.
- G. Contractor is not required to provide professional services in violation of applicable Laws and Regulations.

ARTICLE 8—OTHER WORK AT THE SITE

8.01 *Other Work*

- A. In addition to and apart from the Work under the Contract Documents, the Owner may perform other work at or adjacent to the Site. Such other work may be performed by Owner's employees, or through contracts between the Owner and third parties. Owner may also arrange to have third-party utility owners perform work on their utilities and facilities at or adjacent to the Site.
- B. If Owner performs other work at or adjacent to the Site with Owner's employees, or through contracts for such other work, then Owner shall give Contractor written notice thereof prior to starting any such other work. If Owner has advance information regarding the start of any third-party utility work that Owner has arranged to take place at or adjacent to the Site, Owner shall provide such information to Contractor.
- C. Contractor shall afford proper and safe access to the Site to each contractor that performs such other work, each utility owner performing other work, and Owner, if Owner is performing other work with Owner's employees, and provide a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work.
- D. Contractor shall do all cutting, fitting, and patching of the Work that may be required to properly connect or otherwise make its several parts come together and properly integrate with such other work. Contractor shall not endanger any work of others by cutting, excavating, or otherwise altering such work; provided, however, that Contractor may cut or alter others' work with the written consent of Engineer and the others whose work will be affected.

- E. If the proper execution or results of any part of Contractor's Work depends upon work performed by others, Contractor shall inspect such other work and promptly report to Engineer in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for the proper execution and results of Contractor's Work. Contractor's failure to so report will constitute an acceptance of such other work as fit and proper for integration with Contractor's Work except for latent defects and deficiencies in such other work.
- F. The provisions of this article are not applicable to work that is performed by third-party utilities or other third-party entities without a contract with Owner, or that is performed without having been arranged by Owner. If such work occurs, then any related delay, disruption, or interference incurred by Contractor is governed by the provisions of Paragraph 4.05.C.3.

8.02 *Coordination*

- A. If Owner intends to contract with others for the performance of other work at or adjacent to the Site, to perform other work at or adjacent to the Site with Owner's employees, or to arrange to have utility owners perform work at or adjacent to the Site, the following will be set forth in the Supplementary Conditions or provided to Contractor prior to the start of any such other work:
 - 1. The identity of the individual or entity that will have authority and responsibility for coordination of the activities among the various contractors;
 - 2. An itemization of the specific matters to be covered by such authority and responsibility; and
 - 3. The extent of such authority and responsibilities.
- B. Unless otherwise provided in the Supplementary Conditions, Owner shall have sole authority and responsibility for such coordination.

8.03 *Legal Relationships*

- A. If, in the course of performing other work for Owner at or adjacent to the Site, the Owner's employees, any other contractor working for Owner, or any utility owner that Owner has arranged to perform work, causes damage to the Work or to the property of Contractor or its Subcontractors, or delays, disrupts, interferes with, or increases the scope or cost of the performance of the Work, through actions or inaction, then Contractor shall be entitled to an equitable adjustment in the Contract Price or the Contract Times. Contractor must submit any Change Proposal seeking an equitable adjustment in the Contract Price or the Contract Times under this paragraph within 30 days of the damaging, delaying, disrupting, or interfering event. The entitlement to, and extent of, any such equitable adjustment will take into account information (if any) regarding such other work that was provided to Contractor in the Contract Documents prior to the submittal of the Bid or the final negotiation of the terms of the Contract, and any remedies available to Contractor under Laws or Regulations concerning utility action or inaction. When applicable, any such equitable adjustment in Contract Price will be conditioned on Contractor assigning to Owner all Contractor's rights against such other contractor or utility owner with respect to the damage, delay, disruption, or interference that is the subject of the adjustment. Contractor's entitlement to an adjustment of the Contract Times or Contract Price is subject to the provisions of Paragraphs 4.05.D and 4.05.E.

- B. Contractor shall take reasonable and customary measures to avoid damaging, delaying, disrupting, or interfering with the work of Owner, any other contractor, or any utility owner performing other work at or adjacent to the Site.
 - 1. If Contractor fails to take such measures and as a result damages, delays, disrupts, or interferes with the work of any such other contractor or utility owner, then Owner may impose a set-off against payments due Contractor, and assign to such other contractor or utility owner the Owner's contractual rights against Contractor with respect to the breach of the obligations set forth in this Paragraph 8.03.B.
 - 2. When Owner is performing other work at or adjacent to the Site with Owner's employees, Contractor shall be liable to Owner for damage to such other work, and for the reasonable direct delay, disruption, and interference costs incurred by Owner as a result of Contractor's failure to take reasonable and customary measures with respect to Owner's other work. In response to such damage, delay, disruption, or interference, Owner may impose a set-off against payments due Contractor.
- C. If Contractor damages, delays, disrupts, or interferes with the work of any other contractor, or any utility owner performing other work at or adjacent to the Site, through Contractor's failure to take reasonable and customary measures to avoid such impacts, or if any claim arising out of Contractor's actions, inactions, or negligence in performance of the Work at or adjacent to the Site is made by any such other contractor or utility owner against Contractor, Owner, or Engineer, then Contractor shall (1) promptly attempt to settle the claim as to all parties through negotiations with such other contractor or utility owner, or otherwise resolve the claim by arbitration or other dispute resolution proceeding or at law, and (2) indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claims, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such damage, delay, disruption, or interference.

ARTICLE 9—OWNER'S RESPONSIBILITIES

9.01 *Communications to Contractor*

- A. Except as otherwise provided in these General Conditions, Owner shall issue all communications to Contractor through Engineer.

9.02 *Replacement of Engineer*

- A. Owner may at its discretion appoint an engineer to replace Engineer, provided Contractor makes no reasonable objection to the replacement engineer. The replacement engineer's status under the Contract Documents will be that of the former Engineer.

9.03 *Furnish Data*

- A. Owner shall promptly furnish the data required of Owner under the Contract Documents.

9.04 *Pay When Due*

- A. Owner shall make payments to Contractor when they are due as provided in the Agreement.

- 9.05 *Lands and Easements; Reports, Tests, and Drawings*
- A. Owner's duties with respect to providing lands and easements are set forth in Paragraph 5.01.
 - B. Owner's duties with respect to providing engineering surveys to establish reference points are set forth in Paragraph 4.03.
 - C. Article 5 refers to Owner's identifying and making available to Contractor copies of reports of explorations and tests of conditions at the Site, and drawings of physical conditions relating to existing surface or subsurface structures at the Site.
- 9.06 *Insurance*
- A. Owner's responsibilities, if any, with respect to purchasing and maintaining liability and property insurance are set forth in Article 6.
- 9.07 *Change Orders*
- A. Owner's responsibilities with respect to Change Orders are set forth in Article 11.
- 9.08 *Inspections, Tests, and Approvals*
- A. Owner's responsibility with respect to certain inspections, tests, and approvals is set forth in Paragraph 14.02.B.
- 9.09 *Limitations on Owner's Responsibilities*
- A. The Owner shall not supervise, direct, or have control or authority over, nor be responsible for, Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Owner will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.
- 9.10 *Undisclosed Hazardous Environmental Condition*
- A. Owner's responsibility in respect to an undisclosed Hazardous Environmental Condition is set forth in Paragraph 5.06.
- 9.11 *Evidence of Financial Arrangements*
- A. Upon request of Contractor, Owner shall furnish Contractor reasonable evidence that financial arrangements have been made to satisfy Owner's obligations under the Contract (including obligations under proposed changes in the Work).
- 9.12 *Safety Programs*
- A. While at the Site, Owner's employees and representatives shall comply with the specific applicable requirements of Contractor's safety programs of which Owner has been informed.
 - B. Owner shall furnish copies of any applicable Owner safety programs to Contractor.

ARTICLE 10—ENGINEER'S STATUS DURING CONSTRUCTION

10.01 *Owner's Representative*

- A. Engineer will be Owner's representative during the construction period. The duties and responsibilities and the limitations of authority of Engineer as Owner's representative during construction are set forth in the Contract.

10.02 *Visits to Site*

- A. Engineer will make visits to the Site at intervals appropriate to the various stages of construction as Engineer deems necessary in order to observe, as an experienced and qualified design professional, the progress that has been made and the quality of the various aspects of Contractor's executed Work. Based on information obtained during such visits and observations, Engineer, for the benefit of Owner, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. Engineer will not be required to make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. Engineer's efforts will be directed toward providing for Owner a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and observations, Engineer will keep Owner informed of the progress of the Work and will endeavor to guard Owner against defective Work.
- B. Engineer's visits and observations are subject to all the limitations on Engineer's authority and responsibility set forth in Paragraph 10.07. Particularly, but without limitation, during or as a result of Engineer's visits or observations of Contractor's Work, Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work.

10.03 *Resident Project Representative*

- A. If Owner and Engineer have agreed that Engineer will furnish a Resident Project Representative to represent Engineer at the Site and assist Engineer in observing the progress and quality of the Work, then the authority and responsibilities of any such Resident Project Representative will be as provided in the Supplementary Conditions, and limitations on the responsibilities thereof will be as provided in the Supplementary Conditions and in Paragraph 10.07.
- B. If Owner designates an individual or entity who is not Engineer's consultant, agent, or employee to represent Owner at the Site, then the responsibilities and authority of such individual or entity will be as provided in the Supplementary Conditions.

10.04 *Engineer's Authority*

- A. Engineer has the authority to reject Work in accordance with Article 14.
- B. Engineer's authority as to Submittals is set forth in Paragraph 7.16.
- C. Engineer's authority as to design drawings, calculations, specifications, certifications and other Submittals from Contractor in response to Owner's delegation (if any) to Contractor of professional design services, is set forth in Paragraph 7.19.
- D. Engineer's authority as to changes in the Work is set forth in Article 11.

E. Engineer's authority as to Applications for Payment is set forth in Article 15.

10.05 *Determinations for Unit Price Work*

A. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor as set forth in Paragraph 13.03.

10.06 *Decisions on Requirements of Contract Documents and Acceptability of Work*

A. Engineer will render decisions regarding the requirements of the Contract Documents, and judge the acceptability of the Work, pursuant to the specific procedures set forth herein for initial interpretations, Change Proposals, and acceptance of the Work. In rendering such decisions and judgments, Engineer will not show partiality to Owner or Contractor, and will not be liable to Owner, Contractor, or others in connection with any proceedings, interpretations, decisions, or judgments conducted or rendered in good faith.

10.07 *Limitations on Engineer's Authority and Responsibilities*

A. Neither Engineer's authority or responsibility under this Article 10 or under any other provision of the Contract, nor any decision made by Engineer in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by Engineer, will create, impose, or give rise to any duty in contract, tort, or otherwise owed by Engineer to Contractor, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them.

B. Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Engineer will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.

C. Engineer will not be responsible for the acts or omissions of Contractor or of any Subcontractor, any Supplier, or of any other individual or entity performing any of the Work.

D. Engineer's review of the final Application for Payment and accompanying documentation, and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by Contractor under Paragraph 15.06.A, will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals, that the results certified indicate compliance with the Contract Documents.

E. The limitations upon authority and responsibility set forth in this Paragraph 10.07 also apply to the Resident Project Representative, if any.

10.08 *Compliance with Safety Program*

A. While at the Site, Engineer's employees and representatives will comply with the specific applicable requirements of Owner's and Contractor's safety programs of which Engineer has been informed.

ARTICLE 11—CHANGES TO THE CONTRACT

11.01 *Amending and Supplementing the Contract*

- A. The Contract may be amended or supplemented by a Change Order, a Work Change Directive, or a Field Order.
- B. If an amendment or supplement to the Contract includes a change in the Contract Price or the Contract Times, such amendment or supplement must be set forth in a Change Order.
- C. All changes to the Contract that involve (1) the performance or acceptability of the Work, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, must be supported by Engineer's recommendation. Owner and Contractor may amend other terms and conditions of the Contract without the recommendation of the Engineer.

11.02 *Change Orders*

- A. Owner and Contractor shall execute appropriate Change Orders covering:
 - 1. Changes in Contract Price or Contract Times which are agreed to by the parties, including any undisputed sum or amount of time for Work actually performed in accordance with a Work Change Directive;
 - 2. Changes in Contract Price resulting from an Owner set-off, unless Contractor has duly contested such set-off;
 - 3. Changes in the Work which are: (a) ordered by Owner pursuant to Paragraph 11.05, (b) required because of Owner's acceptance of defective Work under Paragraph 14.04 or Owner's correction of defective Work under Paragraph 14.07, or (c) agreed to by the parties, subject to the need for Engineer's recommendation if the change in the Work involves the design (as set forth in the Drawings, Specifications, or otherwise) or other engineering or technical matters; and
 - 4. Changes that embody the substance of any final and binding results under: Paragraph 11.03.B, resolving the impact of a Work Change Directive; Paragraph 11.09, concerning Change Proposals; Article 12, Claims; Paragraph 13.02.D, final adjustments resulting from allowances; Paragraph 13.03.D, final adjustments relating to determination of quantities for Unit Price Work; and similar provisions.
- B. If Owner or Contractor refuses to execute a Change Order that is required to be executed under the terms of Paragraph 11.02.A, it will be deemed to be of full force and effect, as if fully executed.

11.03 *Work Change Directives*

- A. A Work Change Directive will not change the Contract Price or the Contract Times but is evidence that the parties expect that the modification ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order, following negotiations by the parties as to the Work Change Directive's effect, if any, on the Contract Price and Contract Times; or, if negotiations are unsuccessful, by a determination under the terms of the Contract Documents governing adjustments, expressly including Paragraph 11.07 regarding change of Contract Price.

- B. If Owner has issued a Work Change Directive and:
 - 1. Contractor believes that an adjustment in Contract Times or Contract Price is necessary, then Contractor shall submit any Change Proposal seeking such an adjustment no later than 30 days after the completion of the Work set out in the Work Change Directive.
 - 2. Owner believes that an adjustment in Contract Times or Contract Price is necessary, then Owner shall submit any Claim seeking such an adjustment no later than 60 days after issuance of the Work Change Directive.

11.04 *Field Orders*

- A. Engineer may authorize minor changes in the Work if the changes do not involve an adjustment in the Contract Price or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. Such changes will be accomplished by a Field Order and will be binding on Owner and also on Contractor, which shall perform the Work involved promptly.
- B. If Contractor believes that a Field Order justifies an adjustment in the Contract Price or Contract Times, then before proceeding with the Work at issue, Contractor shall submit a Change Proposal as provided herein.

11.05 *Owner-Authorized Changes in the Work*

- A. Without invalidating the Contract and without notice to any surety, Owner may, at any time or from time to time, order additions, deletions, or revisions in the Work. Changes involving the design (as set forth in the Drawings, Specifications, or otherwise) or other engineering or technical matters will be supported by Engineer's recommendation.
- B. Such changes in the Work may be accomplished by a Change Order, if Owner and Contractor have agreed as to the effect, if any, of the changes on Contract Times or Contract Price; or by a Work Change Directive. Upon receipt of any such document, Contractor shall promptly proceed with the Work involved; or, in the case of a deletion in the Work, promptly cease construction activities with respect to such deleted Work. Added or revised Work must be performed under the applicable conditions of the Contract Documents.
- C. Nothing in this Paragraph 11.05 obligates Contractor to undertake work that Contractor reasonably concludes cannot be performed in a manner consistent with Contractor's safety obligations under the Contract Documents or Laws and Regulations.

11.06 *Unauthorized Changes in the Work*

- A. Contractor shall not be entitled to an increase in the Contract Price or an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents, as amended, modified, or supplemented, except in the case of an emergency as provided in Paragraph 7.15 or in the case of uncovering Work as provided in Paragraph 14.05.C.2.

11.07 *Change of Contract Price*

- A. The Contract Price may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Price must comply with the provisions of Paragraph 11.09. Any Claim for an adjustment of Contract Price must comply with the provisions of Article 12.
- B. An adjustment in the Contract Price will be determined as follows:

1. Where the Work involved is covered by unit prices contained in the Contract Documents, then by application of such unit prices to the quantities of the items involved (subject to the provisions of Paragraph 13.03);
 2. Where the Work involved is not covered by unit prices contained in the Contract Documents, then by a mutually agreed lump sum (which may include an allowance for overhead and profit not necessarily in accordance with Paragraph 11.07.C.2); or
 3. Where the Work involved is not covered by unit prices contained in the Contract Documents and the parties do not reach mutual agreement to a lump sum, then on the basis of the Cost of the Work (determined as provided in Paragraph 13.01) plus a Contractor's fee for overhead and profit (determined as provided in Paragraph 11.07.C).
- C. *Contractor's Fee:* When applicable, the Contractor's fee for overhead and profit will be determined as follows:
1. A mutually acceptable fixed fee; or
 2. If a fixed fee is not agreed upon, then a fee based on the following percentages of the various portions of the Cost of the Work:
 - a. For costs incurred under Paragraphs 13.01.B.1 and 13.01.B.2, the Contractor's fee will be 15 percent;
 - b. For costs incurred under Paragraph 13.01.B.3, the Contractor's fee will be 5 percent;
 - c. Where one or more tiers of subcontracts are on the basis of Cost of the Work plus a fee and no fixed fee is agreed upon, the intent of Paragraphs 11.07.C.2.a and 11.07.C.2.b is that the Contractor's fee will be based on: (1) a fee of 15 percent of the costs incurred under Paragraphs 13.01.B.1 and 13.01.B.2 by the Subcontractor that actually performs the Work, at whatever tier, and (2) with respect to Contractor itself and to any Subcontractors of a tier higher than that of the Subcontractor that actually performs the Work, a fee of 5 percent of the amount (fee plus underlying costs incurred) attributable to the next lower tier Subcontractor; provided, however, that for any such subcontracted Work the maximum total fee to be paid by Owner will be no greater than 27 percent of the costs incurred by the Subcontractor that actually performs the Work;
 - d. No fee will be payable on the basis of costs itemized under Paragraphs 13.01.B.4, 13.01.B.5, and 13.01.C;
 - e. The amount of credit to be allowed by Contractor to Owner for any change which results in a net decrease in Cost of the Work will be the amount of the actual net decrease in Cost of the Work and a deduction of an additional amount equal to 5 percent of such actual net decrease in Cost of the Work; and
 - f. When both additions and credits are involved in any one change or Change Proposal, the adjustment in Contractor's fee will be computed by determining the sum of the costs in each of the cost categories in Paragraph 13.01.B (specifically, payroll costs, Paragraph 13.01.B.1; incorporated materials and equipment costs, Paragraph 13.01.B.2; Subcontract costs, Paragraph 13.01.B.3; special consultants costs, Paragraph 13.01.B.4; and other costs, Paragraph 13.01.B.5) and applying to each such cost category sum the appropriate fee from Paragraphs 11.07.C.2.a through 11.07.C.2.e, inclusive.

11.08 *Change of Contract Times*

- A. The Contract Times may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Times must comply with the provisions of Paragraph 11.09. Any Claim for an adjustment in the Contract Times must comply with the provisions of Article 12.
- B. Delay, disruption, and interference in the Work, and any related changes in Contract Times, are addressed in and governed by Paragraph 4.05.

11.09 *Change Proposals*

A. *Purpose and Content:* Contractor shall submit a Change Proposal to Engineer to request an adjustment in the Contract Times or Contract Price; contest an initial decision by Engineer concerning the requirements of the Contract Documents or relating to the acceptability of the Work under the Contract Documents; challenge a set-off against payment due; or seek other relief under the Contract. The Change Proposal will specify any proposed change in Contract Times or Contract Price, or other proposed relief, and explain the reason for the proposed change, with citations to any governing or applicable provisions of the Contract Documents. Each Change Proposal will address only one issue, or a set of closely related issues.

B. *Change Proposal Procedures*

1. *Submittal:* Contractor shall submit each Change Proposal to Engineer within 30 days after the start of the event giving rise thereto, or after such initial decision.
2. *Supporting Data:* The Contractor shall submit supporting data, including the proposed change in Contract Price or Contract Time (if any), to the Engineer and Owner within 15 days after the submittal of the Change Proposal.
 - a. Change Proposals based on or related to delay, interruption, or interference must comply with the provisions of Paragraphs 4.05.D and 4.05.E.
 - b. Change proposals related to a change of Contract Price must include full and detailed accounts of materials incorporated into the Work and labor and equipment used for the subject Work.

The supporting data must be accompanied by a written statement that the supporting data are accurate and complete, and that any requested time or price adjustment is the entire adjustment to which Contractor believes it is entitled as a result of said event.

3. *Engineer's Initial Review:* Engineer will advise Owner regarding the Change Proposal, and consider any comments or response from Owner regarding the Change Proposal. If in its discretion Engineer concludes that additional supporting data is needed before conducting a full review and making a decision regarding the Change Proposal, then Engineer may request that Contractor submit such additional supporting data by a date specified by Engineer, prior to Engineer beginning its full review of the Change Proposal.
4. *Engineer's Full Review and Action on the Change Proposal:* Upon receipt of Contractor's supporting data (including any additional data requested by Engineer), Engineer will conduct a full review of each Change Proposal and, within 30 days after such receipt of the Contractor's supporting data, either approve the Change Proposal in whole, deny it in whole, or approve it in part and deny it in part. Such actions must be in writing, with a copy provided to Owner and Contractor. If Engineer does not take action on the Change

Proposal within 30 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of Engineer's inaction the Change Proposal is deemed denied, thereby commencing the time for appeal of the denial under Article 12.

5. *Binding Decision*: Engineer's decision is final and binding upon Owner and Contractor, unless Owner or Contractor appeals the decision by filing a Claim under Article 12.
- C. *Resolution of Certain Change Proposals*: If the Change Proposal does not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters, then Engineer will notify the parties in writing that the Engineer is unable to resolve the Change Proposal. For purposes of further resolution of such a Change Proposal, such notice will be deemed a denial, and Contractor may choose to seek resolution under the terms of Article 12.
- D. *Post-Completion*: Contractor shall not submit any Change Proposals after Engineer issues a written recommendation of final payment pursuant to Paragraph 15.06.B.

11.10 *Notification to Surety*

- A. If the provisions of any bond require notice to be given to a surety of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Times), the giving of any such notice will be Contractor's responsibility. The amount of each applicable bond will be adjusted to reflect the effect of any such change.

ARTICLE 12—CLAIMS

12.01 *Claims*

- A. *Claims Process*: The following disputes between Owner and Contractor are subject to the Claims process set forth in this article:
 1. Appeals by Owner or Contractor of Engineer's decisions regarding Change Proposals;
 2. Owner demands for adjustments in the Contract Price or Contract Times, or other relief under the Contract Documents;
 3. Disputes that Engineer has been unable to address because they do not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters; and
 4. Subject to the waiver provisions of Paragraph 15.07, any dispute arising after Engineer has issued a written recommendation of final payment pursuant to Paragraph 15.06.B.
- B. *Submittal of Claim*: The party submitting a Claim shall deliver it directly to the other party to the Contract promptly (but in no event later than 30 days) after the start of the event giving rise thereto; in the case of appeals regarding Change Proposals within 30 days of the decision under appeal. The party submitting the Claim shall also furnish a copy to the Engineer, for its information only. The responsibility to substantiate a Claim rests with the party making the Claim. In the case of a Claim by Contractor seeking an increase in the Contract Times or Contract Price, Contractor shall certify that the Claim is made in good faith, that the supporting data are accurate and complete, and that to the best of Contractor's knowledge

and believe the amount of time or money requested accurately reflects the full amount to which Contractor is entitled.

- C. *Review and Resolution*: The party receiving a Claim shall review it thoroughly, giving full consideration to its merits. The two parties shall seek to resolve the Claim through the exchange of information and direct negotiations. The parties may extend the time for resolving the Claim by mutual agreement. All actions taken on a Claim will be stated in writing and submitted to the other party, with a copy to Engineer.
- D. *Mediation*
 - 1. At any time after initiation of a Claim, Owner and Contractor may mutually agree to mediation of the underlying dispute. The agreement to mediate will stay the Claim submittal and response process.
 - 2. If Owner and Contractor agree to mediation, then after 60 days from such agreement, either Owner or Contractor may unilaterally terminate the mediation process, and the Claim submittal and decision process will resume as of the date of the termination. If the mediation proceeds but is unsuccessful in resolving the dispute, the Claim submittal and decision process will resume as of the date of the conclusion of the mediation, as determined by the mediator.
 - 3. Owner and Contractor shall each pay one-half of the mediator's fees and costs.
- E. *Partial Approval*: If the party receiving a Claim approves the Claim in part and denies it in part, such action will be final and binding unless within 30 days of such action the other party invokes the procedure set forth in Article 17 for final resolution of disputes.
- F. *Denial of Claim*: If efforts to resolve a Claim are not successful, the party receiving the Claim may deny it by giving written notice of denial to the other party. If the receiving party does not take action on the Claim within 90 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of the inaction, the Claim is deemed denied, thereby commencing the time for appeal of the denial. A denial of the Claim will be final and binding unless within 30 days of the denial the other party invokes the procedure set forth in Article 17 for the final resolution of disputes.
- G. *Final and Binding Results*: If the parties reach a mutual agreement regarding a Claim, whether through approval of the Claim, direct negotiations, mediation, or otherwise; or if a Claim is approved in part and denied in part, or denied in full, and such actions become final and binding; then the results of the agreement or action on the Claim will be incorporated in a Change Order or other written document to the extent they affect the Contract, including the Work, the Contract Times, or the Contract Price.

ARTICLE 13—COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK

13.01 *Cost of the Work*

- A. *Purposes for Determination of Cost of the Work*: The term Cost of the Work means the sum of all costs necessary for the proper performance of the Work at issue, as further defined below. The provisions of this Paragraph 13.01 are used for two distinct purposes:
 - 1. To determine Cost of the Work when Cost of the Work is a component of the Contract Price, under cost-plus-fee, time-and-materials, or other cost-based terms; or

2. When needed to determine the value of a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price. When the value of any such adjustment is determined on the basis of Cost of the Work, Contractor is entitled only to those additional or incremental costs required because of the change in the Work or because of the event giving rise to the adjustment.
- B. *Costs Included:* Except as otherwise may be agreed to in writing by Owner, costs included in the Cost of the Work will be in amounts no higher than those commonly incurred in the locality of the Project, will not include any of the costs itemized in Paragraph 13.01.C, and will include only the following items:
1. Payroll costs for employees in the direct employ of Contractor in the performance of the Work under schedules of job classifications agreed upon by Owner and Contractor in advance of the subject Work. Such employees include, without limitation, superintendents, foremen, safety managers, safety representatives, and other personnel employed full time on the Work. Payroll costs for employees not employed full time on the Work will be apportioned on the basis of their time spent on the Work. Payroll costs include, but are not limited to, salaries and wages plus the cost of fringe benefits, which include social security contributions, unemployment, excise, and payroll taxes, workers' compensation, health and retirement benefits, sick leave, and vacation and holiday pay applicable thereto. The expenses of performing Work outside of regular working hours, on Saturday, Sunday, or legal holidays, will be included in the above to the extent authorized by Owner.
 2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts accrue to Contractor unless Owner deposits funds with Contractor with which to make payments, in which case the cash discounts will accrue to Owner. All trade discounts, rebates, and refunds and returns from sale of surplus materials and equipment will accrue to Owner, and Contractor shall make provisions so that they may be obtained.
 3. Payments made by Contractor to Subcontractors for Work performed by Subcontractors. If required by Owner, Contractor shall obtain competitive bids from subcontractors acceptable to Owner and Contractor and shall deliver such bids to Owner, which will then determine, with the advice of Engineer, which bids, if any, will be acceptable. If any subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work plus a fee, the Subcontractor's Cost of the Work and fee will be determined in the same manner as Contractor's Cost of the Work and fee as provided in this Paragraph 13.01.
 4. Costs of special consultants (including but not limited to engineers, architects, testing laboratories, surveyors, attorneys, and accountants) employed or retained for services specifically related to the Work.
 5. Other costs consisting of the following:
 - a. The proportion of necessary transportation, travel, and subsistence expenses of Contractor's employees incurred in discharge of duties connected with the Work.
 - b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office, and temporary facilities at the Site, which are

consumed in the performance of the Work, and cost, less market value, of such items used but not consumed which remain the property of Contractor.

- 1) In establishing included costs for materials such as scaffolding, plating, or sheeting, consideration will be given to the actual or the estimated life of the material for use on other projects; or rental rates may be established on the basis of purchase or salvage value of such items, whichever is less. Contractor will not be eligible for compensation for such items in an amount that exceeds the purchase cost of such item.

c. *Construction Equipment Rental*

- 1) Rentals of all construction equipment and machinery, and the parts thereof, in accordance with rental agreements approved by Owner as to price (including any surcharge or special rates applicable to overtime use of the construction equipment or machinery), and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs will be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts must cease when the use thereof is no longer necessary for the Work.
- 2) Costs for equipment and machinery owned by Contractor or a Contractor-related entity will be paid at a rate shown for such equipment in the equipment rental rate book specified in the Supplementary Conditions. An hourly rate will be computed by dividing the monthly rates by 176. These computed rates will include all operating costs.
- 3) With respect to Work that is the result of a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price ("changed Work"), included costs will be based on the time the equipment or machinery is in use on the changed Work and the costs of transportation, loading, unloading, assembly, dismantling, and removal when directly attributable to the changed Work. The cost of any such equipment or machinery, or parts thereof, must cease to accrue when the use thereof is no longer necessary for the changed Work.

- d. Sales, consumer, use, and other similar taxes related to the Work, and for which Contractor is liable, as imposed by Laws and Regulations.
- e. Deposits lost for causes other than negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.
- f. Losses and damages (and related expenses) caused by damage to the Work, not compensated by insurance or otherwise, sustained by Contractor in connection with the performance of the Work (except losses and damages within the deductible amounts of builder's risk or other property insurance established in accordance with Paragraph 6.04), provided such losses and damages have resulted from causes other than the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses include settlements made with the written consent and approval of Owner. No such losses, damages, and expenses will be included in the Cost of the Work for the purpose of determining Contractor's fee.

- g. The cost of utilities, fuel, and sanitary facilities at the Site.
- h. Minor expenses such as communication service at the Site, express and courier services, and similar petty cash items in connection with the Work.
- i. The costs of premiums for all bonds and insurance that Contractor is required by the Contract Documents to purchase and maintain.

C. *Costs Excluded*: The term Cost of the Work does not include any of the following items:

- 1. Payroll costs and other compensation of Contractor's officers, executives, principals, general managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expeditors, timekeepers, clerks, and other personnel employed by Contractor, whether at the Site or in Contractor's principal or branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in Paragraph 13.01.B.1 or specifically covered by Paragraph 13.01.B.4. The payroll costs and other compensation excluded here are to be considered administrative costs covered by the Contractor's fee.
- 2. The cost of purchasing, renting, or furnishing small tools and hand tools.
- 3. Expenses of Contractor's principal and branch offices other than Contractor's office at the Site.
- 4. Any part of Contractor's capital expenses, including interest on Contractor's capital employed for the Work and charges against Contractor for delinquent payments.
- 5. Costs due to the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied, and making good any damage to property.
- 6. Expenses incurred in preparing and advancing Claims.
- 7. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in Paragraph 13.01.B.

D. *Contractor's Fee*

- 1. When the Work as a whole is performed on the basis of cost-plus-a-fee, then:
 - a. Contractor's fee for the Work set forth in the Contract Documents as of the Effective Date of the Contract will be determined as set forth in the Agreement.
 - b. for any Work covered by a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price on the basis of Cost of the Work, Contractor's fee will be determined as follows:
 - 1) When the fee for the Work as a whole is a percentage of the Cost of the Work, the fee will automatically adjust as the Cost of the Work changes.
 - 2) When the fee for the Work as a whole is a fixed fee, the fee for any additions or deletions will be determined in accordance with Paragraph 11.07.C.2.
- 2. When the Work as a whole is performed on the basis of a stipulated sum, or any other basis other than cost-plus-a-fee, then Contractor's fee for any Work covered by a Change

Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price on the basis of Cost of the Work will be determined in accordance with Paragraph 11.07.C.2.

- E. *Documentation and Audit:* Whenever the Cost of the Work for any purpose is to be determined pursuant to this Article 13, Contractor and pertinent Subcontractors will establish and maintain records of the costs in accordance with generally accepted accounting practices. Subject to prior written notice, Owner will be afforded reasonable access, during normal business hours, to all Contractor's accounts, records, books, correspondence, instructions, drawings, receipts, vouchers, memoranda, and similar data relating to the Cost of the Work and Contractor's fee. Contractor shall preserve all such documents for a period of three years after the final payment by Owner. Pertinent Subcontractors will afford such access to Owner, and preserve such documents, to the same extent required of Contractor.

13.02 Allowances

- A. It is understood that Contractor has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be performed for such sums and by such persons or entities as may be acceptable to Owner and Engineer.
- B. *Cash Allowances:* Contractor agrees that:
 - 1. the cash allowances include the cost to Contractor (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the Site, and all applicable taxes; and
 - 2. Contractor's costs for unloading and handling on the Site, labor, installation, overhead, profit, and other expenses contemplated for the cash allowances have been included in the Contract Price and not in the allowances, and no demand for additional payment for any of the foregoing will be valid.
- C. *Owner's Contingency Allowance:* Contractor agrees that an Owner's contingency allowance, if any, is for the sole use of Owner to cover unanticipated costs.
- D. Prior to final payment, an appropriate Change Order will be issued as recommended by Engineer to reflect actual amounts due Contractor for Work covered by allowances, and the Contract Price will be correspondingly adjusted.

13.03 Unit Price Work

- A. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the unit price for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement.
- B. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Payments to Contractor for Unit Price Work will be based on actual quantities.
- C. Each unit price will be deemed to include an amount considered by Contractor to be adequate to cover Contractor's overhead and profit for each separately identified item.
- D. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor. Engineer will review with Contractor the Engineer's preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). Engineer's written decision

thereon will be final and binding (except as modified by Engineer to reflect changed factual conditions or more accurate data) upon Owner and Contractor, and the final adjustment of Contract Price will be set forth in a Change Order, subject to the provisions of the following paragraph.

E. *Adjustments in Unit Price*

1. Contractor or Owner shall be entitled to an adjustment in the unit price with respect to an item of Unit Price Work if:
 - a. the quantity of the item of Unit Price Work performed by Contractor differs materially and significantly from the estimated quantity of such item indicated in the Agreement; and
 - b. Contractor's unit costs to perform the item of Unit Price Work have changed materially and significantly as a result of the quantity change.
2. The adjustment in unit price will account for and be coordinated with any related changes in quantities of other items of Work, and in Contractor's costs to perform such other Work, such that the resulting overall change in Contract Price is equitable to Owner and Contractor.
3. Adjusted unit prices will apply to all units of that item.

ARTICLE 14—TESTS AND INSPECTIONS; CORRECTION, REMOVAL, OR ACCEPTANCE OF DEFECTIVE WORK

14.01 *Access to Work*

- A. Owner, Engineer, their consultants and other representatives and personnel of Owner, independent testing laboratories, and authorities having jurisdiction have access to the Site and the Work at reasonable times for their observation, inspection, and testing. Contractor shall provide them proper and safe conditions for such access and advise them of Contractor's safety procedures and programs so that they may comply with such procedures and programs as applicable.

14.02 *Tests, Inspections, and Approvals*

- A. Contractor shall give Engineer timely notice of readiness of the Work (or specific parts thereof) for all required inspections and tests, and shall cooperate with inspection and testing personnel to facilitate required inspections and tests.
- B. Owner shall retain and pay for the services of an independent inspector, testing laboratory, or other qualified individual or entity to perform all inspections and tests expressly required by the Contract Documents to be furnished and paid for by Owner, except that costs incurred in connection with tests or inspections of covered Work will be governed by the provisions of Paragraph 14.05.
- C. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested, or approved by an employee or other representative of such public body, Contractor shall assume full responsibility for arranging and obtaining such inspections, tests, or approvals, pay all costs in connection therewith, and furnish Engineer the required certificates of inspection or approval.

- D. Contractor shall be responsible for arranging, obtaining, and paying for all inspections and tests required:
1. by the Contract Documents, unless the Contract Documents expressly allocate responsibility for a specific inspection or test to Owner;
 2. to attain Owner's and Engineer's acceptance of materials or equipment to be incorporated in the Work;
 3. by manufacturers of equipment furnished under the Contract Documents;
 4. for testing, adjusting, and balancing of mechanical, electrical, and other equipment to be incorporated into the Work; and
 5. for acceptance of materials, mix designs, or equipment submitted for approval prior to Contractor's purchase thereof for incorporation in the Work.

Such inspections and tests will be performed by independent inspectors, testing laboratories, or other qualified individuals or entities acceptable to Owner and Engineer.

- E. If the Contract Documents require the Work (or part thereof) to be approved by Owner, Engineer, or another designated individual or entity, then Contractor shall assume full responsibility for arranging and obtaining such approvals.
- F. If any Work (or the work of others) that is to be inspected, tested, or approved is covered by Contractor without written concurrence of Engineer, Contractor shall, if requested by Engineer, uncover such Work for observation. Such uncovering will be at Contractor's expense unless Contractor had given Engineer timely notice of Contractor's intention to cover the same and Engineer had not acted with reasonable promptness in response to such notice.

14.03 *Defective Work*

- A. *Contractor's Obligation:* It is Contractor's obligation to assure that the Work is not defective.
- B. *Engineer's Authority:* Engineer has the authority to determine whether Work is defective, and to reject defective Work.
- C. *Notice of Defects:* Prompt written notice of all defective Work of which Owner or Engineer has actual knowledge will be given to Contractor.
- D. *Correction, or Removal and Replacement:* Promptly after receipt of written notice of defective Work, Contractor shall correct all such defective Work, whether or not fabricated, installed, or completed, or, if Engineer has rejected the defective Work, remove it from the Project and replace it with Work that is not defective.
- E. *Preservation of Warranties:* When correcting defective Work, Contractor shall take no action that would void or otherwise impair Owner's special warranty and guarantee, if any, on said Work.
- F. *Costs and Damages:* In addition to its correction, removal, and replacement obligations with respect to defective Work, Contractor shall pay all claims, costs, losses, and damages arising out of or relating to defective Work, including but not limited to the cost of the inspection, testing, correction, removal, replacement, or reconstruction of such defective Work, fines levied against Owner by governmental authorities because the Work is defective, and the costs of repair or replacement of work of others resulting from defective Work. Prior to final payment, if Owner and Contractor are unable to agree as to the measure of such claims, costs,

losses, and damages resulting from defective Work, then Owner may impose a reasonable set-off against payments due under Article 15.

14.04 *Acceptance of Defective Work*

- A. If, instead of requiring correction or removal and replacement of defective Work, Owner prefers to accept it, Owner may do so (subject, if such acceptance occurs prior to final payment, to Engineer's confirmation that such acceptance is in general accord with the design intent and applicable engineering principles, and will not endanger public safety). Contractor shall pay all claims, costs, losses, and damages attributable to Owner's evaluation of and determination to accept such defective Work (such costs to be approved by Engineer as to reasonableness), and for the diminished value of the Work to the extent not otherwise paid by Contractor. If any such acceptance occurs prior to final payment, the necessary revisions in the Contract Documents with respect to the Work will be incorporated in a Change Order. If the parties are unable to agree as to the decrease in the Contract Price, reflecting the diminished value of Work so accepted, then Owner may impose a reasonable set-off against payments due under Article 15. If the acceptance of defective Work occurs after final payment, Contractor shall pay an appropriate amount to Owner.

14.05 *Uncovering Work*

- A. Engineer has the authority to require additional inspection or testing of the Work, whether or not the Work is fabricated, installed, or completed.
- B. If any Work is covered contrary to the written request of Engineer, then Contractor shall, if requested by Engineer, uncover such Work for Engineer's observation, and then replace the covering, all at Contractor's expense.
- C. If Engineer considers it necessary or advisable that covered Work be observed by Engineer or inspected or tested by others, then Contractor, at Engineer's request, shall uncover, expose, or otherwise make available for observation, inspection, or testing as Engineer may require, that portion of the Work in question, and provide all necessary labor, material, and equipment.
 - 1. If it is found that the uncovered Work is defective, Contractor shall be responsible for all claims, costs, losses, and damages arising out of or relating to such uncovering, exposure, observation, inspection, and testing, and of satisfactory replacement or reconstruction (including but not limited to all costs of repair or replacement of work of others); and pending Contractor's full discharge of this responsibility the Owner shall be entitled to impose a reasonable set-off against payments due under Article 15.
 - 2. If the uncovered Work is not found to be defective, Contractor shall be allowed an increase in the Contract Price or an extension of the Contract Times, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement, and reconstruction. If the parties are unable to agree as to the amount or extent thereof, then Contractor may submit a Change Proposal within 30 days of the determination that the Work is not defective.

14.06 *Owner May Stop the Work*

- A. If the Work is defective, or Contractor fails to supply sufficient skilled workers or suitable materials or equipment, or fails to perform the Work in such a way that the completed Work will conform to the Contract Documents, then Owner may order Contractor to stop the Work,

or any portion thereof, until the cause for such order has been eliminated; however, this right of Owner to stop the Work will not give rise to any duty on the part of Owner to exercise this right for the benefit of Contractor, any Subcontractor, any Supplier, any other individual or entity, or any surety for, or employee or agent of any of them.

14.07 Owner May Correct Defective Work

- A. If Contractor fails within a reasonable time after written notice from Engineer to correct defective Work, or to remove and replace defective Work as required by Engineer, then Owner may, after 7 days' written notice to Contractor, correct or remedy any such deficiency.
- B. In exercising the rights and remedies under this Paragraph 14.07, Owner shall proceed expeditiously. In connection with such corrective or remedial action, Owner may exclude Contractor from all or part of the Site, take possession of all or part of the Work and suspend Contractor's services related thereto, and incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere. Contractor shall allow Owner, Owner's representatives, agents and employees, Owner's other contractors, and Engineer and Engineer's consultants access to the Site to enable Owner to exercise the rights and remedies under this paragraph.
- C. All claims, costs, losses, and damages incurred or sustained by Owner in exercising the rights and remedies under this Paragraph 14.07 will be charged against Contractor as set-offs against payments due under Article 15. Such claims, costs, losses and damages will include but not be limited to all costs of repair, or replacement of work of others destroyed or damaged by correction, removal, or replacement of Contractor's defective Work.
- D. Contractor shall not be allowed an extension of the Contract Times because of any delay in the performance of the Work attributable to the exercise by Owner of Owner's rights and remedies under this Paragraph 14.07.

ARTICLE 15—PAYMENTS TO CONTRACTOR; SET-OFFS; COMPLETION; CORRECTION PERIOD

15.01 Progress Payments

- A. *Basis for Progress Payments:* The Schedule of Values established as provided in Article 2 will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to Engineer. Progress payments for Unit Price Work will be based on the number of units completed during the pay period, as determined under the provisions of Paragraph 13.03. Progress payments for cost-based Work will be based on Cost of the Work completed by Contractor during the pay period.
- B. *Applications for Payments*
 - 1. At least 20 days before the date established in the Agreement for each progress payment (but not more often than once a month), Contractor shall submit to Engineer for review an Application for Payment filled out and signed by Contractor covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents.
 - 2. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Application for Payment must also be accompanied by: (a) a bill of sale, invoice, copies of subcontract or purchase order payments, or other documentation

establishing full payment by Contractor for the materials and equipment; (b) at Owner's request, documentation warranting that Owner has received the materials and equipment free and clear of all Liens; and (c) evidence that the materials and equipment are covered by appropriate property insurance, a warehouse bond, or other arrangements to protect Owner's interest therein, all of which must be satisfactory to Owner.

3. Beginning with the second Application for Payment, each Application must include an affidavit of Contractor stating that all previous progress payments received by Contractor have been applied to discharge Contractor's legitimate obligations associated with prior Applications for Payment.
4. The amount of retainage with respect to progress payments will be as stipulated in the Agreement.

C. *Review of Applications*

1. Engineer will, within 10 days after receipt of each Application for Payment, including each resubmittal, either indicate in writing a recommendation of payment and present the Application to Owner, or return the Application to Contractor indicating in writing Engineer's reasons for refusing to recommend payment. In the latter case, Contractor may make the necessary corrections and resubmit the Application.
2. Engineer's recommendation of any payment requested in an Application for Payment will constitute a representation by Engineer to Owner, based on Engineer's observations of the executed Work as an experienced and qualified design professional, and on Engineer's review of the Application for Payment and the accompanying data and schedules, that to the best of Engineer's knowledge, information and belief:
 - a. the Work has progressed to the point indicated;
 - b. the quality of the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, the results of any subsequent tests called for in the Contract Documents, a final determination of quantities and classifications for Unit Price Work under Paragraph 13.03, and any other qualifications stated in the recommendation); and
 - c. the conditions precedent to Contractor's being entitled to such payment appear to have been fulfilled in so far as it is Engineer's responsibility to observe the Work.
3. By recommending any such payment Engineer will not thereby be deemed to have represented that:
 - a. inspections made to check the quality or the quantity of the Work as it has been performed have been exhaustive, extended to every aspect of the Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to Engineer in the Contract; or
 - b. there may not be other matters or issues between the parties that might entitle Contractor to be paid additionally by Owner or entitle Owner to withhold payment to Contractor.

4. Neither Engineer's review of Contractor's Work for the purposes of recommending payments nor Engineer's recommendation of any payment, including final payment, will impose responsibility on Engineer:
 - a. to supervise, direct, or control the Work;
 - b. for the means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto;
 - c. for Contractor's failure to comply with Laws and Regulations applicable to Contractor's performance of the Work;
 - d. to make any examination to ascertain how or for what purposes Contractor has used the money paid by Owner; or
 - e. to determine that title to any of the Work, materials, or equipment has passed to Owner free and clear of any Liens.
5. Engineer may refuse to recommend the whole or any part of any payment if, in Engineer's opinion, it would be incorrect to make the representations to Owner stated in Paragraph 15.01.C.2.
6. Engineer will recommend reductions in payment (set-offs) necessary in Engineer's opinion to protect Owner from loss because:
 - a. the Work is defective, requiring correction or replacement;
 - b. the Contract Price has been reduced by Change Orders;
 - c. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
 - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible; or
 - e. Engineer has actual knowledge of the occurrence of any of the events that would constitute a default by Contractor and therefore justify termination for cause under the Contract Documents.

D. *Payment Becomes Due*

1. Ten days after presentation of the Application for Payment to Owner with Engineer's recommendation, the amount recommended (subject to any Owner set-offs) will become due, and when due will be paid by Owner to Contractor.

E. *Reductions in Payment by Owner*

1. In addition to any reductions in payment (set-offs) recommended by Engineer, Owner is entitled to impose a set-off against payment based on any of the following:
 - a. Claims have been made against Owner based on Contractor's conduct in the performance or furnishing of the Work, or Owner has incurred costs, losses, or damages resulting from Contractor's conduct in the performance or furnishing of the Work, including but not limited to claims, costs, losses, or damages from workplace injuries, adjacent property damage, non-compliance with Laws and Regulations, and patent infringement;

- b. Contractor has failed to take reasonable and customary measures to avoid damage, delay, disruption, and interference with other work at or adjacent to the Site;
 - c. Contractor has failed to provide and maintain required bonds or insurance;
 - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible;
 - e. Owner has incurred extra charges or engineering costs related to submittal reviews, evaluations of proposed substitutes, tests and inspections, or return visits to manufacturing or assembly facilities;
 - f. The Work is defective, requiring correction or replacement;
 - g. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
 - h. The Contract Price has been reduced by Change Orders;
 - i. An event has occurred that would constitute a default by Contractor and therefore justify a termination for cause;
 - j. Liquidated or other damages have accrued as a result of Contractor's failure to achieve Milestones, Substantial Completion, or final completion of the Work;
 - k. Liens have been filed in connection with the Work, except where Contractor has delivered a specific bond satisfactory to Owner to secure the satisfaction and discharge of such Liens; or
 - l. Other items entitle Owner to a set-off against the amount recommended.
2. If Owner imposes any set-off against payment, whether based on its own knowledge or on the written recommendations of Engineer, Owner will give Contractor immediate written notice (with a copy to Engineer) stating the reasons for such action and the specific amount of the reduction, and promptly pay Contractor any amount remaining after deduction of the amount so withheld. Owner shall promptly pay Contractor the amount so withheld, or any adjustment thereto agreed to by Owner and Contractor, if Contractor remedies the reasons for such action. The reduction imposed will be binding on Contractor unless it duly submits a Change Proposal contesting the reduction.
 3. Upon a subsequent determination that Owner's refusal of payment was not justified, the amount wrongfully withheld will be treated as an amount due as determined by Paragraph 15.01.D.1 and subject to interest as provided in the Agreement.

15.02 *Contractor's Warranty of Title*

- A. Contractor warrants and guarantees that title to all Work, materials, and equipment furnished under the Contract will pass to Owner free and clear of (1) all Liens and other title defects, and (2) all patent, licensing, copyright, or royalty obligations, no later than 7 days after the time of payment by Owner.

15.03 *Substantial Completion*

- A. When Contractor considers the entire Work ready for its intended use Contractor shall notify Owner and Engineer in writing that the entire Work is substantially complete and request that Engineer issue a certificate of Substantial Completion. Contractor shall at the same time

submit to Owner and Engineer an initial draft of punch list items to be completed or corrected before final payment.

- B. Promptly after Contractor's notification, Owner, Contractor, and Engineer shall make an inspection of the Work to determine the status of completion. If Engineer does not consider the Work substantially complete, Engineer will notify Contractor in writing giving the reasons therefor.
- C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a preliminary certificate of Substantial Completion which will fix the date of Substantial Completion. Engineer shall attach to the certificate a punch list of items to be completed or corrected before final payment. Owner shall have 7 days after receipt of the preliminary certificate during which to make written objection to Engineer as to any provisions of the certificate or attached punch list. If, after considering the objections to the provisions of the preliminary certificate, Engineer concludes that the Work is not substantially complete, Engineer will, within 14 days after submission of the preliminary certificate to Owner, notify Contractor in writing that the Work is not substantially complete, stating the reasons therefor. If Owner does not object to the provisions of the certificate, or if despite consideration of Owner's objections Engineer concludes that the Work is substantially complete, then Engineer will, within said 14 days, execute and deliver to Owner and Contractor a final certificate of Substantial Completion (with a revised punch list of items to be completed or corrected) reflecting such changes from the preliminary certificate as Engineer believes justified after consideration of any objections from Owner.
- D. At the time of receipt of the preliminary certificate of Substantial Completion, Owner and Contractor will confer regarding Owner's use or occupancy of the Work following Substantial Completion, review the builder's risk insurance policy with respect to the end of the builder's risk coverage, and confirm the transition to coverage of the Work under a permanent property insurance policy held by Owner. Unless Owner and Contractor agree otherwise in writing, Owner shall bear responsibility for security, operation, protection of the Work, property insurance, maintenance, heat, and utilities upon Owner's use or occupancy of the Work.
- E. After Substantial Completion the Contractor shall promptly begin work on the punch list of items to be completed or corrected prior to final payment. In appropriate cases Contractor may submit monthly Applications for Payment for completed punch list items, following the progress payment procedures set forth above.
- F. Owner shall have the right to exclude Contractor from the Site after the date of Substantial Completion subject to allowing Contractor reasonable access to remove its property and complete or correct items on the punch list.

15.04 *Partial Use or Occupancy*

- A. Prior to Substantial Completion of all the Work, Owner may use or occupy any substantially completed part of the Work which has specifically been identified in the Contract Documents, or which Owner, Engineer, and Contractor agree constitutes a separately functioning and usable part of the Work that can be used by Owner for its intended purpose without

significant interference with Contractor's performance of the remainder of the Work, subject to the following conditions:

1. At any time, Owner may request in writing that Contractor permit Owner to use or occupy any such part of the Work that Owner believes to be substantially complete. If and when Contractor agrees that such part of the Work is substantially complete, Contractor, Owner, and Engineer will follow the procedures of Paragraph 15.03.A through 15.03.E for that part of the Work.
2. At any time, Contractor may notify Owner and Engineer in writing that Contractor considers any such part of the Work substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.
3. Within a reasonable time after either such request, Owner, Contractor, and Engineer shall make an inspection of that part of the Work to determine its status of completion. If Engineer does not consider that part of the Work to be substantially complete, Engineer will notify Owner and Contractor in writing giving the reasons therefor. If Engineer considers that part of the Work to be substantially complete, the provisions of Paragraph 15.03 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.
4. No use or occupancy or separate operation of part of the Work may occur prior to compliance with the requirements of Paragraph 6.04 regarding builder's risk or other property insurance.

15.05 *Final Inspection*

- A. Upon written notice from Contractor that the entire Work or an agreed portion thereof is complete, Engineer will promptly make a final inspection with Owner and Contractor and will notify Contractor in writing of all particulars in which this inspection reveals that the Work, or agreed portion thereof, is incomplete or defective. Contractor shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

15.06 *Final Payment*

A. *Application for Payment*

1. After Contractor has, in the opinion of Engineer, satisfactorily completed all corrections identified during the final inspection and has delivered, in accordance with the Contract Documents, all maintenance and operating instructions, schedules, guarantees, bonds, certificates or other evidence of insurance, certificates of inspection, annotated record documents (as provided in Paragraph 7.12), and other documents, Contractor may make application for final payment.
2. The final Application for Payment must be accompanied (except as previously delivered) by:
 - a. all documentation called for in the Contract Documents;
 - b. consent of the surety, if any, to final payment;
 - c. satisfactory evidence that all title issues have been resolved such that title to all Work, materials, and equipment has passed to Owner free and clear of any Liens or other title defects, or will so pass upon final payment.

- d. a list of all duly pending Change Proposals and Claims; and
 - e. complete and legally effective releases or waivers (satisfactory to Owner) of all Lien rights arising out of the Work, and of Liens filed in connection with the Work.
3. In lieu of the releases or waivers of Liens specified in Paragraph 15.06.A.2 and as approved by Owner, Contractor may furnish receipts or releases in full and an affidavit of Contractor that: (a) the releases and receipts include all labor, services, material, and equipment for which a Lien could be filed; and (b) all payrolls, material and equipment bills, and other indebtedness connected with the Work for which Owner might in any way be responsible, or which might in any way result in liens or other burdens on Owner's property, have been paid or otherwise satisfied. If any Subcontractor or Supplier fails to furnish such a release or receipt in full, Contractor may furnish a bond or other collateral satisfactory to Owner to indemnify Owner against any Lien, or Owner at its option may issue joint checks payable to Contractor and specified Subcontractors and Suppliers.
- B. *Engineer's Review of Final Application and Recommendation of Payment:* If, on the basis of Engineer's observation of the Work during construction and final inspection, and Engineer's review of the final Application for Payment and accompanying documentation as required by the Contract Documents, Engineer is satisfied that the Work has been completed and Contractor's other obligations under the Contract have been fulfilled, Engineer will, within 10 days after receipt of the final Application for Payment, indicate in writing Engineer's recommendation of final payment and present the final Application for Payment to Owner for payment. Such recommendation will account for any set-offs against payment that are necessary in Engineer's opinion to protect Owner from loss for the reasons stated above with respect to progress payments. Otherwise, Engineer will return the Application for Payment to Contractor, indicating in writing the reasons for refusing to recommend final payment, in which case Contractor shall make the necessary corrections and resubmit the Application for Payment.
- C. *Notice of Acceptability:* In support of its recommendation of payment of the final Application for Payment, Engineer will also give written notice to Owner and Contractor that the Work is acceptable, subject to stated limitations in the notice and to the provisions of Paragraph 15.07.
- D. *Completion of Work:* The Work is complete (subject to surviving obligations) when it is ready for final payment as established by the Engineer's written recommendation of final payment and issuance of notice of the acceptability of the Work.
- E. *Final Payment Becomes Due:* Upon receipt from Engineer of the final Application for Payment and accompanying documentation, Owner shall set off against the amount recommended by Engineer for final payment any further sum to which Owner is entitled, including but not limited to set-offs for liquidated damages and set-offs allowed under the provisions of this Contract with respect to progress payments. Owner shall pay the resulting balance due to Contractor within 30 days of Owner's receipt of the final Application for Payment from Engineer.

15.07 *Waiver of Claims*

- A. By making final payment, Owner waives its claim or right to liquidated damages or other damages for late completion by Contractor, except as set forth in an outstanding Claim,

appeal under the provisions of Article 17, set-off, or express reservation of rights by Owner. Owner reserves all other claims or rights after final payment.

- B. The acceptance of final payment by Contractor will constitute a waiver by Contractor of all claims and rights against Owner other than those pending matters that have been duly submitted as a Claim, or appealed under the provisions of Article 17.

15.08 *Correction Period*

- A. If within one year after the date of Substantial Completion (or such longer period of time as may be prescribed by the Supplementary Conditions or the terms of any applicable special guarantee required by the Contract Documents), Owner gives Contractor written notice that any Work has been found to be defective, or that Contractor's repair of any damages to the Site or adjacent areas has been found to be defective, then after receipt of such notice of defect Contractor shall promptly, without cost to Owner and in accordance with Owner's written instructions:
 - 1. correct the defective repairs to the Site or such adjacent areas;
 - 2. correct such defective Work;
 - 3. remove the defective Work from the Project and replace it with Work that is not defective, if the defective Work has been rejected by Owner, and
 - 4. satisfactorily correct or repair or remove and replace any damage to other Work, to the work of others, or to other land or areas resulting from the corrective measures.
- B. Owner shall give any such notice of defect within 60 days of the discovery that such Work or repairs is defective. If such notice is given within such 60 days but after the end of the correction period, the notice will be deemed a notice of defective Work under Paragraph 7.17.B.
- C. If, after receipt of a notice of defect within 60 days and within the correction period, Contractor does not promptly comply with the terms of Owner's written instructions, or in an emergency where delay would cause serious risk of loss or damage, Owner may have the defective Work corrected or repaired or may have the rejected Work removed and replaced. Contractor shall pay all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or repair or such removal and replacement (including but not limited to all costs of repair or replacement of work of others). Contractor's failure to pay such costs, losses, and damages within 10 days of invoice from Owner will be deemed the start of an event giving rise to a Claim under Paragraph 12.01.B, such that any related Claim must be brought within 30 days of the failure to pay.
- D. In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications.
- E. Where defective Work (and damage to other Work resulting therefrom) has been corrected or removed and replaced under this paragraph, the correction period hereunder with respect to such Work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.

- F. Contractor's obligations under this paragraph are in addition to all other obligations and warranties. The provisions of this paragraph are not to be construed as a substitute for, or a waiver of, the provisions of any applicable statute of limitation or repose.

ARTICLE 16—SUSPENSION OF WORK AND TERMINATION

16.01 *Owner May Suspend Work*

- A. At any time and without cause, Owner may suspend the Work or any portion thereof for a period of not more than 90 consecutive days by written notice to Contractor and Engineer. Such notice will fix the date on which Work will be resumed. Contractor shall resume the Work on the date so fixed. Contractor shall be entitled to an adjustment in the Contract Price or an extension of the Contract Times directly attributable to any such suspension. Any Change Proposal seeking such adjustments must be submitted no later than 30 days after the date fixed for resumption of Work.

16.02 *Owner May Terminate for Cause*

- A. The occurrence of any one or more of the following events will constitute a default by Contractor and justify termination for cause:
 - 1. Contractor's persistent failure to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment, or failure to adhere to the Progress Schedule);
 - 2. Failure of Contractor to perform or otherwise to comply with a material term of the Contract Documents;
 - 3. Contractor's disregard of Laws or Regulations of any public body having jurisdiction; or
 - 4. Contractor's repeated disregard of the authority of Owner or Engineer.
- B. If one or more of the events identified in Paragraph 16.02.A occurs, then after giving Contractor (and any surety) 10 days' written notice that Owner is considering a declaration that Contractor is in default and termination of the Contract, Owner may proceed to:
 - 1. declare Contractor to be in default, and give Contractor (and any surety) written notice that the Contract is terminated; and
 - 2. enforce the rights available to Owner under any applicable performance bond.
- C. Subject to the terms and operation of any applicable performance bond, if Owner has terminated the Contract for cause, Owner may exclude Contractor from the Site, take possession of the Work, incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere, and complete the Work as Owner may deem expedient.
- D. Owner may not proceed with termination of the Contract under Paragraph 16.02.B if Contractor within 7 days of receipt of notice of intent to terminate begins to correct its failure to perform and proceeds diligently to cure such failure.
- E. If Owner proceeds as provided in Paragraph 16.02.B, Contractor shall not be entitled to receive any further payment until the Work is completed. If the unpaid balance of the Contract Price exceeds the cost to complete the Work, including all related claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects,

attorneys, and other professionals) sustained by Owner, such excess will be paid to Contractor. If the cost to complete the Work including such related claims, costs, losses, and damages exceeds such unpaid balance, Contractor shall pay the difference to Owner. Such claims, costs, losses, and damages incurred by Owner will be reviewed by Engineer as to their reasonableness and, when so approved by Engineer, incorporated in a Change Order. When exercising any rights or remedies under this paragraph, Owner shall not be required to obtain the lowest price for the Work performed.

- F. Where Contractor's services have been so terminated by Owner, the termination will not affect any rights or remedies of Owner against Contractor then existing or which may thereafter accrue, or any rights or remedies of Owner against Contractor or any surety under any payment bond or performance bond. Any retention or payment of money due Contractor by Owner will not release Contractor from liability.
- G. If and to the extent that Contractor has provided a performance bond under the provisions of Paragraph 6.01.A, the provisions of that bond will govern over any inconsistent provisions of Paragraphs 16.02.B and 16.02.D.

16.03 *Owner May Terminate for Convenience*

- A. Upon 7 days' written notice to Contractor and Engineer, Owner may, without cause and without prejudice to any other right or remedy of Owner, terminate the Contract. In such case, Contractor shall be paid for (without duplication of any items):
 - 1. completed and acceptable Work executed in accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such Work;
 - 2. expenses sustained prior to the effective date of termination in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted Work, plus fair and reasonable sums for overhead and profit on such expenses; and
 - 3. other reasonable expenses directly attributable to termination, including costs incurred to prepare a termination for convenience cost proposal.
- B. Contractor shall not be paid for any loss of anticipated profits or revenue, post-termination overhead costs, or other economic loss arising out of or resulting from such termination.

16.04 *Contractor May Stop Work or Terminate*

- A. If, through no act or fault of Contractor, (1) the Work is suspended for more than 90 consecutive days by Owner or under an order of court or other public authority, or (2) Engineer fails to act on any Application for Payment within 30 days after it is submitted, or (3) Owner fails for 30 days to pay Contractor any sum finally determined to be due, then Contractor may, upon 7 days' written notice to Owner and Engineer, and provided Owner or Engineer do not remedy such suspension or failure within that time, terminate the contract and recover from Owner payment on the same terms as provided in Paragraph 16.03.
- B. In lieu of terminating the Contract and without prejudice to any other right or remedy, if Engineer has failed to act on an Application for Payment within 30 days after it is submitted, or Owner has failed for 30 days to pay Contractor any sum finally determined to be due, Contractor may, 7 days after written notice to Owner and Engineer, stop the Work until payment is made of all such amounts due Contractor, including interest thereon. The

provisions of this paragraph are not intended to preclude Contractor from submitting a Change Proposal for an adjustment in Contract Price or Contract Times or otherwise for expenses or damage directly attributable to Contractor's stopping the Work as permitted by this paragraph.

ARTICLE 17—FINAL RESOLUTION OF DISPUTES

17.01 *Methods and Procedures*

- A. *Disputes Subject to Final Resolution:* The following disputed matters are subject to final resolution under the provisions of this article:
1. A timely appeal of an approval in part and denial in part of a Claim, or of a denial in full, pursuant to Article 12; and
 2. Disputes between Owner and Contractor concerning the Work, or obligations under the Contract Documents, that arise after final payment has been made.
- B. *Final Resolution of Disputes:* For any dispute subject to resolution under this article, Owner or Contractor may:
1. elect in writing to invoke the dispute resolution process provided for in the Supplementary Conditions;
 2. agree with the other party to submit the dispute to another dispute resolution process; or
 3. if no dispute resolution process is provided for in the Supplementary Conditions or mutually agreed to, give written notice to the other party of the intent to submit the dispute to a court of competent jurisdiction.

ARTICLE 18—MISCELLANEOUS

18.01 *Giving Notice*

- A. Whenever any provision of the Contract requires the giving of written notice to Owner, Engineer, or Contractor, it will be deemed to have been validly given only if delivered:
1. in person, by a commercial courier service or otherwise, to the recipient's place of business;
 2. by registered or certified mail, postage prepaid, to the recipient's place of business; or
 3. by e-mail to the recipient, with the words "Formal Notice" or similar in the e-mail's subject line.

18.02 *Computation of Times*

- A. When any period of time is referred to in the Contract by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.

18.03 *Cumulative Remedies*

- A. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee, or by other provisions of the Contract. The provisions of this paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right, and remedy to which they apply.

18.04 *Limitation of Damages*

- A. With respect to any and all Change Proposals, Claims, disputes subject to final resolution, and other matters at issue, neither Owner nor Engineer, nor any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, shall be liable to Contractor for any claims, costs, losses, or damages sustained by Contractor on or in connection with any other project or anticipated project.

18.05 *No Waiver*

- A. A party's non-enforcement of any provision will not constitute a waiver of that provision, nor will it affect the enforceability of that provision or of the remainder of this Contract.

18.06 *Survival of Obligations*

- A. All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract, as well as all continuing obligations indicated in the Contract, will survive final payment, completion, and acceptance of the Work or termination of the Contract or of the services of Contractor.

18.07 *Controlling Law*

- A. This Contract is to be governed by the law of the state in which the Project is located.

18.08 *Assignment of Contract*

- A. Unless expressly agreed to elsewhere in the Contract, no assignment by a party to this Contract of any rights under or interests in the Contract will be binding on the other party without the written consent of the party sought to be bound; and, specifically but without limitation, money that may become due and money that is due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under the Contract.

18.09 *Successors and Assigns*

- A. Owner and Contractor each binds itself, its successors, assigns, and legal representatives to the other party hereto, its successors, assigns, and legal representatives in respect to all covenants, agreements, and obligations contained in the Contract Documents.

18.10 *Headings*

- A. Article and paragraph headings are inserted for convenience only and do not constitute parts of these General Conditions.

SECTION 501 - SUPPLEMENTARY
CONDITIONS

Section Includes:

SC-2.02.A Copies of Documents

SC-2.05.A.2 Schedule of Submittals

SC-2.06 Preconstruction Conference

SC-2.07.A Initial Acceptance of Schedules

SC-2.07.A.2 Schedule of Submittals

SC-3.01 Intent

SC-4.01. A Commencement of Contract Times; Notice to Proceed

SC-4.05. Abnormal Weather Conditions

SC-4.05. Delays in Contractor's Progress

SC-5.01.C. Storage of Materials, Equipment, and Vehicles

SC-5.02.D. Loading of Structures

SC-5.03. Subsurface and Physical Conditions

SC-5.04.A. SC Differing Subsurface and Physical Conditions

SC-5.05.B. Underground Facilities

SC-5.06(A)(3). Hazardous Environmental Conditions

SC-6.01.B. D. and I. Performance, Payment, and Other Bonds

SC-6.03. Contractor's Insurance

SC-6.04.E. Property Insurance

SC-7.02- Supervision and Superintendence

SC-7.03.A. Labor; Working Hours

SC-7.03.C. Work Hour Restrictions

SC-7.03.D- Holiday Work Hours

SC-7.04.D and .E Services, Materials, and Equipment

SC-7.05.A. Contractor's Request; Governing Criteria

SC-7.07.A. Concerning Subcontractors and Suppliers

SC-7.16.B.2. Samples

SC-7.07. Concerning Subcontractors, Suppliers, and Others

SC-7.09.A. Utility Charges.

SC-7.11. Laws and Regulations

SC-7.15. Emergencies.

SC-7.16.G. Shop Drawings and Samples

SC-10.03. Resident Project Representative

SC-11.05(A) Amending and Supplementing the Contract

SC-13.02.B. Cash Allowances.

SC-14.02.B.1 Inspections, Tests, and Approvals

SC-14.03. Defective Work.

SC-15.01.B.1. Applications for Payments

SC-15.01.D. Payment Becomes Due.

SC-15.01.F. Payment for Extra, Additional, or Omitted Work

SC-15.03. Substantial Completion

SC-15.06.A.3 Alternatives to Waivers of Liens.

SC 15.06.D. Completion of Work.

SC-15.07.A. Waiver of Claims

SC-15.08. Correction Period

SC-15.06.A.2. Application for Payment

SC-17.20. Substance Abuse Prevention Program

SC-18.01.A.2. Giving Notice

SC-18.11. Covenant Against Contingent Fees.

SC-18.12. Officials Not to Benefit.

SC-18.13. Other Contracts

Supplementary Conditions

These Supplementary Conditions amend or supplement the Standard General Conditions of the Construction Contract. All provisions which are not so amended or supplemented remain in full force and effect.

The terms used in these Supplementary Conditions will have the meanings stated in the General Conditions. Additional terms used in these Supplementary Conditions have the meanings stated below, which are applicable to both the singular and plural thereof.

The address system used in these Supplementary Conditions is the same as the address system used in the General Conditions, with the prefix "SC" added thereto.

SC-2.02.A COPIES OF DOCUMENTS. Delete Paragraph 2.02.A in its entirety and insert the following in its place:

- A. Owner shall furnish to Contractor two fully executed copies of the Contract; One copy is for the Contractor's bonding agency and one copy is for the Contractor's file. Additional printed copies will be furnished upon request at the cost of reproduction. One copy in electronic portable document format (PDF) will also be provided upon request.

SC-2.03.A.2 Schedule of Submittals. Delete Paragraph 2.03.A.2 in its entirety.

SC-2.04. Preconstruction Conference; Designation of Authorized Representatives. Add the following new paragraph after Paragraph 2.04.B:

- C. At this conference Owner may designate, in writing, a specific individual to act as its authorized representative with respect to the services and responsibilities under the Contract. Such individual shall have the authority to transmit instructions, receive information, render decisions relative to the Contract, and otherwise act on behalf of Engineer.

SC-2.05.A. Initial Acceptance of Schedules. Delete the first sentence in Paragraph 2.05.A

SC-2.05.A.2. Schedule of Submittals. Delete Paragraph 2.05.A.2 in its entirety.

SC-3.01. Intent. Add the following new paragraph after the first paragraph in 3.01.C:

In case of discrepancy between documents, the governing order is as follows:

- 1.Addenda
- 2.Special Provisions (Section 600)
- 3.Plans
- 4.Wauwatosa Standard Specifications
- 5.All Other Specifications
- 6.Appendices and other documents intended to be incorporated into the contract

extend time on calendar day and completion date contracts for the cumulative number of severe weather days credited each month. On days where less than 4 hours of controlling items of work were or could have been performed, a full adverse weather day will be granted. On days where 4 or more hours but less than 8 hours of controlling items of work were or could have been performed a half adverse weather day will be granted. Days in which 8 hours or more of a controlling item of work were or could have been performed will not be granted an adverse weather day.

(4) Winter Suspension for Completion Date Contracts

- a) The Contractor may request a winter suspension for a completion date contract. If the Engineer determines that conditions do not allow for the completion of the remaining work, the Engineer may approve the Contractor's request and determine a start date of the winter suspension. The end date of the winter suspension is March 31 or a date mutually agreed upon by both parties. If weather conditions permit work to resume within the winter suspension period, the Engineer may direct the Contractor to resume all or specific work activities.
- b) During winter suspension, store all materials in a manner that does not obstruct vehicular and pedestrian traffic, plowing operations, and does not hinder visibility of drivers. The Contractor shall be responsible to protect all stored materials from damage and/or theft. Install traffic control and other safety devices necessary to protect the traveling public and pedestrians. Provide suitable drainage and install temporary erosion control where necessary. If the winter suspension begins when liquidated damages are being assessed, or when the work has not progressed as scheduled and would not have been completed prior to the completion date, the cost of necessary pre-suspension work is incidental. If the winter suspension begins prior to the contract completion date, and the work has progressed as scheduled and would have been completed prior to the completion date, the cost of pre-suspension work will be paid as specified under *SC-15.01.F*.
- c) For a winter suspension that begins prior to the contract completion date and the work has progressed as scheduled and would have been completed prior to the completion date, the Engineer will extend contract time to correspond to the end of the winter suspension and liquidated damages will not be assessed during the winter suspension.
- d) For a winter suspension that begins when liquidated damages are being assessed or when the work has not progressed as scheduled and would not have been completed prior to the completion date, the engineer will not extend contract time. Time will be suspended until the end of the winter suspension and no work will be permitted unless authorized by the Engineer in writing. Liquidated damages will not be assessed during the winter suspension when no work is occurring. Liquidated damages will

resume at the end of the winter suspension and will resume during any calendar days the Engineer authorizes or directs the Contractor to perform contract work during the winter suspension period.

(5) Winter Suspension for Non-Completion Date Contracts

- a) The Contractor shall complete all work on Non-Completion Date Contracts prior to the Winter Suspension date of November 15th. If work is not complete prior to the Winter Suspension dates, the Contractor shall ready the project for Winter Suspension per SC-4.05(4)b. and all costs shall be incidental to the contract. If weather conditions permit work to resume within the winter suspension period, the Engineer may direct the Contractor to resume all or specific work activities.

SC-4.05. Delays in Contractor's Progress. Add the following paragraph immediately following Paragraph 4.05.H:

- I. The Engineer shall have authority to suspend the work wholly or in part for such period or periods as they may deem necessary, due to unsuitable weather or such conditions as are considered unfavorable for the suitable prosecution of the work or for such time as it is necessary due to the failure on the part of the Contractor to carry out orders given or perform any and all provisions of the contract.

SC-5.01.C. Storage of Materials, Equipment, and Vehicles. Add the following new language at the end of Paragraph 5.01.C.:

- C. Materials shall be so stored as to insure the preservation of their quality and fitness for the work. Stored materials, even though approved before storage, shall be inspected prior to their use in the work and shall meet the requirements of the specifications at the time it is proposed to use them. Stored materials shall be located so as to facilitate prompt inspection. That portion of the public streets or public lands not required for public use or travel may upon approval of the Engineer be used for storage purposes and for placing of the Contractor's plant and equipment, however, adequate storage space is not guaranteed and, additional space, if required, shall be provided by the Contractor at their own expense.

The Contractor's vehicles, equipment and materials shall not be left on the street except when work operations are actually in progress, unless otherwise authorized by the Engineer.

SC-5.02.D. Loading of Structures. Add the following new language at the end of paragraph 5.02.D:

- D. If the Contractor intends to store materials, equipment, or vehicles on a structure, at the Engineer's request, the Contractor shall provide a structural analysis stamped by a licensed Structural Engineer including calculations showing that the loading does not exceed the structural loading and will not endanger the structure or adjacent structures or land to stresses or pressures that will endanger them prior to storing materials, equipment, or vehicles on the structure.

SC-5.03. Subsurface and Physical Conditions. Add the following new paragraphs immediately after Paragraph 5.03.D:

- E. The following reports of explorations and tests of subsurface conditions at or contiguous to the Site are known to Owner: None.
- F. The following drawings and photographs of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities) are known to Owner: None.
- G. The reports and drawings identified above are not part of the Contract Documents, but the "technical data" contained therein upon which Contractor may rely, as expressly identified and established above, are incorporated in the Contract Documents by reference. Contractor is not entitled to rely upon any other information and data known to or identified by Owner or Engineer.
- H. Copies of reports and drawings identified in SC-5.03.F and SC-5.03.G that are not included with the Bidding Documents may be examined at City of Wauwatosa's City Hall during regular business hours.

SC-5.04.A. SC Differing Subsurface and Physical Conditions. Delete Paragraph 5.04.A in its entirety and insert the following in its place:

- A. Notice: If Contractor believes that any subsurface or physical condition that is uncovered or revealed either:
 - 1. is of such a nature as to establish that any "technical data" on which Contractor is entitled to rely as provided in Paragraph 5.03 is materially inaccurate; or
 - 2. is of such a nature as to require a change in the Contract Documents; or
 - 3. differs materially from that shown or indicated in the Contract Documents; or
 - 4. is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents;

then the Contractor shall immediately call the attention of the Engineer to such conditions, and, if Contractor finds that the materials differ from those shown on the drawings, or indicated in these specifications, Contractor shall at once make such changes in the drawings and/or specifications, as Contractor may find necessary.

SC-5.05.B. Underground Facilities. Delete Paragraph 5.05.B in its entirety and insert the following in its place:

- B. Notice by Contractor:
 - 1. If an Underground Facility is uncovered or revealed at or contiguous to the Site which was not shown or indicated, or not shown and indicated with reasonable accuracy in the Contract Documents Contractor shall, within two working days after becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15.A), identify the owner of such Underground Facility

and give written notice to that owner and to Owner and Engineer. Engineer will promptly review the Underground Facility and determine the extent, if any, to which a change is required in the Contract Documents to reflect and document the consequences of the existence or location of the Underground Facility. During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.

2. If Engineer concludes that a change in the Contract Documents is required, a Work Change Directive or a Change Order will be issued to reflect and document such consequences. An equitable adjustment shall be made in the Contract Price or Contract Times, or both, to the extent that they are attributable to the existence or location of any Underground Facility that was not shown or indicated in the Contract Documents and that Contractor did not know of and could not reasonably have been expected to be aware of or to have anticipated. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any such adjustment in Contract Price or Contract Times, Owner or Contractor may make a Claim therefor as provided in Paragraph 12.01.

SC-5.06(A)(3). Hazardous Environmental Conditions. Add the following subparagraphs immediately after Paragraph 5.06(A)(3):

4. The following reports regarding Hazardous Environmental Conditions at the Site are known to Owner: None.

SC-6.01.B. Performance, Payment, and Other Bonds. Add the following new paragraphs immediately after Paragraph 6.01.B:

1. Labor and Material Bond. The Contractor shall furnish a surety bond in an amount at least equal to 100% of the full contract price, such bond to be executed by a surety company acceptable to the Owner. The labor and material bond shall serve as security for the payment of all persons performing labor and all persons furnishing materials in connection with this contract.
2. Premium Payment. The premiums on the performance bond and labor and material bond shall be paid by the Contractor.
3. If Section 71.80(16) Wisconsin Statutes is applicable, Contractor hereby agrees to comply with the requirements of such Section. This Section is applicable to Contractors who are nonresidents of Wisconsin when total contract price exceeds \$50,000.00.

SC-6.01.D. Performance, Payment, and Other Bonds. Replace in its entirety the language in Paragraph 6.01.D with the following:

All bonding companies and sureties issuing bonds and/or contract security to Contractor shall be licensed to perform business in the State of Wisconsin.

SC-6.01. Performance, Payment, and Other Bonds. Add the following language immediately following Paragraph 6.01.H.:

- I. Should any surety upon the bond for performance of this contract become unacceptable to the Owner, the Contractor must promptly furnish such additional security as may be provided from time to time to protect the interests of the Owner and of persons supplying labor or materials in the prosecution of the work contemplated by this contract.

SC-6.03. Contractor's Insurance. Add the following language immediately after paragraph 6.03.C:

- D. The Contractor and the Contractor's insurance company shall be held responsible for and shall save the Owner harmless from all liability for damages occasioned by the digging up, use or occupancy of the street, alley, highway, public grounds and private grounds, or which may result therefrom, or which may result in any way from the negligence or carelessness of the Contractor, their agents, employees or workmen; or by reason of the elements, unforeseen or unusual difficulties, obstructions, or obstacles encountered in the prosecution of the work; and they shall indemnify the Owner for and save it harmless from all claims and liabilities, actions and causes of action, and liens for materials furnished or labor performed in the construction or execution of the work, and from all costs, charges and expenses incurred in defending such suits or actions, and from and against all claims and liabilities for injury or damage to persons or property emanating from defective or careless work methods, or from and against all claims or liabilities for royalties, license fees, actions, suits, charges and expenses or damage from infringement for reason of the use of any invention or improvement in tools, equipment or plant or any process, device or combination of devices used in the construction of the work.

The Contractor shall not commence work under a contract until they have obtained all insurance required under this paragraph and has filed certificates thereof with the Owner, nor shall the Contractor allow a subcontractor to commence work until all similar insurance required has been so obtained and filed. Contractor shall be required to maintain insurance throughout the duration of the contract until final acceptance of the project.

- E. WORKMEN'S COMPENSATION INSURANCE Statutory coverage as required by Chapter 102 of the Statutes of the State of Wisconsin, as revised, and all acts amendatory thereof and supplementary thereto, and for all employees of the Contractor. All subcontractors and suppliers shall furnish to the Contractor and the Owner evidence of similar insurance for all of their respective employees unless such employees are covered by the protection afforded by the Contractor.

F. COMPREHENSIVE GENERAL LIABILITY AND PROPERTY DAMAGE INSURANCE

(1) COMPREHENSIVE GENERAL LIABILITY

The Contractor shall maintain during the life of this Contract, Comprehensive General Liability written in comprehensive form to protect the Contractor, the Owner and Engineer against all claims arising from injuries to members of the public or damage to property of others arising out of any act or omission of the Contractor or their agents, employees, or subcontractors. The policy shall be endorsed to include

Notice of Cancellation Endorsement Form IL-7002 10-90 or equivalent endorsement language which is approved by the City Attorney. This endorsement shall be specifically reflected on the Certificate of Insurance form required by Section 6.02, and a copy of said endorsement shall be provided to the Owner when available. In addition, this policy shall specifically insure the contractual liability assumed by the Contract.

The scope of this coverage shall also include the Personal Injury Hazards, including "a", "b", and "c". "a" includes false arrest, malicious prosecution, and un-willful detention or imprisonment. "b" includes libel, slander, and defamation of character. "c" includes wrongful eviction, invasion of privacy and wrongful entry. Employee exclusion shall be removed. In addition, coverage will include broad form property damage, host liquor liability, advertising injury, additional persons insured, extended bodily injury, and incidental medical malpractice.

Comprehensive general liability coverage shall contain no exclusions for explosion, collapse, or underground work (X, C, or U).

The contractor shall also provide completed operation and product liability coverage for the life of the Contract and maintain such coverage for a period of 1 year after final acceptance of the work by the Owner.

The liability limits shall not be less than \$1,000,000 combined single limit per occurrence for personal injury, bodily injury and property damage if coverage written on 1973 I.S.O. form or \$1,000,000. combined single limit per occurrence with \$2,000,000. aggregate for personal injury, bodily injury or property damage if coverage is written on 1986 I.S.O. coverage form.

(2) UMBRELLA/EXCESS LIABILITY

The Contractor shall maintain during the life of this Contract, Umbrella/Excess Liability coverage totaling \$5,000,000. If primary comprehensive General Liability is written on a 1986 I.S.O. coverage form, Umbrella/Excess liability shall include a drop down provision to protect, on a primary basis, the contractor, the Owner and Engineer, in the case of exhaustion of the aggregate primary limits.

G. COMPREHENSIVE AUTOMOBILE LIABILITY AND PROPERTY DAMAGE

Operations of owner, hired and non-owned motor vehicles.

Bodily Injury	\$ 500,000 per person
	\$1,000,000 per occurrence
Property Damage	\$ 500,000 per occurrence

The Contractor shall file with the Owner a certification of insurance containing a ten (10) day notice of cancellation.

NOTE: The required limits of liabilities may be obtained with primary liability policies or in combination with an umbrella excess third party liability policy.

H. ADDITIONAL INSUREDS All insurance coverages required pursuant to this contract shall name the following persons as additional insured parties:

The Owner and its boards, commissions, committees, authorities, employees, agencies and officers, voluntary associations, other units operating under the jurisdiction and within the appointment of its budget.

SC-6.04.E. Property Insurance. Immediately following paragraph 6.04.E, add the following paragraphs:

- F. Contractor shall purchase and maintain property insurance upon the Work at the Site in the amount of the full replacement cost thereof. Contractor shall be responsible for any deductible or self-insured retention. This insurance shall:
1. include the interests of Owner, Contractor, Subcontractors, Engineer, and the officers, directors, partners, employees, agents, and other consultants and subcontractors of any of them, each of whom is deemed to have an insurable interest and shall be listed as an insured or loss payee;
 2. be written on a Builder's Risk "all-risk" policy form that shall at least include insurance for physical loss and damage to the Work, temporary buildings, false work, and materials and equipment in transit and shall insure against at least the following perils or causes of loss: fire, lightning, extended coverage, theft, vandalism and malicious mischief, collapse, debris removal, demolition occasioned by enforcement of Laws and Regulations, water damage (other than that caused by flood), and such other perils or causes of loss as may be specifically required by these Supplementary Conditions.
 3. include expenses incurred in the repair or replacement of any insured property (including but not limited to fees and charges of engineers and architects);
 4. cover materials and equipment stored at the Site or at another location that was agreed to in writing by Owner prior to being incorporated in the Work, provided that such materials and equipment have been included in an Application for Payment recommended by Engineer;
 5. allow for partial utilization of the Work by Owner;
 6. include testing and startup;
 7. be maintained in effect until final payment is made unless otherwise agreed to in writing by Owner, Contractor, and Engineer with 30 days written notice to each other loss payee to whom a certificate of insurance has been issued; and
 8. include coverage for hazardous materials to comply with the requirements of Paragraph 5.06.C of the General Conditions.

SC-7.02- Supervision and Superintendence. Add the following new paragraphs immediately after Paragraph 7.02.B.:

C. The work shall be under the charge and care of the Contractor until final acceptance by the City. The Contractor shall assume all responsibility for injury or damage to the work by action of the elements or for any cause whatsoever, whether arising from the execution or partial or complete failure in execution of the work. The Contractor shall rebuild, restore and make good, at their own expense, all injuries or damages to any portion of the work occasioned by any causes before its completion and acceptance.

SC-7.03.A. Labor; Working Hours. Add the following new paragraph immediately after Paragraph 7.03.A:

1. When a person employed by Contractor, or anyone for which Contractor is responsible, is abusive or disrespectful to the general public or to the Owner's representative, such employee shall, upon written request by Owner, be removed from the Work.

SC-7.03.C. Work Hour Restrictions. Delete Paragraph 7.03.C. in its entirety and insert the following in its place:

Work operations in residential areas, including daily startup activities under this contract, shall be limited to the period from 7 A.M. to 8 P.M. Monday thru Friday, and 9 A.M. to 6 P.M. Saturdays and Sundays, during the life of the contract except those work operations identified in the special provisions, if any. If, in the opinion of the Engineer, or their authorized representative, unusual circumstances dictate work outside of these hours is warranted due to an emergency condition, or special circumstance, such authorization by the Engineer or their representative to extend the working hours beyond those stated herein, shall be given in writing and, if authorized, shall be on a single incidence basis for a specific day. For all other work that is not deemed an unusual circumstance, the Contractor shall follow the procedures outlined in the specifications to obtain written permission to perform work.

SC-7.03- Holiday Work Hours. Add the following new paragraph immediately after Paragraph 7.03.C:

- D. The Contractor shall not perform work on holidays observed by the Owner without written permission from the Engineer.

SC-7.04 Services, Materials, and Equipment. Add the following new paragraphs immediately after Paragraph 7.04.C.:

- D. Clean Up. The Contractor shall at all times keep the premises free from accumulations of waste material or rubbish caused by their employees or work and Contractor shall remove all their rubbish from and about the site and all their tools, equipment, scaffolding and surplus materials and shall leave the work clean and ready for use. In case of dispute, the Owner may remove the rubbish and surplus materials and charge the cost to the Contractor and the Contractor agrees to reimburse such cost to the Owner.
- E. Final Cleaning Up. Within fourteen (14) calendar days after the completion of the work and before acceptance and payment will be made, the Contractor shall clean and remove from the site of the work and adjacent property all surplus and discarded

materials, rubbish and temporary structures, restore in an acceptable manner all property, both public and private, which has been damaged in the prosecution of the work and shall leave the site of the work in a neat and presentable condition.

SC-7.05.A. Contractor's Request; Governing Criteria. Delete SC 7.05.A. and replace with the following:

- A. Contractor's Request; Governing Criteria: Whenever an item of equipment or material is specified or described in the Contract Documents by using the names of one or more proprietary items or specific Suppliers, the Contract Price has been based upon Contractor furnishing such item as specified. The specification or description of such an item is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or "or equal" item is permitted, Contractor may request that Engineer authorize the use of other items of equipment or material, or items from other proposed Suppliers, under the circumstances described below.
4. If Engineer in its sole discretion determines that an item of equipment or material proposed by Contractor is functionally equal to that named and sufficiently similar so that no change in related Work will be required, Engineer may deem it an "or equal" item, subject to the following. For the purposes of this paragraph, a proposed item of equipment or material may be considered functionally equal to an item so named if:
 - a. in the exercise of reasonable judgment Engineer determines that the proposed item:
 - 1) is at least equal in materials of construction, quality, durability, appearance, strength, and design characteristics;
 - 2) will reliably perform at least equally well the function and achieve the results imposed by the design concept of the completed Project as a functioning whole;
 - 3) has a proven record of performance and availability of responsive service; and
 - 4) is not objectionable to Owner. Engineer may object on behalf of on behalf of Owner for any reason in Engineer's discretion.
 - b. Contractor certifies that, if the proposed item is approved and incorporated into the Work:
 - 1) there will be no increase in cost to the Owner or increase in Contract Times; and
 - 2) the item will conform substantially to the detailed requirements of the item named in the Contract Documents.

SC-7.07.A. Concerning Subcontractors and Suppliers. Add the following new paragraph immediately following Paragraph 7.07.A.:

1. The Contractor must perform with their own organization, work amounting to at least one-third of the original contract amount unless a differing portion is specified in the contract Special Provisions.

SC-7.16.B.2. Samples. Delete Paragraph 7.16.B.2 in its entirety and replace with the following new paragraphs:

- A. The Contractor shall provide such facilities as the Engineer may require for collecting and forwarding samples, and shall not make use of or incorporate in the work any material represented by these samples until the tests have been made and the material found to be acceptable in accordance with the requirements of the specifications. The Contractor shall furnish without charge all samples required.
- B. When required by the Engineer, representative preliminary samples of the character and quality prescribed shall be submitted by the Contractor or producer for examination and shall be tested in accordance with the methods referred to herein. The acceptance of preliminary sample, however, shall not be construed as acceptance of the material from the same source delivered later. Only the materials actually delivered for the work will be considered and their acceptance or rejection will be based solely on the results of the tests prescribed in these specifications. All samples shall be submitted before shipment of the material to the site of the work and in ample time to permit making tests or examinations before incorporating the material into the work.
- C. All tests shall be made in accordance with the methods described in these specifications. If any specifications are inadvertently omitted, those of the A.S.T.M. or other recognized societies for such materials will be used. References to A.S.T.M. or other recognized societies, specifications shall be understood to mean the latest revision of the standard specifications. Laboratory tests shall be made by a recognized laboratory acceptable to the Engineer. Reports of tests provided by the Contractor shall be submitted promptly to the Engineer.
- D. The Contractor shall give timely notice to the Engineer of the place and time of the test to be made, to permit the Engineer to witness the test if they should so desire. All tests shall be made at the sole expense of the Contractor.

SC-7.07. Concerning Subcontractors, Suppliers, and Others. Add new paragraphs immediately after Paragraph 7.07.M:

- N. Contractor shall, to the extent practicable, maintain a list of all Subcontractors, Suppliers, and service providers performing, furnishing or procuring labor, services, materials, plans or specifications for the performance of the Work.

SC-7.09.A. Utility Charges. Amend the fourth sentence of Paragraph 7.09.A to read as follows:

Contractor shall pay all charges of utility owners for connections for providing permanent service to the Work.

SC-7.11. Laws and Regulations. Add the following paragraph after Paragraph 7.11.C:

- D. Contractor shall assist and cooperate fully with Owner in meeting any obligations under the Wisconsin Public Records law. In the event that Contractor withholds records, for any reason, and said withholding is found to be in violation of the law or a Court Order, Contractor shall indemnify and hold harmless Owner for any and all costs related to the withholding of those records, including, but not limited to, monetary damages of any kind, actual attorney's fees, and litigation costs of any kind.
- E. Owner and Contractor recognize that applying applicable Wisconsin public records laws to particular records requests can be difficult in light of copyright and other confidentiality protections. To ensure that applicable laws are followed, both with regard to private rights, and with regard to public records laws, Owner and Contractor agree as follows. When Owner receives public records requests for matters that Owner believes might be proprietary or confidential information. Owner will notify Contractor of the request. Within three (3) days of such notification (subject to extension of time upon mutual written agreement). Contractor shall either provide Owner with the record that is requested for release to the requester or Contractor shall advise Owner that Contractor objects to the release of the requested information and the basis for the objection. If for any reason Owner concludes that Owner is obligated to provide a record to a requester that is in Contractor's possession. Contractor shall provide such records to Owner immediately upon Owner's request. Contractor shall not charge for work performed under this paragraph except for the "actual, necessary and direct" charge of responding to the records request as that is defined and interpreted in Wisconsin law.

In addition to and not to the exclusion or prejudice of any provisions of this agreement or documents incorporated herein by reference, Contractor shall indemnify and save harmless and agrees to accept tender of defense and to defend and pay any and all legal, accounting, consulting, engineering and other expenses relating to the defense of any claim asserted or imposed upon the Owner, its officers, agents, employees and independent contractors growing out of Owner's denial of a records request. based upon objections made by Contractor; or (ii) Contractor's failure to provide records to Owner upon Owner's request; or (iii) Owner's charges made to a records requester based upon reimbursement of costs Contractor charged to Owner in responding to a records request; or (iv) Owner's lack of timely response to a records request. following Contractor's failure to timely respond to Owner as required herein; or (v) Owner's provision of records to a requester that were provided to Owner by Contractor in response to a records request. Contractor's claims of proprietary rights, or any other copyright or confidentiality claims, shall be waived such that Owner may provide all requested documents, programs, data, and other records to the requester, upon failure by Contractor to defend, indemnify or hold harmless the Owner as required herein, and/or upon judgment of a court having jurisdiction in the matter requiring release of such records.

- F. In carrying out any of the provisions of this contract or in exercising any power or authority granted to the Contractor hereby, there shall be no personal liability upon the Engineer or their authorized assistants, it being understood that in such matters they act as agents and representatives of the Owner.

SC-7.15. Emergencies. Add a new paragraph immediately after Paragraph 7.15.A as follows:

- B. In the event it becomes necessary for the Owner to perform emergency maintenance and protection which are the responsibility of Contractor under the Contract Documents,

the costs incurred will be charged against Contractor, a Change Order will be issued, and Owner shall be entitled to an appropriate decrease in the Contract Price.

SC-7.16.G. Shop Drawings and Samples. Add the following paragraphs immediately after Paragraph 7.16.F:

- G. If Contractor requests a change of a previously approved item, Contractor shall reimburse Owner for Engineer's charges for its review time unless the need for such change is beyond the control of Contractor.

SC-10.03. Resident Project Representative. Add the following new paragraph immediately after Paragraph 10.03.B:

- C. The Resident Project Representative (RPR) will have same authority and responsibilities as Engineer.

SC-11.05(A) Amending and Supplementing the Contract. Add the following new paragraphs immediately after Paragraph 11.05(A):

1. **INCREASED OR DECREASED QUANTITIES OF WORK.** If the Engineer deems it proper or necessary in the execution of the work to make changes which will increase or decrease the quantity of labor or material or the expense of the work, such changes shall not annul nor violate the contract or agreement hereby entered into nor release the surety thereon, and the Contractor shall furnish the necessary labor and material to complete the contract as changed.

Items for which quantities change are categorized as major or minor items. A major item shall be considered to be any item whose total cost, determined by multiplying the original quantity and the contract unit price, is equal to or greater than five percent of the total amount of the original contract. A minor item is one of which total cost, determined, as above, is less than five percent of the total amount of the original contract.

When the actual quantity of any major item required to complete the work is increased or decreased, payment for the quantity of work actually performed for such item will be made in accordance with the table below:

Increased or Decreased Quantities of Work

Item	Actual Quantity as % of Contract Quantity	Basis of Payment
Major ($\geq 5\%$ of Total Contract)	75% - 125%	Contract Unit Prices
Major ($\geq 5\%$ of Total Contract)	<75%	Adjusted Unit Prices (not to exceed cost for 75% of contract quantity times the contract unit price)

Major ($\geq 5\%$ of Total Contract)	$>125\%$	Adjusted Unit Prices for units $>125\%$ of contract quantity. (Contract Unit Prices for all units up to 125% of contract quantity).
Minor ($<5\%$ of Total Contract)	All	Contract Unit Prices

The adjustment or revision of unit prices shall be negotiated on the basis of actual cost for the entire item plus a reasonable allowance for profit and applicable overhead.

If such changes cause an increase or decrease in the time required for its performance, an equitable adjustment shall be made and a Change Order effectuating the change shall be executed. .

No changes shall be made without first obtaining the approval in writing of the Engineer or their duly authorized representative. Any claim for adjustment under this section must be asserted within ten (10) days from the date the change is ordered, unless the Engineer shall for proper cause extend such time. Nothing provided in this section shall excuse the Contractor from proceeding with the prosecution of the work so changed.

2. EXTRA WORK. The Contractor may be ordered by the Engineer to perform additional work and furnish materials which do not appear in the proposal or contract as a specific item accompanied by a unit price, or lump sum price, and which are not included under the price bid for other items in the contract. All such work and materials shall be designated as extra work. The Contractor shall perform extra work whenever it is deemed necessary or desirable by the Engineer to fully complete the project as contemplated and it shall be done in accordance with the intent of these specifications.

Extra work shall be done under the supervision of the Engineer and their decision shall be final and binding. The plan of the work to be followed, the equipment to be used and the amount and character of labor to be employed shall meet with the approval of the Engineer. Authorization for extra work shall be given by the Engineer in writing in the form of a Change Order. The Contractor shall perform the extra work by force account when so ordered by the Engineer. Work performed on a cost-plus-limited basis shall have itemized statements submitted in accordance with 109.4.5.1(3) of the State Specs. Claims for extra work which have not been authorized by the Engineer will be rejected.

SC-13.02.B. Cash Allowances. Delete Paragraph 13.02.B.1.in its entirety and insert the following in its place:

1. The cash allowances include the cost to Contractor (less any applicable trade discounts) of materials, equipment, and services required by the allowances to be delivered at the Site, or for the Project, and all applicable taxes; and

SC-14.02.B.1 Inspections, Tests, and Approvals. Add the following new paragraphs immediately following Paragraph 14.02.B.:

- H. Inspectors, employed by the Owner, shall be authorized to inspect all work done and all material furnished. Such inspection may extend to all or any part of the work and to the preparation, fabrication or manufacture of the materials to be used. The inspector is not authorized to revoke, alter, or waive any requirements of the specifications. Inspector is authorized to call the attention of the Contractor to any failure of the work or material to conform to the specifications and the contract and shall have authority to reject materials or suspend the work until any questions at issue can be referred to and decided by the Engineer.

The inspector shall in no case act as foreman or perform other duties for the Contractor nor interfere with the management of the work by the latter. Any advice which the inspector may give the Contractor shall in no way be construed as binding the Engineer in any way or releasing the Contractor from fulfilling any of the terms of the contract.

If the Contractor refuses to suspend operations on verbal order, the Engineer or inspector shall issue a written order giving the reason for shutting down the work. After placing the order in the hands of the Project Manager, Project Superintendent, or Foreman on-site, the inspector shall immediately leave the job. Work done after the inspector leaves the job will not be accepted or paid for.

SC-14.03. Defective Work. Add the following new paragraph immediately following Paragraph 14.03.G.:

- H. Failure or neglect on the part of the Engineer to condemn or reject bad or inferior work or materials shall not be construed to imply an acceptance of such work or materials, if it becomes evident at any time prior to the final acceptance of the work by the Owner. Neither shall it be construed as barring the Owner, at any subsequent time, from the recovery of damages or of such a sum of money as may be needed to build anew all portions of the work in which fraud was practiced or improper materials hidden, wherever found.

SC-15.01.B.1. Applications for Payments. Delete paragraph 15.01.B.1. in its entirety and insert the following in its place:

1. At least forty days before the date established for each progress payment (but not more often than once a month), Contractor shall submit to Engineer for review an Application for Payment filled out and signed by Contractor covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Contractor shall submit three documents: an invoice from the material or equipment supplier which states item's cost; an item-specific invoice, bill of sale, or other documentation warranting that Owner has received the materials and equipment free and clear of all Liens; and evidence that the materials and equipment are covered by appropriate property insurance or other arrangements to protect Owner's interest therein; all of which will be satisfactory to Owner.

Requests for payment for materials and equipment not incorporated in the Work shall not be made.

SC-15.01.D. Payment Becomes Due. Delete paragraph 15.01.D. in its entirety and insert the following in its place:

- D. Within fifteen days after presentation of the Application for Payment to Owner, with the Engineer's recommendation, the amount recommend will (subject to the provisions of paragraph 14.02.D and calendar placement on the next available Board of Public Works Agenda) become due, and when due will be paid by Owner to Contractor.

SC-15.01.F. Payment for Extra, Additional, or Omitted Work. Add the following new paragraphs immediately following Paragraph 15.01.E.:

- F. The Owner upon proper action by its governing body, may authorize changes in, additions to, or deductions from the work to be performed or the material to be furnished pursuant to the provisions of the contract or any other contract documents.

Adjustments, if any, in the amounts to be paid to the Contractor by reason of any such change, addition or deduction shall be determined by one or more of the following methods:

- (a) By unit prices contained in the Contractor's original bid and incorporated in the construction contract.
- (b) By a supplemental schedule of prices contained in the Contractor's original bid and incorporated in the construction contract.
- (c) By an acceptable lump sum proposal from the Contractor not to exceed fifteen (15%) percent of the original contract price for all extra, additional or omitted work to comply with Section 62.15(1c) of the Wisconsin Statutes. For lump sum proposals submitted by a subcontractor, the Owner will allow the contractor a markup on work the subcontractor performs as follows:
- Use a markup of 10% for the first \$10,000 of work.
 - Use a markup of 2% for work in excess of \$10,000.
- (d) On a cost-plus-limited basis not to exceed fifteen percent (15%) of the original contract price to comply with Section 62.15 (1c) of the Wisconsin Statutes. A cost-plus-limited basis is defined as the cost of labor, materials and insurance, plus fifteen percent (15%) of the said cost to cover superintendence, general expense, overhead, and profit. Equipment necessary to complete work on a cost-plus-limited basis will be paid as an hourly rate and shall include no mark-up above the hourly rate.
1. Labor – The Owner will pay the contractor's labor costs at the contractor's personnel actual wage rates or wage rates previously agreed upon with the Owner, in writing, for personnel directly involved in producing and supervising the cost-plus-limited basis work. The Owner will only pay for hours that personnel are actually engaged in cost-plus-limited basis work. The Owner will also reimburse the contractor based on actual costs paid to, or on behalf of, workers for subsistence and travel benefits, health and welfare benefits, pension fund benefits and other contractor-paid benefits. The Owner will pay no part of wages or benefits for personnel connected with the contractor's

forces above the classification of foreman and having only general supervisory responsibility for the cost-plus-limited basis work.

2. Materials – The Owner will pay the Contractor based on actual invoiced costs, including applicable taxes and actual freight charges, for Engineer-approved materials the contractor uses in force account work. If the contractor uses materials from the contractor's stock, the Owner and the contractor will agree on the price. Do not incorporate materials into the work without agreement. The Owner reserves the right to furnish materials as it deems appropriate. Make no claims for the costs, overhead, or profit on materials that the Owner provides.
3. Insurance – The Owner will pay the contractor based on actual invoiced costs for property damage, liability and workers compensation insurance premiums, unemployment insurance contributions and social security taxes on work performed on a cost-plus-limited basis. The contractor shall furnish satisfactory evidence of the rates actually paid.
4. Equipment – The Owner will pay for the use of contractor-owned equipment the Engineer approves for work on a cost-plus-limited basis only during the hours that it is operated to the nearest half hour. Contractor-owned equipment expense rates will be paid as given in EquipmentWatch Cost Recovery (formerly Rental Rate Blue Book). Base all rates on revisions effective January 1 for all equipment used in that calendar year and provide the Engineer with a copy of the rate sheet for each piece of equipment used.

<http://equipmentwatch.com/estimator/>

For equipment not listed in EquipmentWatch, provide an expense rate and furnish cost data to support that rate.

Rental equipment will be paid at the rental cost as invoiced by the rental company.

The Owner will not pay rental for tools or equipment with a replacement value of \$500 or less.

The Engineer may reject equipment not in good working condition or not properly sized for efficient performance of the work.

If a subcontractor performs work on a cost-plus-limited basis, the Owner will allow the contractor a markup on work the subcontractor performs as follows:

- Use a markup of 10% for the first \$10,000 of work.
- Use a markup of 2% for work in excess of \$10,000.

No claim for an addition to the contract sum shall be valid unless authorized in writing by the Engineer pursuant to section SC-11.05(A). Final proposed costs, including all back-up documentation, for authorized changes performed on a cost-plus-limited basis shall be submitted to the Engineer within 45 days of completing the authorized work.

SC-15.03. Substantial Completion. Add the following paragraph immediately following Paragraph 15.03.F.:

- G. The Contractor, upon receipt of the punch list, shall submit all missing documentation and perform all work enumerated on the punch list within 14 calendar days from the date the Engineer issues the punch list.

If missing documentation and incomplete or unacceptable work remain after the 14 calendar days, the Engineer may restart contract time unless the Engineer and the Contractor mutually agree to extend this 14 calendar day requirement.

SC-15.06.A.3 Alternatives to Waivers of Liens. Delete Paragraph 15.06.A.3. in its entirety.

SC 15.06.D. Completion of Work. Delete Paragraph 15.06.D. in its entirety and insert the following in its place:

- D. Completion of Work: The Work is complete (subject to surviving obligations) when it is ready for final payment as established by the Engineer's written recommendation of final payment, the Common Council, if applicable, has approved of the work and final payment, and the Board of Public Works has ratified final payment, and issuance of notice of the acceptability of the Work has been made.

SC-15.07.A. Waiver of Claims. Delete Paragraph 15.07.A. in its entirety and insert the following in its place:

- A. The Owner shall not be precluded or estopped by any measurements, estimate or certificate made either before or after the completion and acceptance of the work and payment therefore, from showing the true amount and character of the work performed and materials furnished by the Contractor, or from showing that any measurement, estimate or certificate is untrue or incorrectly made, or that the work or materials do not conform in fact to the contract. The Owner shall not be precluded or estopped, notwithstanding any such measurement, estimate, certificate and payment in accordance therewith, from recovering from the Contractor and their sureties such damage as it may sustain by reasons of the Contractor's failure to comply with the terms of the contract. Neither the acceptance by the Board of Public Works and/or Common Council, nor any representative of the Board of Public Works and/or Common Council, nor any payment for or acceptance of the whole or any part of the work, nor any extension of time, nor any possession taken by the Owner shall operate as a waiver of any portion of the contract or of any power herein reserved, or any right to damages herein provided. A waiver of breach of the contract shall not be held to be a waiver of any other or subsequent breach.

SC-15.08. Correction Period. Delete paragraphs 15.08.A. and 15.08.D in their entirety and insert the following in their place:

- A. If within one year after the date of final payment (or such longer period of time as may be prescribed by the terms of any applicable special guarantee required by the Contract Documents) or by any specific provision of the Contract Documents, any Work is found

to be defective, or if the repair of any damages to the land or areas made available for Contractor's use by Owner or permitted by Laws and Regulations as contemplated in paragraph 7.11.A is found to be defective, Contractor shall promptly, without cost to Owner and in accordance with Owner's written instructions:

1. correct the defective repairs to the Site or such adjacent areas;
2. correct such defective Work, or
3. if the defective Work has been rejected by Owner, remove it from the Project and replace it with Work that is not defective, and
4. satisfactorily correct or repair or remove and replace any damage to other Work, to the work of others or other land or areas resulting therefrom.

D. In special circumstances where a particular item of equipment is placed in continuous service before Engineer's recommendation of final payment, the correction period for that item may start to run from an earlier date if so provided in the Specifications.

SC-15.08. A Correction Period. Add the following new paragraph immediately after paragraph 15.08.A.4:

5. If Contractor cannot correct defective work within thirty days due to prevailing manufacturing or repair time, Contractor shall promptly provide temporary Work, satisfactory to Owner, until Work can be permanently corrected.

SC-15.06.A.2. Application for Payment. Add the following paragraph immediately after Paragraph 15.06.A.2.e :

- f. List of all Subcontractors, Suppliers, and service providers required by SC-7.07.N.

SC-17.20. Substance Abuse Prevention Program. Add the following paragraphs immediately following Paragraph 17.19:

The contractor shall develop, implement and maintain a Substance Abuse Prevention Program as established by Section 103.503 of the Wisconsin State Statutes, and all acts amendatory thereof and supplementary thereto. This statute establishes certain prohibitions against the use and distribution of drugs and alcohol by employees of contractors and subcontractors that have been awarded contracts for or are performing work on public works projects subject to Wisconsin's prevailing wage requirements.

The program must cover all union and non-union employees who work on the Owner's construction sites. Failure to implement such a program prior to award shall result in the Bidder being held to be non-responsible. Following award of the Contract if the Contractor breaches the District Policy by failing to have or to effectively implement the policy, the Owner shall consider this a breach of the Contract by the Contractor and may terminate the Contract. This requirement shall be applicable to all subcontractors with subcontracts in excess of one percent (1%) of the bid.

The act specifically provides that effective May 1, 2007, contractors, subcontractors and their respective employees must comply with the following requirements:

1. Employees on covered public works projects are prohibited from (a) using, possessing, attempting to possess, distributing, delivering or being under the influence of drugs while performing work on covered public works projects, and (b) using or being under the influence of alcohol while performing work on covered public works projects.
2. Before a contractor or subcontractor begins a covered public works project, the contractor or subcontractor must have a written program for the prevention of substance abuse, including:
 - (a) A prohibition against the use of drugs or alcohol while working on covered public works projects.
 - (b) A requirement that contractor's or subcontractor's employees submit to random, reasonable suspicion and post-accident drug and alcohol tests.
 - (c) A requirement that contractor's and subcontractor's employees submit to drug and alcohol tests before beginning work on covered public works projects, unless those employees have been participating in a random testing program during the preceding 90 days.
 - (d) A procedure for notifying employees that fail a test or refuse to submit to testing that they may not perform work on covered public works projects until they submit to and pass drug and alcohol tests.
3. Each contractor and subcontractor is required to pay for the development, implementation and enforcement of its own substance abuse program. These costs cannot be passed on to covered public works projects.
4. Contractors and subcontractors cannot allow employees that fail a test or refuse to submit to substance abuse tests to work on covered public works projects.
5. All substance abuse testing must be conducted in accordance with guidelines for laboratory testing procedures and chain of custody procedures established by the Substance Abuse and Mental Health Services Administration of the Federal Department of Health and Human Services.

SC-18.01.A.2. Giving Notice. Delete Paragraph 18.01.A.2 in its entirety and insert the following in its place:

2. Delivered at or sent to the last business address known to the giver of the notice by United States Postal Service First-Class or Priority Mail, postage prepaid, or by United Parcel Service of America, Inc. UPS shipping service.

SC-18.11. Covenant Against Contingent Fees. Add the following new paragraph immediately after Paragraph 18.10:

18.11 Covenant Against Contingent Fees.

- A. The Contractor warrants that they have not employed any person to solicit or secure this contract upon any agreement for a commission, percentage, brokerage or contingent fees. Breach of this warranty shall give the Owner the right to terminate the contract, or

in its discretion to deduct from the contract price or consideration the amount of such commission, percentage, brokerage or contingent fees. This warranty shall not apply to commission payable to Contractors upon contracts or sales secured or made through bona fide established commercial or selling agencies maintained by the Contractor for purposes of securing business.

SC-18.12. Officials Not to Benefit. Add the following new paragraph immediately after Paragraph 18.11

18.12. Officials Not to Benefit

- A. No member of the Public Body shall be admitted to any share or part of this contract or to any benefit that may arise there from but this provision shall not be construed to extend to this contract if made with a corporation for its general benefit.

SC-18.13. Other Contracts. Add the following new paragraph immediately after Paragraph 18.12

18.13 Other Contracts.

- A. The owner may award other contracts for additional work and the Contractor shall fully cooperate with such Contractors and carefully fit work within the contract including additional work added to the contract to that provided under other contracts as may be directed by the Owner. The Contractor shall not commit or permit any act that will interfere with the performance of work by any other Contractor.

END OF SUPPLEMENTARY CONDITIONS

SECTION 600
SPECIAL PROVISIONS

CONTRACT 25-50 Alice Water Reservoir Rehabilitation

These Special Provisions cover items, correction, deletions or additions to the General Contract Conditions, the Standard Specs, the State Specs, and the City Provisions, and take precedence over those other parts of those specifications which are in conflict herewith.

200.03 - **TIME OF SUBSTANTIAL COMPLETION** The substantial completion date for Contract 25-50 Alice Water Reservoir Rehabilitation shall be October 31, 2025. Liquidated damages are applicable and begin after 60 days out-of-service or after Substantial Completion date whichever is the earlier date.

If the contractor does not complete the work on or before the date set forth above for Contract 25-50 Alice Water Reservoir Rehabilitation or within the extra time allowed under a City Engineer granted time extension, the City will assess liquidated damages. The City will deduct \$1,250 Dollars (\$) for every calendar day that the work remains uncompleted from payments due the contractor. An entire calendar day will be assessed for any period of time within a calendar day that the work is not substantially complete beyond 12:01am.

600.0 – Notice to Contractor

The Contractor shall be advised that the City of Wauwatosa's Standard Specifications have been updated and reorganized for contracts being bid after December 11th, 2023. Changes include a new Section 500 - General Standard Conditions to the Construction Contract, a new Section 501 – Supplementary Conditions, and a significant reorganization of the specifications for storm sewer, sanitary sewer, water main, removals, excavation, grading, concrete construction, and asphalt paving. During the reorganization, various technical updates have also been made to the technical specifications. The Contractor shall take extra care to review the specifications within this contract and ensure they have a thorough understanding of the specifications included herein. Adherence to the specifications provided shall be fully the responsibility of the Contractor.

600.2 – Plans and Specifications

- A. A general description of the work along with the locations is contained in the Instructions to Bidders - Section 200. The plans for the construction of this project consist of 131 sheets with a Submittal Checklist (1 page), Table of Contents (1 page), Photo Album (16 pages), and 52 pages of Technical Specifications and Drawings (breakdown for the Technical Specifications are in the Table of Contents).

600.3 Site Use Coordination

It is anticipated that the City will have commenced construction of a new water pumping station on the Southwest corner of the site. The Contractor shall plan for shared site use and access throughout the project. No additional compensation or time will be granted for this coordination and shared use.

600.4 – Progress Meetings

The Contractor shall schedule and administer progress meetings for the purpose of coordinating schedules and expediting the work as needed. Meetings will be held at the Wauwatosa Department of Public Works, 11100 W. Walnut Road.

The agenda will include, but not be limited to, the following:

1. Review of work progress.
2. 3 week look ahead schedule
3. Field observations, problems and decisions.
4. Identification of problems which impede planned progress.
5. Corrective measures to regain progress schedule.
6. Other business related work.

SC-7.03.D – Holiday Work Hours. Add the following new paragraph immediately after Paragraph SC-7.03.D of the Supplemental Conditions:

1. Do not perform work on the project during the following holiday periods:
 - Monday, May 26, 2025 for Memorial Day
 - Friday, July 4, 2025 for Independence Day
 - Monday, September 1, 2025, for Labor Day

SECTION 700 - CONTRACT

THIS contract made this _____ Day of _____, 20 ____ by and between _____ hereinafter called the "Contractor" and the City of Wauwatosa, Wisconsin, hereinafter called the "City".

WITNESSETH, that the Contractor and the City for the consideration stated herein, agree as follows:

ARTICLE I. SCOPE OF WORK The Contractor shall perform everything required to be performed and shall provide and furnish all labor, material and equipment for the work of _____

_____ all in strict accordance with the Plans and Specifications, including any or all addenda prepared by Dixon Engineering under the direction of the Director of Public Works, acting and in these contract documents referred to as the Director of Public Works, which plans and specifications are made a part of this contract in strict compliance with the Contractor's proposal and the other contract documents herein mentioned which are a part of this contract and the Contractor shall do everything required by this contract and the other contract documents constituting a part hereof.

ARTICLE II. THE CONTRACT PRICE In consideration of the completion of the work described herein and in fulfillment of all stipulations of this contract to the satisfaction and acceptance of the Director of Public Works and the City, the City shall pay and the Contractor further agrees to receive and accept payment based on the prices hereto attached, which prices shall agree with those in the accepted Contractor's proposal as filed with the City of Wauwatosa, Wisconsin on the _____ day of _____, 20 ____, as full compensation subject to the additions or deductions provided therein, in current funds.

ARTICLE III. COMPONENT PARTS OF THE CONTRACT This contract consists of the following component parts, all of which are as fully a part of this contract as if herein set out verbatim, if not attached as if hereto attached.

1. Addenda (if applicable)
2. Special Provisions (Section 600)
3. General Conditions (Section 500; Section 501, if applicable)
4. Advertisement for Bids (Section 100)
5. Instructions to Bidders (Section 200)
6. Contractor's Proposal (Section 300)
7. Federal Funding Requirements & Minimum Wage Scale (Section 400)
8. Contract (Section 700)
9. All Other Specifications
10. Appendices and other documents intended to be incorporated into the contract
11. Bonds (Section 800)

In the event any provision in any of the above component parts of this contract conflicts with any provision in any other of the component parts, the provision in the component part first enumerated above shall govern over any component part which follows it numerically except as may otherwise be specifically stated.

IN WITNESS WHEREOF, the parties hereto have caused this instrument to be executed in four original counterparts the day and year first above written.

(SEAL)

Contractor

Address

Attest:

By _____

Title

Title

(SEAL)

CITY OF WAUWATOSA

Attest:

Owner

By _____

City Clerk

Mayor

City Clerk

Provision has been made to pay the liability that will accrue under this contract up to the original amount thereof as specified in the Common Council resolution authorizing the same. Liability in excess of the original amount of this contract may accrue only after additional endorsement hereon by the City Comptroller as to provision of funds therefor.

_____, 20 ____

City Comptroller

Approved as to form _____, 20 ____.

City Attorney

*CORPORATE CERTIFICATE

I, _____ certify that I am the _____
of the Corporation named as Contractor hereinabove; that _____

_____, who signed the foregoing contract on behalf of the
Contractor was then _____ of said Corporation; that
said contract was duly signed for and in behalf of said Corporation by authority of its
governing body, and is within the scope of its Corporate Powers.

_____ Corporate Seal

* If the Contractor is a corporation, the above Corporate Certificate should be executed.

If the contract is signed by the secretary of the Corporation, the above certificate should be executed by some other officer of the Corporation, under the corporate seal. In lieu of the foregoing certificate, there may be attached to the contract copies of so much of the records of the Corporation as will show the official character and authority of the officers signing, duly certified by the secretary or assistant secretary under the corporate seal to be true copies.

The full name and business address of the Contractor should be inserted and the contract should be signed with his official signature. Please have the names of the signing party or parties typewritten or printed under all signatures to the contract.

If the contractor should be operating as a partnership, each partner should sign the contract. If the contract is not signed by each partner, there should be attached to the contract a duly authenticated power of attorney evidencing the signer's (signers') authority to sign such contract for and in behalf of the partnership.

If the contractor is an individual, the trade name (if the contractor is operating under a trade name) should be indicated in the contract and the contract should be signed by such individual. If signed by one other than the contractor, there should be attached to the contract a duly authenticated power-of-attorney evidencing the signer's authority to execute such contract for and in behalf of the Contractor.

CERTIFICATE OF INSURANCE

DATE (MM/DD/YYYY)

PRODUCER

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.

COMPANIES AFFORDING COVERAGE

COMPANY

A

COMPANY

B

COMPANY

C

COMPANY

D

INSURED

COVERAGES

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED, NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATION MAY BE ISSUED OR MAY PERTAIN, THE ISSUANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

CO LTR	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YYYY)	POLICY EXPIRATION DATE (MM/DD/YYYY)	LIMITS	
	GENERAL LIABILITY <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS MADE <input type="checkbox"/> OCCUR <input type="checkbox"/> OWNER'S & CONTRACTOR'S 				GENERAL AGGREGATE	\$
					PRODUCTS-COMP/OP AGG	\$
					PERSONAL & ADV INJURY	\$
					EACH OCCURRENCE	\$
					FIRE DAMAGE (Any one fire)	\$
					MED EXP (Any one person)	\$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS 				COMBINED SINGLE LIMIT	\$
					BODILY INJURY (Per Person)	\$
					BODILY INJURY (Per Accident)	\$
					PROPERTY DAMAGE	\$
	GARAGE LIABILITY <input type="checkbox"/> ANY AUTO 				AUTO ONLY-EA ACCIDENT	\$
					OTHER THAN AUTO ONLY:	
					EACH ACCIDENT	\$
					AGGREGATE	\$
	EXCESS LIABILITY <input type="checkbox"/> UMBRELLA FORM <input type="checkbox"/> OTHER THAN UMBRELLA FORM 				EACH OCCURRENCE	\$
					AGGREGATE	\$
						\$
	WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY THE PROPRIETOR/PARTNERS/EXECUTIVE OFFICERS ARE: <input type="checkbox"/> INCL <input type="checkbox"/> EXCL				STATUTORY LIMITS	\$
					EACH ACCIDENT	\$
					DISEASE-POLICY LIMIT	\$
					DISEASE-EACH EMPLOYEE	\$
	OTHER 					\$
						\$
						\$

DESCRIPTION OF OPERATIONS/LOCATION/VEHICLES/SPECIAL ITEMS

CERTIFICATE HOLDER

*City of Wauwatosa
 7725 W. North Avenue
 Wauwatosa, WI 53213*

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING COMPANY WILL MAIL 10 DAYS WRITTEN NOTICE TO THE CITY OF WAUWATOSA.

AUTHORIZED REPRESENTATIVE:

CERTIFICATION OF COMPLIANCE WITH UNEMPLOYMENT INSURANCE AND
SOCIAL SECURITY ACT REQUIREMENTS

The Contractor hereby certifies that he has heretofore complied and will during the progress of the work, comply with the Wisconsin Unemployment Insurance Act and will hold the City harmless from any liability for benefits under such Act or Acts by reason of discontinuance by the Contractor of the employment of any person engaged by the Contractor upon the work. The Contractor also hereby certifies that he will during the progress of the work comply with the Federal Social Security Act and will hold the City harmless from any Social Security payments and provisions required by such Act respecting his or his subcontractors' employees.

Contractor Name

Contractor Signature

Date

Accepted by City:

City Attorney

Date

DEBARMENT CERTIFICATION FORM

The Contractor certifies that, neither the Contractor firm nor any owner, partner, director, officer, or principal of the Contractor, nor any person in a position with management responsibility or responsibility for the administration of federal funds:

- (a) Is presently debarred, suspended, proposed for debarment, and declared ineligible or voluntarily excluded from covered transactions by any federal or state department/agency;
- (b) Has within a three-year period preceding this certification been convicted of or had a civil judgment rendered against it for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public transaction or contract (federal, state, or local); violation of federal or state antitrust statutes; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Is presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state, or local) with commission of any of the offenses enumerated in paragraph (b) above; or
- (d) Has within a three-year period preceding this certification had one or more public transactions or contracts (federal, state, or local) terminated for cause or default.

If the contractor is "Actively" registered with SAMS (Service for Award Management), the following UEI (Unique Entity ID) number has been assigned: _____

The Contractor further certifies that it shall not knowingly enter into any transaction with any subcontractor, material supplier, or vendor who is debarred, suspended, declared ineligible, or voluntarily excluded from covered transactions by any federal or state department/agency.

Dated this _____ day of _____, 20 _____

By _____
Authorized Signature for Contractor

Printed Name and Title

Subscribed and sworn to before me this _____
_____, 20 _____

(Notary Signature)

Notary Public, State of _____

My Commission expires: _____

SECTION 800 - BONDS
BID BOND

KNOW ALL MEN BY THESE PRESENTS, THAT we _____

(hereinafter called the Principal) and _____

(hereinafter called the Surety), A corporation chartered and existing under the laws of the State of _____, with its principal offices in the City of _____, and authorized to do business in Wauwatosa, Wisconsin, in the full and just sum of _____ Dollars (\$_____) good and lawful money of the United States of America, to be paid upon demand of the CITY OF WAUWATOSA, WISCONSIN, to which payment, well and truly to be made, the Principal and the Surety bind themselves, their heirs, executors, administrators and assigns, jointly and severally and firmly by these presents.

WHEREAS, The Principal is about to submit, or has submitted to the City of Wauwatosa, Wisconsin, a proposal for furnishing all labor, materials, equipment and incidentals necessary to _____

_____ and;

WHEREAS, The Principal desires to file this bond in accordance with law, in lieu of a certified bidder's check otherwise required to accompany this proposal.

NOW, THEREFORE: The conditions of this obligation are such that if the Proposal is accepted, the Principal shall, within ten days after the date of receipt of a written notice of award of contract, execute a contract in accordance with the Proposal and upon the terms, conditions, and price(s) set forth therein, of the form and manner required by the City of Wauwatosa, Wisconsin and execute a sufficient and satisfactory contract performance bond payable to the City of Wauwatosa, Wisconsin, in an amount of One Hundred Percent (100%) of the total Contract price, in form and with security satisfactory to said City, then this obligation to be void; otherwise to be and remain in full force and virtue in law; and the Surety shall, upon failure of the Principal to comply with any or all of the foregoing requirements within the time specified above, immediately pay to the aforesaid City, upon demand, the amount hereof in good and lawful money of the United States of American, not as a penalty but as liquidated damages.

IN TESTIMONY THEREOF, the Principal and Surety have caused these presents to be duly signed and sealed this _____ day of _____ 20 ____.

_____ Principal

By _____
(Seal)

_____ Surety

_____ (Seal)

Countersigned _____

Local Resident Producing Agent for _____

(Note: This form of bond must be executed after the award of the contract.)

PERFORMANCE BOND

KNOW ALL MEN BY THESE PRESENTS, That we, _____

_____ as Principal, and _____

as Surety, are held and firmly bound unto the City of Wauwatosa, 7725 W. North Avenue, Wauwatosa, Wisconsin 53213, hereinafter called the City, in the penal sum of _____

_____ Dollars, (\$ _____)

lawful money of the United States, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

The condition of this Obligation is such, that whereas the principal has executed the attached Agreement dated _____

Now, Therefore, if the attached agreement is executed on behalf of the City and if the Principal shall well and truly perform and fulfill all the undertakings, covenants, terms and conditions of the said agreement, and any and all duly authorized modifications of the said agreement that may hereafter be made and shall pay to each and every person or party entitled thereto all the claims for work or labor performed or materials furnished, including premiums for Worker's Compensation Insurance, for or in or about or under such agreement as provided in Section 779.14 and 779.15 of the Wisconsin Statutes, and any such authorized extension or modification of said agreement, then this obligation to be void, otherwise to remain in full force and virtue.

And the said Surety, for value received, hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the agreement or to work to be performed thereunder or the specifications accompanying the same shall in any wise affect its obligations on this bond, it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the agreement to the work or to the specifications.

IN WITNESS WHEREOF the above-bounden parties have executed this instrument, in _____ original counterparts, under their several seals this _____ day of _____, 20____, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

In presence of:

(Individual Principal) (SEAL)

(Business Address)

(SEAL)

(Business Address)

Attest:

(Corporate Principal)

(Business Address)

By _____ (Affix
Corporate Seal)

Attest:

(Corporate Surety)

(Business Address)

By _____ (Affix
Corporate Seal)

Approved _____, 20 ____.

Mayor
(Title)

NOTE: The Bond must be approved and the approval dated in every case; refer to Section 779.14 and 779.15 Wisconsin Statutes. The title of the person signing must be indicated.

LABOR & MATERIAL PAYMENT BOND

KNOW ALL MEN BY THESE PRESENTS:

That _____
(Here insert full name and address or legal title of Contractor)

_____ as Principal, hereinafter called Principal, and _____
_____ (Here insert full name and address or legal title of surety)

as Surety, hereinafter called Surety, are held and firmly bound unto the City of Wauwatosa, 7725 West North Avenue, Wauwatosa, Wisconsin 53213, as Obligee, hereinafter called City for the use and benefit of claimants as hereinbelow defined, in the amount of _____ Dollars (_____), for the payment whereof Principal and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, Principal has by written agreement dated _____, 20 __, entered into a contract with City for _____
_____ (Here insert full name, address and description of project)
in accordance with Drawings and Specifications prepared by _____

_____ (Here insert full name and address or legal title of Director of Public Works)
which contract is by reference made a part hereof, and is hereinafter referred to as the Contract.

NOW, THEREFORE, THE CONDITION OF THIS OBLIGATION is such that, if Principal shall promptly make payment to all claimants as hereinafter defined, for all labor, material used or reasonably required for use in the performance of the Contract, then this obligation shall be void; otherwise it shall remain in full force and effect, subject, however, to the following conditions.

1. A claimant is defined as one having a direct contract with the Principal or with a Subcontractor of the Principal for labor, material, or both, used or reasonably required for use, in the performance of the Contract, labor and material being construed to include that part of water, gas, power, light, heat, oil, gasoline, telephone service or rental of equipment directly applicable to the Contract.

2. The above name Principal and Surety hereby jointly and severally agree with the City that every claimant as herein defined, who has not been paid in full before the expiration of a period of ninety (90) days after the date on which the last of such claimant's work or labor was done or performed, or materials were furnished by such claimant, may sue on this bond for the use of such claimant, prosecute the suit to final judgment for such sum or sums as may be justly due claimant, and have execution thereon. The City shall not be liable for the payment of any costs or expenses of any such suit.

3. No suit or action shall be commenced hereunder by any claimant:
 a) Unless claimant, other than one having a direct contract with the Principal, shall have given written notice to any two of the following: The Principal, the City, or the Surety above named, within ninety (90) days after such claimant did or performed the last of the work or labor, or furnished the last of the materials for which said claim is made, stating with substantial accuracy the amount claimed and the name of the party to whom the materials were furnished, or for whom the work or labor was done or performed. Such notice shall be served by mailing the same by registered mail or certified mail, postage prepaid, in an envelope addressed to the Principal, City or Surety, at any place where an office is regularly maintained for the transaction of business, or served in any manner in which legal process may be served in the State in which the aforesaid project is located, save that such service need not be made by a public officer.

b) After the expiration of one (1) year following the date on which Principal ceased work on said Contract or after the expiration of one (1) year following the date of Substantial Completion of the Project, whichever is later, it being understood, however, that if any limitation embodied in this bond is prohibited by any law controlling the construction hereof such limitation shall be deemed to be amended so as to be equal to the minimum period of limitation permitted by such law.

c) Other than in a state court of competent jurisdiction in and for the county or other political subdivision of the State in which the Project, or any part thereof, is situated, or in the United States District Court for the district in which the Project, or any part thereof, is situated, and not elsewhere.

4. The amount of this bond shall be reduced by and to the extent of any payment of payments made in good faith hereunder, inclusive of the payment by Surety of mechanics' liens which may be filed of record against said improvement whether or not claim for the amount of such lien be presented under and against this bond.

Signed and sealed this _____ day of _____, 20 ____.

	(Principal) (Seal)
	By _____
_____	(Title)
(Witness)	_____
	(Surety) (Seal)
	By _____
_____	(Attorney-in-Fact)
(Witness)	

AFFIDAVIT

(To be attached to all contracts)

STATE OF WISCONSIN)

)SS.

COUNTY)

_____ being first
duly sworn on oath deposes and says he is _____

_____ (Attorney-in-fact or agent) of

_____ (Bonding Company)

surety on the attached contract number _____ executed by

_____ (Contractor).

Affiant further deposes and says that no officer, official or employee of the
City of Wauwatosa has any interest directly or indirectly, or is receiving any
premium, commission fee or other thing of value on account of the same or
furnishing of the bond, undertaking or contract of indemnity, guaranty, or
suretyship in connection with the above mentioned contract.

Signed _____

Subscribed and sworn to before me

This _____ day of _____, A.D.; 20 ____.

_____ (Notary Public)

_____ County, Wisconsin

My Commission expires _____.

SECTION 00 91 17
ADDITIONS TO GENERAL CONDITIONS

PART 1 – GENERAL

GENERAL PURPOSE OF THESE ADDITIONS TO GENERAL CONDITIONS

- A. These Additions to the General Conditions were prepared by Dixon Engineering, Inc. using paragraphs from Engineering Joint Contract Documents Committee (EJCDC) General Conditions GC-700 -18 which were modified by DIXON as they pertain to the coating industry. The General Conditions of this Contract were prepared by the Owner. These Additions to General Conditions are intended to supplement the Owner's General Conditions as they relate to this specific Project. For example:
1. The Owner's General Conditions detail the payment process, how to submit a Request for Payment application, what form to use and when and where to submit the application. These Additions to General Conditions detail how DIXON calculates approval of a pay request, no payment for stored materials, percentage complete calculation methodology, etc.
 2. Liquidated Damages (if applicable) are defined in the General Conditions including when, where, and amount. In the Additions to General Conditions the method of calculating claimed wind and weather days is detailed.
- B. These Additions to General Conditions follow the EJCDC format and the Article numbers reflect the Article number in the 2018 edition of the EJCDC General Conditions. Note that not all Articles or subsections are referenced.

DISCREPANCIES BETWEEN THE OWNER'S GENERAL CONDITIONS AND THESE ADDITIONS TO GENERAL CONDITIONS.

- A. If the conflict is administrative in nature, then the Owner's General or Supplemental Conditions govern. Examples are Pay Request procedures, filing a Claim, etc.
- B. If the conflict is of a technical nature, then the Additions to General Conditions govern.
- C. An issue determined to be in conflict in a specific item does not void other non-conflicting paragraphs in the same Article number.
- D. Bidders are required to familiarize themselves with all the General and Supplemental Conditions of the Contract, as well as these Additions to General Conditions.
- E. In all cases of discrepancies between the General Conditions, the Supplemental Conditions, the Additions to General Conditions, the Technical Specifications and/or the Drawings, the Engineer is to be notified. The Specifications are to govern over the Drawings.
- F. If Work proceeds without the Contractor obtaining proper interpretations of the conflicting issues from the Engineer, any installed Work that is not in accordance with the Specification, and best practices are to be replaced at no additional cost and

other costs that may occur are also the responsibility of the Contractor if they were aware of the conflict.

ARTICLE 1 DEFINITIONS AND TERMINOLOGY

1.01 DEFINED TERMS

- A. Construction Industry Definitions: These definitions are taken from the EJCDC General Conditions C-700-18, the 2018 edition and some were modified by DIXON to be specific to the coating industry.
1. *Bulletin* - If time permits, a Bulletin is issued prior to a Change Order. A Bulletin is an inquiry of the Contractor of the cost to complete the Work described in the Bulletin. It is intended as the basis of a Change Order if all parties reach agreement. A Bulletin may be considered as the same as a Change Proposal except that a Bulletin is generated by the Engineer because it generally requires Specifications to be addressed.
 2. *Change Order (CO)* - is a written order to the Contractor signed by the Owner, issued after execution of the Contract, authorizing a change in the Work or an adjustment in the Contract sum or the Contract time. A document which is signed by the Contractor and the Owner and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, or other revision to the Contract, issued on or after the Effective Date of the Contract.
 3. *Change Proposal* - A written request by Contractor, duly submitted in compliance with the procedural requirements set forth herein, seeking an adjustment in Contract Price or Contract Times, or both; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; challenging a Set-off against payments due; or seeking other relief with respect to the terms of the Contract.
 4. *Constituent of Concern (CC)* - Asbestos, petroleum, radioactive materials, polychlorinated biphenyls (PCBs), lead based paint (as defined by the HUD/EPA standard) hazardous waste, and any substance, product, waste, or other material. Lead, chrome, and other by-products of paint removal, as well as strippers, new coatings, and thinners, are to be included in this definition. Coating industry related CC, from new or from previous projects cannot be the basis of Contract Termination or Change Proposal by the Contractor.
 5. *Drawings* - The part of the Contract that graphically shows the scope, extent, and character of the Work to be performed by Contractor. A Shop Drawing is not a Drawing and is not part of the Contract Documents.
 6. *Electronic Document* - Any Project-related correspondence, attachments to correspondence, data, documents, Drawings, information, or graphics, including but not limited to Shop Drawings and other Submittals, that are in an electronic or digital format.
 7. *Electronic Means* - Electronic mail (email), upload/download from a secure Project website, or other communications methods that allow: (a) the transmission or communication of Electronic Documents; (b) the documentation of transmissions, including sending and receipt; (c) printing of the transmitted Electronic Document by the recipient; (d) the storage and archiving of the Electronic Document by sender and recipient; and (e) the use by recipient of the Electronic Document for purposes

permitted by this Contract. Electronic Means does not include the use of text messaging, or of Facebook, Twitter, Instagram, or similar social media services for transmission of Electronic Documents.

8. *Field Order* - A written order issued by the Engineer which requires minor changes in the Work but does not change the Contract Price or the Contract Times.
9. *Hold Point* - A point in the construction sequence when the Contractor is required to stop Work on that portion of the Project until Work has been Site reviewed by RPR or Project Manager.
10. *Non-Conformance Report* - A report written by the Engineer or Resident Project Representative, to document the Contractor's Work that does not meet requirements of the Specifications or Contract.
11. *Performance Specifications* - Specifications that require the manufacturer or supplier of equipment, materials, or systems to design, manufacture, deliver, and install products to achieve specific results under stipulated conditions of operation and in environments described in applicable Specification Sections.
12. *Ready for Final Payment* - This term is used to define a time when Liquidated Damages begin, separate from Liquidated Damages for failure to meet Substantial Completion Date. Ready for Final Payment Date is generally listed 30 days after Substantial Completion Date. All punch list items are to be completed, Site cleaned and restored, and equipment removed. At the option of the Owner this Liquidated Damage may be in addition (cumulative) with a Liquidated Damage for failure to meet Substantial Completion Date.
13. *Resident Project Representative* - The authorized representative of Engineer assigned to assist Engineer at the Site. As used herein, the term Resident Project Representative or "RPR" includes any assistants or field staff of Resident Project Representative.
14. *Schedule of Values* - A schedule, prepared and maintained by Contractor, allocating portions of the Contract Price to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment. The Schedule of Value Form is supplied in these Bidding Documents as Section 00 54 00. This Schedule is to be submitted with the Bid. Adjustment of Schedule of Values by Engineer will not change the total Bid as calculated by the Contractor completing the Schedule of Values.
15. *Set-off* - Owner may withhold from payment including Final Payment an amount equal to additional expenses incurred by Owner which were the responsibility of the Contractor. Such expenses may include additional engineering expenses related to excess review of incomplete submittals of Shop Drawings, pay requests, or bonds and insurance, excess Requests for Information, excess tests and inspections and return visits to the site to complete a reinspection of a previously failed inspection, increase inflation in engineering fees that result from Contractor delaying Project into the next season; additional expenses incurred by Owner resulting from Contractor failure to clean site, site rehabilitation, and other construction related expenses resulting from Contractor not completing their Contractual obligations.
16. *Site* - Lands or areas indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements, and such other lands furnished by Owner which are designated for the use of Contractor.

17. *Specifications* - The part of the Contract that consists of written requirements for materials, equipment, systems, standards, and workmanship as applied to the Work, and certain administrative requirements and procedural matters applicable to the Work.
 18. *Submittal* - A written or graphic document, prepared by or for Contractor, which the Contract Documents require Contractor to submit to Engineer, or that is indicated as a Submittal in the Schedule of Submittals accepted by Engineer. Submittals may include Shop Drawings and Samples; schedules; product data; Owner-delegated designs; sustainable design information; information on special procedures; testing plans; results of tests and evaluations, source quality-control testing and inspections, and field or Site quality-control testing and inspections; warranties and certifications; Suppliers' instructions and reports; records of delivery of spare parts and tools; operations and maintenance data; Project photographic documentation; record documents; and other such documents required by the Contract Documents. Submittals, whether or not approved or accepted by the Engineer, are not Contract Documents. Change Proposals, Change Orders, Claims, notices, Applications for Payment, and requests for interpretation or clarification are not Submittals.
 19. *Substantial Completion* - The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms "substantially complete" and "substantially completed" as applied to all or part of the Work refer to Substantial Completion thereof. The date of Substantial Completion is the date the structure is, or would have been returned to service, except for voluntary delay by Owner. The date of Substantial Completion is after complete cure, disinfection, and testing.
 20. *Work Change Directive* - A written directive to the Contractor issued on or after the Effective Date of the Contract, signed by the Owner and recommended by the Engineer, ordering an addition, deletion, or revision in the Work.
- B. *Defective*:
1. The word "defective," when modifying the word "Work," refers to Work that is unsatisfactory, faulty, or deficient in that it:
 - a. Does not conform to the Contract Documents; or
 - b. Does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents; or
 - c. has been damaged prior to Engineer's recommendation of final payment (unless responsibility for the protection thereof has been assumed by Owner at Substantial Completion).
 - d. All Work completed that is rejected by an unresolved non-conformance report.

ARTICLE 2 PRELIMINARY MATTERS

2.03 BEFORE STARTING CONSTRUCTION

- A. Preliminary Schedules: Within 10 days after the Effective Date of the Agreement (or as otherwise specifically required by the Contract Documents), Contractor is to submit to Engineer for timely review:

1. A preliminary Progress Schedule indicating the times (numbers of days or dates) for starting and completing the various stages of the Work, including any Milestones specified in the Contract.

2.04 PRECONSTRUCTION CONFERENCE

A. Preconstruction Conference is herein called Preconstruction Meeting:

1. The Engineer will schedule a Preconstruction Meeting to be attended by the Owner, Engineer, and Contractor. Prior to beginning any Work, Contractor is to submit to the Engineer, a Project Schedule and all other required Submittals for the Project. If the schedule is aggressive, working overtime, weekends, and/or holidays, that time is to be reflected in the Project Schedule. Once the Project has begun, the Contractor is to carry the Project Schedule to completion without delay.
2. Attend a Preconstruction Meeting that may be scheduled by the Owner at a mutually agreeable time after Contract preconditions, bonds, certificates of insurance, and other requirements have been met.
3. A Corporate Officer, or someone with legal authority to obligate the company/corporation, Project Manager (if different from officer), and the intended superintendent are to attend. If the Project Superintendent does not attend the meeting, it is to be the Contractor's responsibility to supply the information discussed at the meeting to the Field Superintendent.
4. The Owner will be represented by the Project contact person, and the Engineer by the Project Manager, or a Contract Administrator.
5. All containment, personal hygiene, and lead control issues required in this Contract will be reviewed. Be prepared to commit designated "Competent Person(s)" to responsibilities of confined space, scaffold rigging, lead, etc.

B. Progress Meetings:

1. The Project Manager or Owner will schedule Progress Meetings to be held on the job Site whenever needed to supply information necessary to prevent job interruptions, to observe the Work, or to inspect completed Work. The Contractor is to be represented at each Progress Meeting by persons with full authority to act for the Contractor regarding all portions of the Work.

ARTICLE 3 CONTRACT DOCUMENTS INTENT REQUIREMENTS, REUSE

3.01 INTENT

- A. The Drawings and Specifications are intended to include all Work and materials necessary for completion of the Work. Any incidental item of material, labor, or detail required for the proper execution and completion of the Work and omitted from either the Drawings or Specifications or both, but obviously required by governing codes, local regulations, trade practices, operational functions, and good workmanship, is to be provided as a part of the Contract Work without extra cost, even though not specifically detailed or mentioned.

ARTICLE 4 COMMENCEMENT AND PROGRESS OF THE WORK

4.01 COMMENCEMENT OF CONTRACT TIMES

- A. Contractor is to start to perform the Work on the date when the Contract Times commence to run. No Work is to be done at the Site prior to such date except as recommended immediately following or by written authorization of the Owner AND the Engineer (Engineer must be able to schedule appropriate RPR for Project.) Contract time is governed by out-of-service time. The Contractor is encouraged to deliver equipment to the Site prior to Contract start. The Site will be available up to two (2) weeks prior to the agreed drainage date. The Contractor is also encouraged to rig the structure, complete containment installation, and complete weld repairs that do not affect the wet interior prior to draining of the structure. The amount of Work completed is to have been approved at the Preconstruction Meeting. Since the structure is not out of service these dates do not apply against Out of Service time but may require scheduling RPR services (see Section 00 91 19.01 Scheduling for RPR Services).
- B. Delaying Work start for the convenience of the Contractor may require Owner to Set-off inflation increased Engineering or RPR expenses against Contractor's Request for Payment.

4.05 DELAYS IN CONTRACTOR'S PROGRESS

- A. Liquidated Damages
1. Contract time is governed by out-of-service time.
 2. The date of Substantial Completion is the date the structure is or would have been returned to service, except for voluntary delay by Owner. The date of Substantial Completion is after complete cure, disinfection, and testing. A voluntary delay in filling by Owner, or delay that is no fault of the Contractor, may extend Substantial Completion date.
 3. Abnormal weather conditions are defined as weather conditions that are at variance with the routine. Below is an **example** of the determination procedure and of the required claim format, and is not intended to match the specified project:
Project length: 45 days
Substantial Completion date: June 30th.
Start date: May 16th.
3 years of data* 2022, 2023, 2024
Average number of rain/wind days: 9
Actual number of rain/wind days**: 12
Claim for time extension: 3 days.
 4. *Submit weather history from nearest weather reporting station for three (3) previous years from the same time period. Submit formal, by simple claim (use format above).

5. **Rain/wind day is a rain or wind day where either rain and/or wind conditions exceeded safe Work conditions or were outside the parameters of good paint practices. Wind days are winds in excess of 20 mph for over four (4) hours during normal Work hours, and rain days having measurable precipitation.
6. Weather Claim Evaluation: The Engineer will evaluate claim and make sole determination as to whether days meet criteria. Engineer will disallow dates where Work could have been completed on the interior; dates that result from the Contractor's Work practices (i.e., complete wet interior first and then move to the exterior). Good weather days not used will count against the claim.
7. Claimed rain/wind days that occur after the scheduled Substantial Completion Date, or an extended Substantial Completion Date will not be awarded. Days past Substantial Completion Date and good weather days that were not used for Productive Work will be considered "days within the control of the Contractor."

ARTICLE 5 SITE; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENT CONDITIONS

5.02 USE OF SITE AND OTHER AREAS

A. Site:

1. Protection - The Contractor is responsible for the protection of property during the period of construction and is to exercise care to prevent damage to structures, utility services, storm and sanitary drainage systems, lawns, trees, plant material, fences, walks, drives, roadways, hydrants, and other improvements in and adjacent to the area of Work under the Contract. Any damage to property resulting from the Contractor's operations is to be repaired or replaced by the Contractor at their expense.
2. The Contractor is to be responsible for all injury to Work in process of construction, and for all property or materials stored at the premises that may be damaged or stolen while the Work is in their care, at Contractor's expense.
3. The Contractor is to confine the apparatus, the storage of materials, and the operations of their Workers to limits indicated by law, ordinance, permits, or direction of the Engineer, and is not to unreasonably encumber the premises with their materials.
4. Maintenance
 - a. Provide labor and material necessary to maintain the Site in a safe condition.
 - b. Keep the premises free from accumulation of waste materials, rubbish, and other debris resulting from the Work.
 - c. At completion of the Work, remove all waste materials, rubbish, and debris from about the premises, as well as all tools, construction equipment, machinery, and surplus materials.

- d. At the Contractor's expense, repair damage that may have occurred to any permanent structure completed under the Contract Work, or to private or public property.
 - e. Notify the Owner of your intentions and the reasons why, if it is necessary to protect adjacent houses, cars, etc. During clean-up these areas will be considered as part of the Site and is to be cleaned accordingly.
 - f. Failure to continually maintain the Site or to immediately clean the Site after a complaint or Project Completion may result in the Owner completing the Work by hire or by the Owner's forces. All cost would be responsibility of the Contractor, subject to Set-off.
 - g. Restore Site to preconstruction condition:
 - i. Refill holes and level area around the construction Site for the Site to the original grade.
 - ii. Bring soil to a friable condition by disking, harrowing, or otherwise loosening and mixing to a depth of 3 in. – 4 in. Thoroughly break all lumps and clods.
 - iii. Rake area to be seeded. Sow seed at a minimum rate of 220 lbs./acre.
5. Cleaning - Prior to Substantial Completion of the Work Contractor is to clean the Site and the Work and make it ready for utilization by the Owner. At the completion of the Work, but prior to Ready for Final Payment, Contractor is to remove from the Site and adjacent areas all tools, appliances, construction equipment and machinery, and surplus materials and is to restore to original condition all property not designated for alteration by the Contract Documents.

5.03 SUBSURFACE AND PHYSICAL CONDITIONS

- A. Locations of all buried utility service lines in or adjacent to the Work area that are not shown on the Drawings will be located by the Contractor through the local utility locating agency and marked with warning stakes. The Contractor is to be responsible for the protection of all utility service lines that are to remain. Damage to any such utility service lines, pipes, etc. resulting from the Contractor's operations are to be repaired or replaced by the Contractor at their expense. Underground Work in the coating industry involves drilling for anchors for containment systems. The painting of pit piping will be considered subsurface Work. For this type of Work the Contractor must rely on Utility Locating Services and not Technical Data from Owner, or in the case of pits, a visual inspection. Contractor is to notify each utility before digging for anchors or for any reason. Before starting, call in advance or/as required by the individual agencies: Call 811 or the appropriate agency in the state of the Project.
- B. Rough Surfaces in the Wet Interior: The wet interiors of steel structures are subject to corrosion. Based on the age of the structure, maintenance history of the structure, and other factors, the inside of the structure may be pitted. The degree or severity or extent of this pitting will not be considered a hidden condition. No claim of extra for blasting

or coating application will be accepted or reviewed. If pit welding or pit filling is completed, that will be done at the bid unit price or a negotiated price. The Owner and Engineer will determine and authorize the extent of pit filling. There will likely be as many or more unfilled pits than the number authorized for repair. Contractor cannot rely on pit filling to eliminate some of the application techniques needed for pitted structures. Back rolling of a spray application may be necessary and will be considered Good Painting Practice and not a Differing Physical Condition.

5.06 HAZARDOUS ENVIRONMENTAL CONDITIONS AT THE SITE

- A. Contractor is not responsible for removing or remediating any Hazardous Environmental Condition (Constituents of Concern) encountered, uncovered, or revealed at the Site unless such removal or remediation is expressly identified in the Contract Documents to be within the Scope of the Work or eventually identified as being caused or created by the Contractor.
- B. Power Lines – Antennas – Electrical Wiring
 - 1. If overhead power lines present an unsafe Work condition as determined by OSHA, the Owner or utility, and the Contractor at their expense and coordination, is to have the utility temporarily relocate, move, or cover lines, eliminating the hazard.
 - 2. Unless stated differently in Contract Documents, protect all antennas, controls, cables, and associated property of Owner's equipment or material on, in or near the structure during Work. Design construction procedures to maintain operation of antenna system. If antennas are removed from the structure protect all telecommunication equipment remaining in place.
 - 3. Unless stated differently in the Contract Documents, protect all electrical lines and controls including 110/220 V. service lines, cathodic wiring, lights, globes, outlets, and service boxes. Protect associated property of private telecommunication companies (911, school buses, etc.) from damage during Work. Design construction procedures to maintain operation of telecommunication systems.

0ARTICLE 6 BONDS AND INSURANCE

6.01 PERFORMANCE, PAYMENT, AND MAINTENANCE BONDS (NOT BID BONDS)

- A. Supply a Maintenance (Warranty) Bond for two (2) years at 50% of the Contract price, to ensure any repair work required or detected as a result of the (13) months (1 year) Post Construction inspection. The repair scheduling may be delayed several months for Contractor's schedule or Owner's operational requirements. This bond is to remain in effect until repairs have been completed and accepted. Per Technical Specifications, if repairs exceed 10% of any area, then the Warranty and bond are to

be extended another year. The Maintenance (Warranty) Bond must be issued by the same surety that issues the Performance Bond.

ARTICLE 7 CONTRACTOR'S RESPONSIBILITIES

7.01 CONTRACTOR'S MEANS AND METHODS OF CONSTRUCTION

- A. The Contractor is to supervise, direct, control, and have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, and the safety precautions and programs incident thereto.
- B. Any plan or methods of accomplishing the Work suggested to the Contractor by the Engineer or other representative of the Owner, but not specified or required, is to be used at the Contractor's own risk and responsibility. The Engineer and Owner assume no responsibility.
- C. The Contractor is to comply with Laws and Regulations applicable to the performance of the Work.
- D. The Contractor is to perform the Work in accordance with the Contract Documents. Contractor's obligation to perform under terms of Contract and complete the Work in accordance with the Contract Documents is absolute.
- E. The Contractor is to be responsible for the acts or omissions of Contractor and of any Subcontractor, any Supplier, and of any other individual or entity performing any of the Work.

7.02 SUPERVISION AND SUPERINTENDENCE

- A. Contractor is to supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents.
 - 1. At all times during the progress of the Work, the Contractor is to assign a competent Resident Superintendent who is to not be replaced without written notice to Owner and Engineer except under extraordinary circumstances.
 - 2. Resident Superintendent is to be fluent in English to the level of competency to complete responsibilities of the Contractor and to communicate with the RPR. The Superintendent is to also be fluent or have access to a translator on site, for the primary language of all of the Workers. Degree of fluency in English and language of Workers to be sufficient so that Superintendent's employees can adequately and safely complete their duties.
 - 3. No employee of Contractor, Subcontractor, or Supplier may be on the Project Site who cannot be directed by a Superintendent, or translator in regard to work assignments, safety issues, or who cannot understand safety signage.

7.03 LABOR; WORKING HOURS

- A. Provide equipment of sufficient size and power to expedite the Project so that all deadlines are met. Personnel and crew size is to be sufficient to meet required deadlines.
- B. If, in the sole opinion of the Engineer, there is insufficient equipment or personnel to complete the Project, the Engineer will notify the Contractor and Owner, and a Project Meeting will be held within twenty-four (24) hours for the purpose of Contract termination, unless a reasonable cause is given to the contrary.

7.05 “OR EQUALS”

- A. Whenever an article, material, or item of equipment is described by a performance Specification, written as a proprietary product, or uses the name of a manufacturer or vendor, the term “or equal” if not inserted, is to be implied. The specific article, material, or item of equipment mentioned is to be understood as indicating the minimum requirements for fulfilling Contract obligations regarding type, function, standard of design and efficiency. See Section 09 97 13, Part 2, Substitutions, which is to govern over this clause where conflicting, relative to coatings, grouts, and fillers only. Other exceptions are when the Specifications state that only the proprietary item will be permitted.

7.11 LAWS AND REGULATIONS

- A. The Contractor is responsible for all permits and requirements of local, state, and federal agencies. This includes building, electrical, labor, OSHA, etc. The only permits not included are from health agencies for interior painting, cathodic protection installation and mixer installation which is the responsibility of the Owner.
- B. Display all wage requirements and other permits on a temporary board.
- C. Attach to the Superintendent’s copy of the Specifications copies of other permits that do not require display.

7.13 SAFETY AND PROTECTION

- A. Conform to the Occupational Safety and Health Standards of the United States Department of Labor and local safety agencies. This is to be made a condition of each Subcontract as entered into pursuant to this Contract.
- B. Removal of heavy metal bearing paint and painting of structures is recognized as very dangerous Work, and it is further recognized the painting industry has extensive safety training programs available.
- C. The Contractor is to designate a qualified and experienced safety representative at the Site whose duties and responsibilities are to be the prevention of accidents and the maintaining and supervising of safety precautions and programs. Contractor’s Safety Representative is to have the authority to supersede Contractor’s foreman and is to stop

Work if the Work being completed is in violation of Contractor's or Owner's safety program, or OSHA regulations.

- D. Monitor and be responsible for all safety practices.
- E. The Engineer and Owner are to have full access to the Site. The Contractor is to make personnel and equipment available to the Owner and Engineer/RPR to expedite observations.
- F. While at the Site, Engineer's employees and representatives will comply with the specific applicable requirements of Owner's and Contractor's safety programs (if any) of which Engineer has been informed.
- G. The Contractor is responsible for security, safety, etc. on the Site until all their equipment is removed and all keys are returned.

7.16 SUBMITTALS

- A. A sample of the Owner's/Engineer's Submittal Checklist is included as an attachment. The checklist is intended for Engineers' use but is included as a reference for the Contractor. Contractor submittals are to include all items requested in the Technical Specifications whether listed in the Submittal Checklist or not.
- B. All submittals are to be sent to the Owner as one package (unless a separate Schedule of Submittals is included and approved by the Engineer). All required resubmittals are also to be resubmitted as one package, and any delinquent resubmittal must be identified by a new Schedule of Submittals. Failure to include a Schedule of Submittals for delinquent items will be justification by Engineer to consider submittal incomplete. Delinquent items will be considered reviewed and rejected.

7.17 CONTRACTOR'S GENERAL WARRANTY AND GUARANTEE

- A. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents, or a release of the Contractor's obligation to perform the Work in accordance with the Contract Documents, or a release of Owner's Warranty and guarantee rights:
 - 1. Observations and/or Daily Observation Reports by Engineer/RPR.
 - 2. Recommendation by Engineer or payment by Owner of any Progress or Final Payment.
 - 3. The issuance of a certificate of Substantial Completion by Engineer or any payment related thereto by the Owner.
 - 4. Use or occupancy of the Work or any part thereof by the Owner.
 - 5. Any review and approval of a Shop Drawing or Sample submittal.
 - 6. The issuance of a Notice of Acceptability by the Engineer.
 - 7. The end of the correction period.
 - 8. Any inspection, test, or approval by others.
 - 9. Any correction of defective Work by Owner.

7.19 DELEGATION OF PROFESSIONAL DESIGN SERVICES

A. If the Contract Documents note, or Contractor determines, that Professional Engineering or other design services are needed to carry out Contractor's responsibilities for construction means, methods, techniques, sequences, and procedures, or for Site safety, then Contractor is to cause such services to be provided by a properly licensed design professional, at Contractor's expense. Such services are not Owner-delegated professional design services under this Contract, and neither Owner nor Engineer has any responsibility with respect to (1) Contractor's determination of the need for such services, (2) the qualifications or licensing of the design professionals retained or employed by Contractor, (3) the performance of such services, or (4) any errors, omissions, or defects in such services.

ARTICLE 10 ENGINEER'S STATUS DURING CONSTRUCTION

10.07 LIMITATIONS ON ENGINEER'S AUTHORITY AND RESPONSIBILITIES

A. Engineer's Responsibilities

1. Engineer will be Owner's representative during the construction period.
 2. Engineer's Project Manager (PM) will make visits to the Site at intervals appropriate to the various stages of construction as Engineer deems necessary in order to observe as an experienced and qualified design professional the progress that has been made and the quality of the various aspects of Contractor's executed Work. Based on information obtained during such visits and observations, the Engineer, for the benefit of the Owner, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. The Engineer will not be required to make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. Engineer's efforts will be directed toward providing for Owner a greater degree of confidence that the completed Work will conform to the Contract Documents. On the basis of such visits and observations, the Engineer will keep Owner informed of the progress of the Work and will endeavor to guard Owner against defective Work.
 3. The Engineer will identify all Set-off expenses incurred against Engineer in their invoice to Owner.
 4. Engineer's review of the final Application for Payment and accompanying documentation, and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by Contractor, will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals, that the results certified indicate compliance with the Contract Documents.
- B. The Resident Project Representative's (RPR) Responsibilities**
1. If the Owner retains Engineer to provide RPR services, the RPR will be Engineer's representative at the Site to assist in observing the progress and quality of the Work.

RPR's dealings in matters pertaining to the Work in general will be with Engineer and Contractor. RPR's dealings with Subcontractors will only be through or with the full knowledge or approval of the Contractor. The authority of any RPR will be as directed by the Engineer.

2. Neither Engineer's authority or responsibility under any provision of the Contract, nor any decision made by Engineer in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by Engineer, will create, impose, or give rise to any duty in Contract, tort, or otherwise owed by Engineer to Contractor, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them. No Agreement between the Owner and Engineer and nothing in this Contract is to imply or construct a third-party beneficiary status to the Contractor.
- C. Engineer/RPR is to have the authority to stop Work in the event continuation of Work under a noncompliance situation, such as incomplete containment, may result in the violation of environmental laws, create a potential tort, or may result in the covering of defective or unaccepted Work (Nonconformance) product. This authority to stop work transfers back to the Owner after the Owner has been notified and returns to the Site.

ARTICLE 11 CHANGES TO THE CONTRACT

11.02 CHANGE ORDERS

- A. A Change Order is a written order to the Contractor signed by the Owner, issued after execution of the Contract, authorizing a change in the Work or an adjustment in the Contract sum or the Contract time. The cost or credit to the Owner resulting from a change in the Work is to be determined in one or more of the following ways:
 1. By mutual acceptance of a lump sum.
 2. By unit prices stated in the Contract Documents or subsequently agreed upon.
 3. By actual itemized cost and fixed fees as set forth in 2 above. Cost is to be limited to the following: cost of materials, cost of labor, and cost of overhead.
- B. A Bulletin will be issued in most cases before a Change Order. A Bulletin will request prices and other information from the Contractor. Prices requested in a Bulletin are subject to negotiation with the Owner.

11.04 FIELD ORDERS

- A. A Field Order is written by the Engineer to the Contractor for purposes of clarification of the Specifications or plans. A Field Order is limited to items that do not change the scope of the Project.
- B. Field Orders do not affect either the Project cost or completion date.
- C. Field Orders become part of the Contract Documents and become binding upon the Contractor if they fail to object within three (3) working days after receiving the

modification. A Field Order may be used as the basis of a Project cost change or Contract extension if all parties agree on the Field Order form to a potential future claim of either party or that the Field Order will be complied with, but under protest.

ARTICLE 15 PAYMENTS TO CONTRACTOR; SET-OFFS; COMPLETION; CORRECTION PERIOD

15.01 AND 15.06 PROGRESS AND FINAL PAYMENTS

- A. Engineer's review of the final Application for Payment and accompanying documentation and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals, that the results certified indicate compliance with the Contract Documents.
- B. Measurement of payment will be considered based on the Schedule of Values submitted with the Contractor's bid. The Schedule of Values will be reviewed by the Engineer prior to the Notice of Award. If the Engineer determines the Schedule of Values is not acceptable, the Engineer will use the Contractor's Schedule to reallocate values. The Engineer's reallocation interest will be to maintain a sufficient value for Work completed toward the end of the Project, to avoid frontloading values. The Engineer will assign values high enough to bring in another Contractor to finish Work in case of default. When evaluating the Schedule of Values, the Engineer will consider that material delivered to the Site has no value until properly applied. The Contractor has five (5) days to appeal the reallocated Schedule of Values.
- C. Pay Request(s) is to be made on form(s) supplied by the Owner or Engineer or required by Owner. If no form is supplied, use AIA form.
- D. The Owner will make Progress Payments once each month during the performance of the Work, in which the Contractor files an application for payment.
 - 1. All such payments will be compared with the Schedule of Values,
 - 2. Or in the case of unit price Work, based on the number of units completed, or
 - 3. If the lump sum item is less than 100% completed, then allocated as follows:
 - a. On the wet interior, surface preparation by abrasive blast cleaning will be considered equal to 40% of the Line Item Work and cost and each coat 20%.
 - b. On the exterior, surface preparation by high pressure cleaning or jetting and power tool cleaning will be considered equal to 40% of the Line Item Work and cost and full coat 15%. The remainder will be for lettering, demobilization, and clean-up.
 - c. Pit piping repainting, and repairs will not be broken down. 100% completion is required before they will be considered for payment.
 - d. Mobilization is included in the surface preparation allotment for items in Part 3 above.

4. Owner is entitled to impose a Set-off or withholding against payment based on any of the following:
 - a. Third party claims have been made or there is reasonable evidence indicating probable filing of claims against Owner on account of Contractor's conduct in the performance or furnishing of the Work.
 - b. Owner has incurred costs, losses, or damages on account of Contractor's conduct in the performance or furnishing of the Work, including but not limited to claims, costs, losses, or damages from Workplace injuries, adjacent property damage, non-compliance with Laws and Regulations (Special Damages, see Article 18 below), and patent infringement.
 - c. Damage caused by the Contractor to the Owner or to another Site approved Contractor.
 - d. Contractor has failed to take reasonable and customary measures to avoid damage, delay, disruption, and interference with other Work at or adjacent to the Site.
 - e. An event that would constitute a default by Contractor and therefore justify a termination for cause or.
 - f. Defective Work not remedied:
 - i. requiring correction or replacement including additional inspection costs
 - ii. requiring correction or replacement
 - iii. Owner has been required to correct defective Work or
 - iv. has accepted defective Work.
 - g. Persistent failure to carry out the Work in accordance with the Contract Documents.
 - h. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is Contractually responsible or responsible for creating the condition.
 - i. The Contract Price has been reduced by Change Orders.
 - j. Failure of the Contractor to make payments properly to Subcontractors, or for labor, materials, or equipment.
 - k. Liens have been filed in connection with the Work, except where Contractor has delivered a specific bond satisfactory to Owner to secure the satisfaction and discharge of such Liens.
 - l. Liquidated Damages have been accrued as a result of Contractor's failure to achieve Milestones, Substantial Completion, or Ready for Final Payment.
 - m. Reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract sum, or within the Contract time.
 - n. The Contractor has failed to provide and maintain required bonds or insurance.
 - o. The Engineer has actual knowledge of the occurrence of any of the events that would constitute a default by Contractor and therefore justify termination for cause under the Contract Documents.

- p. The Owner has incurred extra charges or Engineering costs related to submittal reviews, evaluations of proposed substitutes, tests and inspections, or return visits to complete field observations that were determined to be failed.
 - q. Other items entitling Owner to a Set-off against Payment.
 - r. The Owner may also decline to make payment including an item previously approved for payment, because of subsequently discovered evidence or subsequent observations, as may be necessary in their opinion to protect against loss by Set-off amount previously recommended.
5. If the Owner imposes any Set-off against payment, whether based on its own knowledge or on the written recommendations of Engineer, the Owner will give the Contractor immediate notice (with a copy to Engineer) stating the reasons for such action and the specific amount of the reduction, and promptly pay the Contractor any amount remaining after deduction of the amount so withheld. The Owner is to promptly pay the Contractor the amount so withheld, or any adjustment agreed to by the Owner and the Contractor, if the Contractor remedies the reasons for such action.
- a. The reduction imposed is to be binding on Contractor unless Contractor duly submits a Change Proposal contesting the reduction.
 - b. The Engineer will recommend reductions in payment (Set-off) necessary in Engineer's opinion to protect Owner from loss.
6. Owner may decide against Set-off as a remedy, but in so doing, Owner does not waive any remaining remedies.
7. Neither the Owner nor the Engineer are under any requirements or obligations to notify the bonding company at Project Conclusion of Set-off or other remedies chosen.
8. If the Owner/Engineer prepares an accounting Change Order at Project Conclusion it will be considered signed by Contractor, unless the Contractor files a Change Proposal within five days protesting the Set-off. If the appeal is rejected, the Change Order will be considered signed unless further appeals per the appeals process are claimed.

15.08 CORRECTION PERIOD

- A. Within thirteen (13) months from the date of Substantial Completion, the structure will be inspected by the Owner and/or their representative.
- B. The inspection will be performed in accordance with the applicable portions of AWWA D-102 Standard for Painting Steel Water Storage Tanks and industry standards.
- C. The Owner will establish a date of inspection and may or may not notify the Contractor in advance. The Contractor's attendance will not be required.
- D. The Owner will select a third-party inspection firm (either Engineer or Project Representative) to document inspection.

- E. Any failed Work will be documented, and the Contractor will be notified of necessary repair (method and extent). The Owner reserves the right to require inspection of the repair Work and possibly a second Warranty Inspection, dependent on degree of failure.
- F. This Warranty will automatically be extended until the structure is ice-free (if applicable) and the Warranty Inspection can be performed. The Contractor guarantees that the system is free from defects due to faulty materials or workmanship and the Contractor is to make the necessary corrections to correct these defects. If the amount of rework exceeds ten percent (10%) of a portion of the Project, then the Owner reserves the right to have the Warranty period extended by one (1) year for the entire portion of the Work.
- G. The cost for one (1) year Warranty Inspection will be the responsibility of the Owner.
- H. Cost for a second Warranty Inspection and repair inspections will be the responsibility of the Contractor and guaranteed by Contractor's Performance and Maintenance Bond (see Article 6).
- I. The Owner retains all Contractual remedies. The Warranty is not to be considered an exclusive remedy.
- J. If the Owner conditionally accepts Work which was observed or found to be in noncompliance, then the Owner has the right to withhold from final payment an amount equal to the cost to redo the Work if it fails the subsequent Warranty Observation, as well as an additional amount for additional Engineering services.
- K. The Contractor is to pay for additional expenses for RPR or Engineering or other Owner related expenses resulting from the failed Warranty. The Maintenance Bond is to remain in full effect, but the Engineer will notify the Contractor first. Failure to respond positively within two weeks will trigger notification and claim to bonding company.

ARTICLE 16 SUSPENSION OF WORK AND TERMINATION

16.02 AND 16.03 TERMINATION for CAUSE AND FOR CONVENIENCE

- A. The Owner may terminate the Contract when the approved Progress Schedule is not met because of the failure of the Contractor to exercise diligence and effectively perform all required Work, or when the progress of the Work is unacceptable to the Owner.
- B. In the absence of a Project Progress Schedule, the determination regarding the Contractor's diligence will be based on the Engineer's opinion, correspondence, and Field Reports.
- C. The Owner may terminate the Contract, when in the opinion of the Engineer, the Non-conformance report(s) indicate the Contractor is unable or unwilling to complete the Contract within the terms of the Contract.

ARTICLE 18 MISCELLANEOUS

18.02 LIMITATION OF DAMAGES

- A. Contractor is to reimburse Owner (1) for any fines or penalties imposed on Owner as a direct result of the Contractor's failure to attain Substantial Completion according to the Contract Times, and (2) for the actual costs reasonably incurred by Owner for Engineering, construction observation, inspection, and administrative services needed after the time specified in the Project Summary for Substantial Completion (as duly adjusted pursuant to the Contract), until the Work is substantially complete.
- B. After Contractor achieves Substantial Completion, if Contractor is to neglect, refuse, or fail to complete the remaining Work within the Contract Times, Contractor is to reimburse Owner for the actual costs reasonably incurred by Owner for Engineering, construction observation, inspection, and administrative services needed after the time specified for the Work to be completed and ready for final payment (as duly adjusted pursuant to the Contract), and if necessary to hire other Contractors to complete portions of the Work, until the Work is completed and ready for final payment.
- C. The special damages imposed in this paragraph are supplemental to any Liquidated Damages for delayed completion established in this Agreement.

SUBMITTAL CHECKLIST

PROJECT: Wauwatosa 1,500,000 Gallon Reservoir Rehabilitation

CONTRACTOR: _____

DIXON PROJECT MANAGER: _____

Specification Section	Title	Date Received	Date Reviewed	Accepted	Reviewed with comments	Rejected
Miscellaneous Cast-in Place Concrete- splash pad						
03 30 53	SDS and PDS - Concrete materials					
03 30 53	Design Mixtures					
03 30 53	Concrete Maintenance Specialist name and (3) references					
Metal Repairs						
05 00 00	PDS and SDS - Welding Rod					
05 00 00	Welder's Certification					
05 00 00	PDS - High Strength Steel Work - Welding Procedure Specifications					
05 00 00	PDS - Manway Gasket - potable water contact					
05 00 00	PDS - Corporation Stop					
05 00 00	PDS - Sample Tap					
05 00 00	PDS - Overflow Screen					
05 00 00	PDS - Swing Gate					
05 00 00	PDS - Vent Screen and Expanded Metal					
Steel Coating						
09 97 13	OSHA Safety and Health Program					
09 97 13	OSHA Safety certifications for site personnel					
09 97 13	Designated OSHA Competent Person					
09 97 13	Fall Prevention Plan					
09 97 13	Site Specific Fall Prevention Plan					
09 97 13	Certifications for spiders, scaffolding, stages, etc.					
09 97 13	SDS and PDS - Coatings, Thinners, Coating Additives, and Caulking					
09 97 13	SDS and PDS - Cleaners and Degreasers					
09 97 13	SDS and PDS - Chlorine					
09 97 13	SDS and PDS - Abrasives, additives and pretreatments					
09 97 13	Ventilation Design Plan					
09 97 13	Dehumidification/Heat Design Plan					
09 97 13	Cathodic Protection system installer name and contact information					

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SECTION 00 91 18

DEFINITIONS FOR TECHNICAL SPECIFICATIONS

PART 1 – GENERAL

1.01 DEFINITIONS

- A. Wet Interior: Internal surfaces, excluding inaccessible areas, to the roof, shell, bottom, accessories, and appurtenances that are exposed to the stored water or its vapor. Examples are the interior of the roof, sidewall, floor.
- B. Exterior: External surfaces, excluding inaccessible areas, of the roof, sidewall, accessories, and appurtenances that are exposed to the elemental atmosphere.
- C. Inaccessible Areas: Areas of the finished structure that, by virtue of the configuration of the completed structure, cannot be accessed to perform surface preparation or coating application (with or without the use of scaffolding, rigging, or staging). Inaccessible areas include such areas as the contact surfaces of roof plate lap joints, underside of roof plates where they cross supporting members, top surface of rafters directly supporting roof plates, contact surfaces of bolted connections, underside of column baseplates, contact surfaces of mating parts not intended to be removed or disassembled during routine operation or maintenance of the structure, and underside of the floor plate for ground supported flat bottom tanks.
- D. Sidewall: Vertical walls to the weld seam of the roof.
- E. Roof: Very top of the structure, including top seam of sidewall.
- F. Floor: Lower area of the tank proper shaped like a flat plate.
- G. Upper Stiffener Angle: Structural angle piece located at top of the sidewall, just before transition to the roof.

SECTION 00 91 19.01
SCHEDULING FOR RPR SERVICES

PART 1 – COMMUNICATION

1.01 RESIDENT PROJECT REPRESENTATIVE (RPR) SERVICES

- A. DIXON provides three types of RPR services or any combination of the three:
1. Hold Point Site Visits (sometimes called Critical Phase Visits) where RPR Services are for defined Hold Point, where Work stops until that portion of Work is reviewed on Site by a professional RPR.
 2. Full Time RPR is a professional RPR staying in lodging away from home and living on per diem expenses.
 3. Daily RPR is a professional RPR living at home and traveling to Site on a daily basis.
 4. Based on the type of Project the RPR services may change from Daily or Full Time to Hold Point or from Hold Point to Daily or Full Time.
 5. Intended Beneficiary: The onsite observation services for this Project are for the benefit of the Owner. There are no intended benefits to the Contractor, or any other third parties. Contractor still provides quality control (QC).

1.02 HOLD POINT OBSERVATIONS AND MEETINGS

- A. Each Hold Point requires an onsite visit for Observation. Example: If the Contractor coats over, or otherwise makes Work inaccessible for Observation, the Work will be considered failed. Remove Work and recoat or repair in accordance with this specification. At least two (2) new Hold Points, surface preparation and coating, may be created when Work fails after the primer has been applied.
- B. Stop Work and schedule Observation times for the following Hold Points as a minimum. Additional Hold Points may be determined at the Preconstruction Meeting. Each Hold Point requires a Site visit and observation. Schedule of Hold Points – Preliminary:
1. Hold Point Meeting: The Preconstruction Meeting is the initial Hold Point. The Preconstruction Meeting will not be scheduled until five (5) days after all required submittals are received and reviewed by the Engineer and no exceptions are taken to the shop drawings.
 2. Hold Point - Prior to draining tank:
 - a. To ensure all Section of 01 50 00 and 01 53 43 environmental requirements are met.
 - b. To ensure all blasting equipment are on-site and in working order.
 3. Hold Points – 03 30 53 Miscellaneous Cast-in-place Concrete.
 - a. To locate or quantify repairs as necessary.

- b. To review surface preparation prior to concrete or grout installation and review all products prior to installation.
- c. After concrete or grout application is complete for quality assurance.
- 4. Hold Points – Section 05 00 00 – Metal Repairs:
 - a. To locate or quantify repairs as necessary.
 - b. To review surface preparation prior to welding and review all products prior to installation.
 - c. After welding is complete for quality assurance.
- 5. Hold Points – Sections 09 97 13 – Steel Coating and 09 97 13.10 Steel Coating Surface Preparation:
 - a. Prior to surface preparation to set the standard.
 - b. Prior to primer application to verify cleanliness, profile, thoroughness, and ambient conditions for coating application.
 - c. Prior to application of each successive coat for quality assurance and ambient conditions for the next coat.
 - d. Prior to application of the final coat to verify all non-conformance issues have been resolved.
 - e. Scheduled pre-final Observation: Allow engineer access to all locations so a complete punch list can be prepared. Final coat on ladders or other access points can be delayed until after this Observation and included as a punch list item.
 - f. Scheduled final Observation: After ALL punch list items have been completed (including painting ladders), provide access to all items on the punch list.

1.03 SCHEDULING FOR RPR SERVICES FOR HOLD POINT OBSERVATIONS

- A. Prior to First Observation 48 hours advance Notice is required
- B. All Subsequent Hold Points are to be scheduled by 6:00 P.M (Eastern Time) the previous day.
 - 1. Scheduling with a Central Contract Administrator. The names and phone numbers of a Contract Administrator and a Second Contract Administrator will be given to the Contractor during the Preconstruction Meeting.
- C. The Contract Administrator may be contacted by cell phone. If no answer a voice mail may be left with all details of RPR request included, or
- D. The Contract Administrator may be contacted by text to their cell phone.
- E. If the Contract Administrator is not available, DIXON's Corporate Office may be contacted during regular working hours at 1-800-327-1578.
- F. Scheduling through a Project Manager is not an alternative.
- G. Scheduling through an RPR is not an alternative for Hold Point Observation.

1.04 SCHEDULING FOR RPR SERVICES FOR FULL TIME OR DAILY OBSERVATIONS

A. Productive Work

1. Do not start, continue, or complete any Productive Work if RPR is not present on the Project Site.
2. Productive Work includes, but is not limited to, all elements of abrasive blast cleaning, power washing, high pressure water jetting or high/low pressure water cleaning, power tool cleaning, rigging, painting, metal repairs, concrete repairs, punch list items, and clean-up.
3. Preparation, mobilization, containment erection, and other non-productive work does not require observation if completed before the structure is removed from service, nor does demobilization after tank is returned to service.
4. If containment erection is completed while other productive work progresses, then a RPR is required.
5. If welding is completed for contracted work (antenna rails, painter's rails, ladders, etc.) during containment erection welding, then contracted work is considered Productive Work and an RPR is to be present. Any spot painting during containment erection is also considered Productive Work.
6. After the Project has been completed and after all punch list items have been completed, cure time and site clean-up, excluding any waste coating or abrasive issues, are not considered Productive Work.
7. After the Project has been completed, complaints from Owner or neighbors concerning health, environmental, or damage issues, and any waste coating or waste abrasive issues, are considered Productive Work requiring a RPR even after the structure is returned to service.
8. Essentially all work completed between the out-of-service date and the Substantial Completion Date, excluding cure and disinfection, is considered Productive Work and requires the presence of a RPR.

1.05 SCHEDULING WITH A CENTRAL CONTRACT ADMINISTRATOR

- A. The Contract Administrator may be contacted by cell phone. If there is no answer, a voice mail may be left with all details of RPR request included, or
- B. The Contract Administrator may be contacted by text to their cell phone.
- C. If the Contract Administrator is not available, DIXON's Corporate Office may be contacted during regular working hours at 1-800-327-1578.
- D. Scheduling through a Project Manager is not an alternative.

1.06 SCHEDULING THROUGH ONSITE RPR

- A. Scheduling through an on-site RPR, completing Full Time or Daily RPR Services, may be considered a properly completed Request if completed by the Foreman and

RPR before leaving site. If it is not completed on site, then schedule through the Central Contract Administrator.

1.07 SUMMARY OF SCHEDULING HOLD POINT OBSERVATIONS

- A. Contract Administrator
 - 1. by phone
 - 2. by text
 - 3. by voice mail
- B. Second Contract Administrator
 - 1. by phone
 - 2. by text
 - 3. by voice mail
- C. Corporate Office during work hours
 - 1. by phone
 - 2. NO voicemail
- D. Do NOT contact Project Manager

1.08 SUMMARY OF SCHEDULING FOR FULL TIME OR DAILY OBSERVATIONS

- A. Contract Administrator
 - 1. by phone
 - 2. by text
 - 3. by voice mail
- B. Second Contract Administrator
 - 1. by phone
 - 2. by text
 - 3. by voice mail
- C. Corporate Office during work hours
 - 1. by phone
 - 2. NO voicemail
 - 3. RPR on site
- D. Do NOT contact Project Manager

1.09 CONTRACTOR'S RESPONSIBILITIES

- A. The Engineer and Owner are to have full access to the Site at reasonable times for their Observation, testing, and Contractor's personnel and equipment is to be available to the Owner and Engineer/RPR to expedite Observations. Provide Owner, Engineer/RPR proper and safe conditions for such access, including rigging, and advise them of Contractor's site safety procedures and programs so that they may comply as applicable.

- B. Contractor is responsible for all of Contractor's manpower needs and scheduling and work to be completed. RPR is to be available to expedite the Project and complete their services with minimal interference of the Contractor's Work. Successful Project completion is dependent on Contractor's proper scheduling and use of RPR services.
- C. The Contractor is financially responsible for efficient scheduling of RPR services, See Section 0 91 19.02.

1.10 DELAY IN ARRIVAL OF RPR

- A. RPRs for Hold Point, Full-Time or Daily observations may be delayed by traffic or other reason from arriving at the scheduled time. The Contractor is to contact the Contract Administrator immediately if the RPR has not arrived at the scheduled time.
- B. The Contract Administrator will locate the missing RPR, return to the Contractor with a revised arrival time, and discuss with the Contractor what other work can be completed until RPR arrives for Observation.

1.11 REJECTED DEFECTIVE WORK

- A. All Productive Work completed without an RPR present is to be considered Defective Work and rejected per the General Conditions. This includes work completed:
 - 1. Without proper scheduling an RPR
 - 2. Prior to the scheduled arrival of the RPR
 - 3. When Day has been scheduled as a No Workday
 - 4. When RPR is delayed, and Contract Administrator has not been notified.

1.12 NON-CONFORMANCE REPORTS (NCR)

- A. The RPR will issue a Non-Conformance Report for every performance item, material, or equipment supplied, and/or environmental situation that fails to meet the requirements of the specifications.
- B. All Work in non-conformance will be considered Defective Work to be replaced, repaired per terms of the General Conditions.
- C. Do not start Work until all required equipment and RPR are on-site.
- D. Immediately correct all environmental non-conformance to prevent an accident. If an incident has already occurred, contact the proper governmental environmental agency, and conduct an immediate clean-up per their direction.
- E. If the Nonconformance Report is issued because of equipment specified but not delivered, repaired, or replaced then the financial Set-off will be 140% * of the rental value of equipment in non-conformance (i.e., non-working decontamination trailer, hand wash facilities, air filtration units, etc.).
- F. If the Nonconformance Report issued is because of noncompliance with environmental equipment or practices, the Set-off will be 140%* of the estimated cost of compliance. *The costs of items E. and F. above are damage estimates. The cost

of equipment will be the rental charge from a reputable local dealer with 40% extra being for operation costs. Cost of environmental compliance is the estimated cost of compliance. The extra 40% is potential risk to the Owner for non-conformance. In no situation will the Owner assume liability.

- G. All additional Engineering/RPR expenses incurred because of a Non-Conformance Report is subject to Set-off by Owner.

SECTION 00 91 19 .02

CONTRACTOR'S FINANCIAL RESPONSIBILITY FOR RPR

PART 1 - PROGRESS SCHEDULE AND RPR SCHEDULE

1.01 GENERAL

- A. The Contractor is financially responsible for the proper and efficient use of RPR services.

1.02 HOLD POINTS AND RPR SERVICES

- A. Fees for Hold Point RPR Services are contracted with the Owner at a Unit Price and are calculated to include the following: travel time to and from Site, reimbursable expenses, observation and report time. Time required for Contractor to repair or redo small areas that failed Observation, are not included in the unit price. Failure may be minimal compared to all Work observed, but failed Work still must be observed before proceeding. For minor failures that can be quickly repaired, the Contractor may entirely at their option:
 1. Accept a Non-Conformance for failed Observation.
 2. Request the RPR wait for a reasonable period while repairs are completed.
 3. Proceed with the next phase for all areas which have not failed, and “work around” failed areas. The failed areas would then be observed at the next Hold Point.
- B. The Fee for extended onsite time, or a new Hold Point is the responsibility of the Contractor.

1.03.1 FULL TIME OR DAILY RPR SERVICES

- A. It is the intention of the Owner that the RPR fees be used to observe Productive Work. Productive Work is defined in previous Section 00 91 19 .01 Scheduling for RPR Services, with examples. The Owner will pay for all RPR service fees generated by observing Productive Work that meets specification requirements. Normally this will be the first time for most observations.
- B. The Contractor will pay all RPR and/or Engineer fees generated by failed Observations of Productive Work.
- C. The availability of RPR and RPR's ability to timely perform the required Services are dependent on Contractor's communication. RPR is to be available to meet the Progress Schedule demands and complete RPR services with minimal interference of the Contractor's Work, if Contractor properly scheduled RPR Services.

1.03.2 FULL TIME OR DAILY RPR SERVICES

- A. Contractor Pays for RPR or Engineering Services resulting from:

1. Productive Work on a Holiday
2. Failed or Improper Scheduling,
3. Failure to Request Observation per Section 00 91 19 .01,
4. Less than 8 hours per day or On-call Time as a result of:
 - a. Premature Request for RPR Services,
 - b. No show or late start,
 - c. Rejection of Work and/or Non-Conformance reports,
 - d. Equipment failure, insufficient manpower, materials, or equipment
 - e. Weather reasons per 1.04.B.03

1.03 RPR FEE CALCULATIONS FOR FAILED OBSERVATIONS

- A. The basis for Fees assessed to the Contractor is based on the Owner/DIXON contract. Fees will be calculated in the same manner as in the Owner/Engineer Agreement, i.e., if the RPR is working at an overtime rate for Owner, then the fee for unproductive services will be documented at the same rate.
 1. Hold Point for Welding or Coating Observation, or extra Progress Meetings
 - a. The same Unit Price Fee as would be charged to the Owner for each respective Observation or meeting. Note the fee will be determined by the Contract and may vary between types of Hold Point services.
 - b. Extended time at site charged at Regular Rate (See definition below)
 2. Daily Observation is to be the same fee as charged to the Owner from the Owner/DIXON contract.
 - a. Minimum workday is 8 hours plus travel time
 - b. reimbursable mileage
 3. Full-time Observation Fee is to be the same as charged to Owner for the same Service.
 - a. Minimum workday is 8 hours
 - b. Minimum work week is 40 hours
 - c. Reimbursable expenses/ Per Diem
 4. Fees common to Full Time, Daily and Hold Points with extended stays, and On-call Time
 - a. Regular Pay for RPR is charged at the rate matching the RPR's experience and qualifications.
 - b. Overtime Rate is 1.5 times Regular Rate
 - 1) For all time worked on the actual holiday
 - 2) Weekend work by RPR
 - 3) For time over 40 hours. (The standard work week for overtime [over 40] begins on Monday as Sunday is already paid for at the overtime rate.)
- B. Fees of misused or unnecessary Engineer/RPR Services will be documented and submitted to the Owner for Set-off.

- C. The right to Set-off is a contracted right of the Owner per the General Conditions, or Additions to General Conditions, and the right to enforce those rights are at the Owner's discretion.

1.04 ON-CALL TIME

- A. RPR's are professional personnel that get paid a minimum of 8 hours per day even though the Contractor's operations or methods result in less than an 8-hour day.
- B. If the Contractor has scheduled a Workday, and if RPR is not free to spend the day at RPR's discretion or to be reassigned; then the RPR will be considered On-call.
 - 1. The RPR will be considered, if scheduled, On-call every morning and day unless work is cancelled per Section 00 19 91.01.
 - 2. For Daily observation the On-call time will not exceed 8 hours, any travel time should occur within those 8 hours.
 - a. Late Starts - Agreed start time will be scheduled with the Contract Administrator at the Preconstruction Meeting.
 - b. The RPR's On-call time starts at the agreed start time, if RPR is on Site and available to Work, and On-call time continues until Work starts.
 - 3. For weather reasons
 - a. 8 hours if adverse weather conditions were clearly forecast
 - b. Two hours plus time worked up to 8 hours or actual time worked if greater, if the forecast was less than 20% weather meeting definition of a weather day (day where work could not be performed due to weather).
 - 4. For reasons other than weather, eight (8) hours will be considered the minimum On-call Time. This includes, but is not limited to, equipment failure, insufficient materials, damaged containment, etc.
- C. The actual On-call time charged will be eight (8) hours, minus the number of hours actually worked.
- D. Overtime, Weekend, Holiday pay requirements apply to all On-call time pay. On-call hours will count towards forty (40) hours per week triggering overtime at forty (40) hours.
- E. If Work is cancelled per requirements in Section 00 19 91.01 (by prior night) in advance and RPR is notified in advance, there is no On-call time.
- F. If Contractor schedules days off per Scheduling requirements, the inspector will return to his/her home base and there will be no show time charges. Based on the Contract the RPR may be entitled to Mobilization or Demobilization.

SECTION 01 50 00

TEMPORARY CONSTRUCTION FACILITIES AND UTILITIES

PART 1 – GENERAL

1.01 SUMMARY

- A. The Contractor is fully responsible to provide and maintain temporary facilities and utilities required for construction as described herein, and to remove the same upon completion of work.

1.02 QUALITY ASSURANCE

- A. Regulatory Requirements:
 - 1. National Fire Protection Association (NFPA): NFPA No. 70-93.
 - 2. National Electrical Code (NEC) and local amendments thereto.
 - 3. Comply with all federal, state, and local codes and regulations, and utility company requirements.

PART 2 – PRODUCTS

2.01 TEMPORARY ELECTRICITY AND LIGHTING

- A. Supply temporary lighting sufficient to enable Contractor to safely access all work areas.
- B. Electrical requirements are to be the responsibility of the Contractor. No service available to Contractor.
- C. Provide, maintain, and remove temporary electric service facilities.
- D. Facilities exposed to weather are to be weatherproof-type and electrical equipment enclosure locked to prevent access by unauthorized personnel.
- E. The Contractor is to pay for and arrange for the installation of temporary services.
- F. Patch affected surfaces and structures after temporary services have been removed.
- G. Provide explosion-proof lamps, wiring, switches, sockets, and similar equipment required for temporary lighting and small power tools.

2.02 WATER FOR CONSTRUCTION

- A. The Owner will provide water required for cleaning and other purposes.
- B. Water use is not to exceed usage that might endanger the Owner's water system's integrity.

2.03 SANITARY FACILITIES

- A. Provide temporary sanitary toilet facilities conforming to state and local health and sanitation regulations, in sufficient number for use by Contractor's employees.

- B. Maintain in sanitary condition and properly supply with toilet paper.
- C. Remove from site before final acceptance of work.

2.04 TEMPORARY FIRE PROTECTION

- A. Provide and maintain in working order a minimum of two (2) fire extinguishers and such other fire protective equipment and devices as would be reasonably effective in extinguishing fires.

2.05 DAMAGE TO EXISTING PROPERTY

- A. The Contractor is responsible for replacing or repairing damage to existing buildings, sidewalks, roads, parking lot surfacing, turf, and other existing assets.
- B. The Owner has the option of contracting for such work and having cost deducted from contract amount if the Contractor is not qualified to complete repairs or fails to act in a timely manner.

2.06 SECURITY

- A. Security is not provided by Owner.
- B. The Contractor is to be responsible for loss or injury to persons or property where work is involved and is to provide security and take precautionary measures to protect Contractor's and Owner's interests.

2.07 TEMPORARY PARKING

- A. Parking for equipment and Contractor employees are to be designated and approved by the Owner.
- B. Make parking arrangements for employees' vehicles.
- C. Any costs involved in obtaining parking area is to be borne by the Contractor.

PART 3 – EXECUTION

3.01 GENERAL

- A. The Contractor is to maintain and operate all temporary systems to ensure continuous service.
- B. The Contractor is to modify and extend systems as work progress requires.

3.02 REMOVAL

- A. Completely remove temporary materials and equipment when no longer required.
- B. Clean and repair damage caused by temporary installation or use of temporary facilities.
- C. Restore existing or permanent facilities used for temporary services to specified, or original condition.

3.03 BARRIERS AND ENCLOSURES

- A. The Contractor is to furnish, install, and maintain as long as necessary, required adequate barriers, warning signs or lights at all dangerous points throughout the work for protection of property, workers, and the public. The Contractor is to hold the Owner harmless from damage or claims arising out of any injury or damage that may be sustained by any person or persons as a result of the work under the Contract.

SECTION 01 53 43

PROTECTION OF ENVIRONMENT

PART 1 - GENERAL

1.01 SUMMARY

- A. The Contractor in executing work is to maintain work areas, on-and-off site in accordance with federal, state, or local regulations.
- B. The Contractor is responsible for any, and all clean-up of any hazardous waste that may be necessary, including all applicable costs for clean-up and disposal.

1.02 LAWS AND REGULATIONS

- A. Environmental regulations may be met with different available technologies. It is the Contractor's sole responsibility to comply with these and all applicable environmental regulations.
- B. If a release occurs, work will stop until corrective actions are complete as determined by the appropriate regulatory agency.

1.03 PROTECTION OF SEWERS

- A. Take adequate measures to prevent impairment of operation of existing sewer system. Prevent construction material, pavement, concrete, earth, or other debris from entering sewer or sewer structure.

1.04 PROTECTION OF WATERWAYS

- A. Observe rules and regulations of local and state agencies, and agencies of U.S. government prohibiting pollution of any lake, stream, river, or wetland by dumping of refuse, rubbish, dredge material, or debris therein.
- B. Provide containment that will divert flows, including storm flows and flows created by construction activity, to prevent loss of residues and excessive silting of waterways or flooding damage to property.
- C. Comply with procedures outlined in U.S. EPA manuals entitled "Guidelines for Erosion and Sedimentation Control Planning and Implementation," Manual EPA-72-015 and "Processes, Procedures, and Methods to Control Pollution Resulting from all Construction Activity," Manual EPA 43019-73-007.

1.05 DISPOSAL OF EXCESS EXCAVATED AND OTHER WASTE MATERIALS

- A. Dispose of waste material in accordance with federal and state codes, and local zoning ordinances.

- B. Unacceptable disposal sites include, but are not limited to, sites within wetland or critical habitat, and sites where disposal will have detrimental effect on surface water or groundwater quality.
- C. Make arrangements for disposal, subject to submission of proof to Engineer that Owner(s) of proposed site(s) has valid fill permit issued by appropriate government agency and submission of haul route plan, including map of proposed route(s).
- D. Provide watertight conveyance for liquid, semi-liquid, or saturated solids that have potential to leak during transport. Liquid loss from transported materials is not permitted, whether being delivered to construction site or hauled away for disposal. Fluid materials hauled for disposal must be specifically acceptable at selected disposal site.

1.06 PROTECTION OF AIR QUALITY

- A. Contain paint aerosols and VOCs by acceptable work practices.
- B. Minimize air pollution by requiring use of properly operating combustion emission control devices on construction vehicles and equipment used by Contractor, and encouraging shutdown of motorized equipment not actually in use.
- C. Trash burning not permitted on construction site.
- D. If temporary heating devices are necessary for protection of work, they are not to cause air pollution.

1.07 PROTECTION FROM FUEL AND SOLVENTS

- A. Protect the ground from spills of fuel, oils, petroleum distillates, or solvents by use of containment system.
- B. Total paint, thinner, oils, and fuel delivered to and stored on-site cannot exceed supplied capacity of spill containment provided (i.e., fuel and oil to be sized to exceed possible spill).
- C. Provide proper containment units under fuel tank and oil reservoirs for all equipment and fuel storage tanks.
- D. Barrels of solvents, even for cleaning, are prohibited. Do not deliver paint thinners in containers greater than five (5) gallons.
- E. Disposal of waste fluids is to be in conformance with federal, state, and local laws and regulations.

1.08 USE OF CHEMICALS

- A. Chemicals used during project construction or furnished for project operation, whether herbicide, pesticide, disinfectant, polymer, reactant, or of other classification, must show approval of U.S. EPA, U.S. Department of Agriculture, state, or any other applicable regulatory agency.

- B. Use of such chemicals and disposal of residues are to be in conformance with manufacturers' written instructions and applicable regulatory requirements.

1.09 NOISE CONTROL

- A. Conduct operations to cause the least annoyance to residents in vicinity of work and comply with applicable local ordinances.
- B. Equip compressors, hoists, and other apparatus with mechanical devices necessary to minimize noise and dust. Equip compressors with silencers on intake lines.
- C. Equip gasoline or oil-operated equipment with silencers or mufflers on intake and exhaust lines.
- D. Route vehicles carrying materials over such streets as will cause least annoyance to public and do not operate on public streets between hours of 6:00 P.M. and 7:00 A.M., or on Saturdays, Sundays, or legal holidays unless approved by Owner.

PART 2 - PRODUCTS

(Not Applicable)

PART 3 - EXECUTION

3.01 HAZARDOUS MATERIALS PROJECT PROCEDURES

- A. Applicable Regulations:
 - 1. RCRA, 1976 – Resource Conservation and Recovery Act: This federal statute regulates generation, transportation, treatment, storage and disposal of hazardous wastes nationally.
- B. Use the Uniform Hazardous Waste Manifest (shipping paper) to use an off-site hazardous waste disposal facility.
- C. Federal, State, and local laws and regulations may apply to the storage, handling and disposal of hazardous materials and waste.

SECTION 03 30 53

MISCELLANEOUS CAST-IN-PLACE CONCRETE

PART 1 - GENERAL

1.01 SECTION INCLUDES

A. Surface preparation and installation of concrete splash pad for the overflow pipe.

1.02 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions apply to this Section.

1.03 REFERENCES

A. Codes, specifications, and standards referred to by number or title shall form a part of this specification to the extent required by the references thereto. The latest revisions shall apply in all cases.

1. "Building Code Requirements for Structural Concrete (ACI 318) and Commentary (ACI 318R)," American Concrete Institute.

1.04 DEFINITIONS

A. Concrete Maintenance Specialist: Company that is experienced with concrete repairs performed in accordance with ACI standards. Work can be performed by the General Contractor if qualified, see submittals for requirements.

1.05 WORK INCLUDED

- A. Install a concrete splash pad at the overflow pipe discharge.
- B. Payment is a separate line item "Splash Pad" which the Owner reserves the right to delete.

1.06 SUBMITTALS

- A. Provide an electronic copy to the engineer. Note that the Contractor is to supply a separate copy of the SDS of each product at the job site that is accessible by their employees.
- B. No work may commence without the complete filing. All SDS shall conform to the requirements of SARA (EPCRA) Right-to-Know Act.
- C. Submit the following ten (10) days prior to the preconstruction meeting:
 1. Safety Data Sheets (SDS) and Product Data Sheets:
 - a. Furnish from all suppliers Safety Data Sheets and product data sheets for all applicable materials including, but not limited to, concrete and admixtures.
 2. Design Mixtures: For each concrete mixture.
 3. Concrete-Maintenance Specialist: The Contractor is to indicate whether the work is to be performed by in-house personnel or subcontracted. In either case submit

three (3) similar projects including the scope with references. References to include client organization names and contact names with phone numbers.

1.07 QUALITY ASSURANCE

- A. Ready-Mix-Concrete Manufacturer Qualifications: A firm experienced in manufacturing ready-mixed concrete products and that complies with ASTM C 94 requirements for production facilities and equipment.
- B. Comply with the following sections of ACI 301, unless modified by requirements in the Contract Documents:
 - 1. "General Requirements."
 - 2. "Formwork and Formwork Accessories."
 - 3. "Reinforcement and Reinforcement Supports."
 - 4. "Concrete Mixtures."
 - 5. "Handling, Placing, and Constructing."
- C. Comply with ACI 117, "Specifications for Tolerances for Concrete Construction and Materials."
- D. Concrete-Maintenance Specialist Qualifications: Installers and supervisors who are trained and approved by the manufacturer to apply materials necessary to perform work of this Section. Installers shall have completed work similar in material, design, and extent to that indicated for this Project with a record of successful in-service performance. Experience in only installing or patching new concrete is insufficient experience for concrete-maintenance work.
 - 1. Field Supervision: Concrete-maintenance specialist firm shall maintain experienced full-time supervisors on Project site during times that concrete-maintenance work is in progress.

PART 2 - PRODUCTS

2.01 FORMWORK

- A. Furnish formwork and formwork accessories according to ACI 301.

2.02 STEEL REINFORCEMENT

- A. Reinforcing Bars: ASTM A 615, Grade 60, deformed.

2.03 CONCRETE MATERIALS

- A. Cementitious Material: Use the following cementitious materials, of the same type, brand, and source throughout Project:
 - 1. Portland Cement: ASTM C 150, Type I/II
 - a. Fly Ash: ASTM C 618, Class C or F.
- B. Normal-Weight Aggregate: ASTM C 33, crushed limestone, graded, 1 ½ inch nominal maximum aggregate size.
- C. Water: ASTM C 94.
- D. Chemical Admixtures: Provide admixtures certified by manufacturer to be compatible with other admixtures and that will not contribute water-soluble chloride ions

exceeding those permitted in hardened concrete. Do not use calcium chloride or admixtures containing calcium chloride.

1. Water-Reducing Admixture: ASTM C 494, Type A.
2. Retarding Admixture: ASTM C 494, Type B.
3. Water-Reducing and Retarding Admixture: ASTM C 494, Type D.
4. High-Range, Water-Reducing Admixture: ASTM C 494, Type F.
5. High-Range, Water-Reducing and Retarding Admixture: ASTM C 494, Type G.
6. Plasticizing and Retarding Admixture: ASTM C 1017, Type II.

2.04 CURING MATERIALS

- A. Absorptive Cover: AASHTO M 182, Class 3, burlap cloth or cotton mats.
- B. Moisture-Retaining Cover: ASTM C 171, polyethylene film or white burlap-polyethylene sheet.
- C. Water: Potable.

2.05 CONCRETE MIXTURES

- A. Comply with ACI 301 requirements for concrete mixtures.
- B. Normal-Weight Concrete: Prepare design mixes, proportioned according to ACI 301, as follows:
 1. Minimum Compressive Strength: 4000 psi at 28 days.
 2. Maximum Water-Cementitious Materials Ratio: 0.50.
 3. Cementitious Materials: Use fly ash, pozzolan, ground granulated blast-furnace slag, and silica fume as needed to reduce the total amount of portland cement, which would otherwise be used, by not less than 40 percent.
 4. Air Content: Maintain within range permitted by ACI 301. Do not allow air content of trowel-finished floor slabs to exceed 3 percent.

2.06 CONCRETE MIXING

- A. Ready-Mixed Concrete: Measure, batch, mix, and deliver concrete according to ASTM C 94, and furnish batch ticket information.
 1. When the air temperature is above 90 deg F, reduce mixing and delivery time to 60 minutes.

EXECUTION

3.01 GROUND PREPARATION AND DESIGN

- A. Remove the topsoil for an area 4 ft. wide x 6 ft. long, with the width centered on the overflow pipe. Remove to a depth of 6 in. below grade.
- B. Remove the extension on the end of the overflow pipe including the underground section.
- C. Backfill under the new splash pad 4 in. of clean gravel.
- D. Construct a 3 ft. x 5 ft. x 4 in. thick concrete splash pad with the width centered under the overflow.
- E. Install reinforcement in the new concrete.

- F. Install the splash pad at a slope of ¼ in. rise per 12 inches of run away from the tank.
- G. At end of the splash pad, excavate to create a 4 ft. wide trench that is approximately 12 inches deep with a slope away from the tank at ¼ inch drop per 12 inches run.
The rip rap is to be extend to the edge of the hill (estimated 50 ft., field determine).
The trench is to be lined with 6 in. diameter boulders/stones.

3.02 FORMWORK

- A. Design, construct, erect, brace, and maintain formwork according to ACI 301.

3.03 STEEL REINFORCEMENT

- A. Install steel reinforcement per ACI 318 minimum requirements.
- B. Comply with CRSI's "Manual of Standard Practice" for fabricating, placing, and supporting reinforcement.
- C. Reinforce with a minimum #4 Rebar with 12 in. spacing, each way, or equivalent wire mesh.

3.04 CONCRETE PLACEMENT

- A. Comply with ACI 301 for placing concrete.
- B. Before test sampling and placing concrete, water may be added at Project site, subject to limitations of ACI 301.
- C. Consolidate concrete with mechanical vibrating equipment as needed.

3.05 FINISHING FORMED SURFACES

- A. Smooth-Formed Finish: As-cast concrete texture imparted by form-facing material, arranged in an orderly and symmetrical manner with a minimum of seams. Repair and patch tie holes and defective areas. Remove fins and other projections exceeding 1/8 inch.
 - 1. Apply to all concrete surfaces.

3.06 CONCRETE PROTECTING AND CURING

- A. General: Protect freshly placed concrete from premature drying and excessive cold or hot temperatures. Comply with ACI 306.1 for cold-weather protection and with ACI 301 for hot-weather protection during curing.
- B. Begin curing after finishing concrete but not before free water has disappeared from concrete surface.
- C. Curing Methods: Cure formed and unformed concrete for at least seven days by one or a combination of the following methods:
 - 1. Moisture Curing: Keep surfaces continuously moist for not less than seven days with the following materials:
 - a. Water.
 - b. Continuous water-fog spray.
 - c. Absorptive cover, water saturated and kept continuously wet. Cover concrete surfaces and edges with a 12-inch lap over adjacent absorptive covers.
 - 2. Moisture-Retaining-Cover Curing: Cover concrete surfaces with moisture-retaining cover for curing concrete, placed in widest practicable width, with sides

and ends lapped at least 12 inches, and sealed by waterproof tape or adhesive. Cure for not less than seven days. Immediately repair any holes or tears during curing period using cover material and waterproof tape.

3.07 REPAIRS

- A. Remove and replace concrete that does not comply with requirements as identified by the Contractor or by the Owner.

SECTION 05 00 00 **METAL REPAIRS**

PART 1 - GENERAL

1.01 SECTION INCLUDES

- A. Steel and Miscellaneous Repairs.

1.02 REFERENCES

- A. AWWA D100 Weld Standard (latest version)
- B. AWS Weld Standard (latest version)
- C. API 650 Standard (latest version)

1.03 OMISSIONS

- A. The specifications include all work and materials necessary for completion of the Work. Any incidental item(s) of material, labor, or detail(s) required for the proper execution and completion of the Work are included.

1.04 DEFINITIONS

- A. Ground Flush: Ground even with adjacent metal with no transition. This preparation is intended for all removed items.
- B. Ground Smooth: Ground welds to the point that no cuts or scratches occur when rubbing your hand over the weld. Rebuild with weld any concavity discovered during grinding. This preparation is intended for all newly added steel.

1.05 WORK INCLUDED

- 1) Replace the sidewall manway gaskets.
- 2) Install deflector bars on the draw pipe.
- 3) Install a sample tap on the draw pipe.
- 4) Install a chemical feed tap on the draw pipe.
- 5) Overflow discharge modification.
- 6) Install a swing gate at the roof handrail opening.
- 7) Replace roof vent with a pressure vacuum vent.

1.06 WORKMANSHIP

- A. Provide material and workmanship necessary to complete the Project to the specified standards.
- B. All weld spatter is to be removed prior to coating application.
- C. Welds at all removed steel items are to be ground flush with surrounding surface. All new welds are to be ground smooth.

- D. Removed items are to become the property of the Contractor. The Contractor is to properly dispose of all removed items.

1.07 WELDER QUALIFICATIONS

- A. This structure is assumed to be constructed of high-strength steel and originally designed per the alternate design provisions outlined in AWWA D100.
- B. All welding procedure specifications (WPS) used on this Project shall conform to AWWA D100-21 Section 14.1.1, where applicable.

1.08 SUBMITTALS

- A. Provide an electronic copy to the engineer. Note that the Contractor is to supply a separate copy of the SDS of each product at the job site that is accessible by their employees.
- B. No work may commence without the complete filing. All SDS shall conform to the requirements of SARA (EPCRA) Right-to-Know Act.
- C. Submit the following ten (10) days prior to the preconstruction meeting:
 - 1. Provide for employees one (1) copy of all data sheets at the job site for employee access.
 - 2. Safety Data Sheets (SDS) and Product Data Sheets:
 - a. Safety Data Sheets (SDS) for all chemicals or products that contain chemicals.
 - b. Product Data Sheets (PDS) or Technical Data Sheets (TDS) for all items.
 - 3. Welder's certification.
 - 4. Certified records showing approved welding procedure specifications (WPS) conform to AWWA D100-21 Section 14.1.4.

1.09 WORK SEQUENCING

- A. The Contractor is to monitor for flammable gases inside the tank prior to any welding or cutting. Monitoring is to be performed whether the tank is full or empty. Monitoring is also to be performed whether or not interior access is to be gained during welding and/or cutting.
- B. The following is NOT a ways-and-means decision of the Contractor. It is accepted and good painting practice and is to be completed by the Contractor in this specified fashion:
 - 1. Complete all surface preparation ahead of all cutting and welding, such as removal of heavy metal bearing coating in the immediate area.
 - 2. Complete all welding repairs prior to commencement of any power washing, surface preparation, or coating application.
 - 3. Do not install non-painted items (i.e., vents, etc.) or store items on or in the tank until after painting has been completed.

4. Remove existing items that are not to be painted after water cleaning, store in a secure location.
5. Disassemble appurtenances with mating surfaces (i.e., overflow flange, vent flange, etc.), surface prepare and coat mating surfaces and reassemble after topcoat is dry.
6. Remove fall prevention devices in areas to be coated before painting and reinstall after completion. Supply temporary fall prevention devices with steel cables during blasting and painting.

1.10 NEW STEEL COATING

- A. The new carbon steel and weld burn surfaces are to be prepared and coated in accordance with Sections 09 97 13 and 09 97 13.10.

PART 2 – PRODUCTS

2.01 SUBSTITUTIONS

- A. All products specified herein have been determined to meet a minimal standard. The products specified are the standard to which all proposed substitutions are to be compared.

2.02 STEEL PLATING AND OTHER STRUCTURAL SHAPES

- A. General Steel: ASTM – A36.
- B. General Stainless Steel: ASTM – 316.

2.03 BOLTS and NUTS

- A. Stainless Steel
 1. ASTM F594G – 316 Stainless Steel Bolts.
 2. ASTM F594G – 316 Stainless Steel Nuts.
- B. Galvanized Steel
 1. ASTM A307 Grade A zinc coated Steel Bolts.
 2. ASTM A307 Grade A zinc coated Nuts.
- C. Carbon Steel
 1. ASTM A36 or ASTM F1554-36 anchor rods.

2.04 WELDING ROD

- A. Final – E70XX Electrodes.
- B. Root – E60XX Electrodes.
- C. Wire – ER70S Electrodes.

2.05 MANWAY GASKET

- A. Manway gasket for manways in contact with potable water.
- B. Gaskets to be full faced, and are to meet ASTM D2000 and NSF 61/600 requirements. Gaskets to be ¼ inch thick Ethylene Propylene Diene (EPDM) AB-576 item number 386-16-482 as manufactured/supplied by American Biltrite www.american-biltrite.com (888) 275-7075 or approved equal.

2.06 CORPORATION STOP

- A. Mueller 300 Ball Corporation 1 inch Valve Model B-20046N, or approved equal. Manufactured/supplied by Mueller. www.muellercompany.com (800) 423-1323.

2.07 SAMPLE TAP

- A. Model T-532NL ¾" or approved equal. Manufactured/supplied by Legend. www.legendvalve.com (800) 752-2082.

2.08 OVERFLOW SCREEN

- A. 316 stainless steel wire, four (4) mesh or smaller.
- B. Manufactured/supplied by:
 - 1. McMaster-Carr. www.mcmaster.com (562) 692-5911
 - 2. McNichols www.mcnichols.com (855) 463-5736
 - 3. Edward Darby & Son Inc. www.darbywiremesh.com (800) 875-6374.
 - 4. Or approved equal.

2.09 SWING GATE

- A. Universal Swing Gate as manufactured by SafeRack www.saferack.com (229) 216-9433 or approved equal.

2.10 VENT SCREEN AND EXPANDED METAL

- A. The screen shall be aluminum wire, maximum twenty-four (24) mesh or smaller.
- B. Manufactured/supplied by:
 - 1. McMaster-Carr. www.mcmaster.com (562) 692-5911.
 - 2. Wire Cloth Man www.wireclothman.com (800) 947-3626.
 - 3. Edward Darby & Son Inc. www.darbywiremesh.com (800) 875-6374.
 - 4. Or approved equal.
- C. The screen over the vertical section of expanded metal shall be aluminum wire, four (4) mesh screen
- D. The vertical screen is to be attached using stainless steel banding clamps Make-A-Clamp Kit or approved equal. Manufactured by Breeze www.breezehoseclamps.com (908)298-8600 or approved equal.

- E. Expanded metal to be ¾” standard aluminum product #4700349948 as manufactured by McNichols www.mcnichols.com (877) 215-0275 or approved equal.

PART 3 - EXECUTION

3.01 MANWAY GASKETS

- A. Replace the sidewall manway gaskets with new gaskets. The gaskets are to be full faced. There are two manways.
- B. The Contractor is to ensure that the manway does not leak, including returning to reseal the gasket as needed after the Owner refills the tank.
- C. Payment is incidental to the Project.

3.02 DRAW PIPE DEFLECTOR BARS

- A. Furnish and install deflector bars on the draw pipe.
- B. See Drawing 01.
- C. Payment is incidental to the Project.

3.03 SAMPLE TAP

- A. Install a sample tap in the draw pipe in the pit.
- B. Install a 1-inch heavy wall threaded coupling on the pipe and weld using ¼ inch continuous fillet weld.
- C. Install a dielectric union then a 1-inch corporation stop. After the corporation stop install a 1 to ¾-inch reducer to a sample tap.
- D. All piping is to be attached using soldered or have threaded connections. All threaded connections are to be installed with joint compound.
- E. Payment is a separate line item “Sample Tap” which the Owner reserves the right to delete.

3.04 CHEMICAL FEED TAP

- A. Install a chemical feed tap in the draw pipe in the pit.
- B. Install a 1-inch heavy wall threaded coupling on the pipe and weld using ¼ inch continuous fillet weld.
- C. Install a dielectric union then a 1-inch corporation stop.
- D. Install approximately 4 ft. above grade.
- E. All threaded connections are to be installed with joint compound.
- F. Payment is incidental to the Project.

3.05 OVERFLOW DISCHARGE MODIFICATION

- A. Trim the overflow pipe. Install elbows so the discharge is vertical and discharges a minimum of 24 inches from the foundation.

- B. Field verify existing overflow pipe dimensions.
- C. Weld a minimum of ¼ inch steel ANSI flange on the end of the discharge with an in-line screen.
- D. See Drawing 02.
- E. Payment is a separate line item “Overflow Pipe Discharge Modification” which the Owner reserves the right to delete.

3.06 SWING GATE

- A. Furnish and install a swing gate at the ladder opening at the roof handrail.
- B. Install gate per manufacturer’s recommendations. Adjust the swing gate width and tension after installation to ensure the gate operates properly and remains closed during normal conditions.
- C. The swing gate hinge is to be at the vertical handrail post. The handrail angles may need to be notched to allow the gate to swing properly.
- D. Install a 3 in. x ¼ in. plate as a catch for the swing gate as needed so the resting position of the gate is parallel to the handrail. Round off the corners of the plate. Weld using 3/16 in. continuous fillet welds.
- E. The Contactor is responsible for any installation of additional structure and welding needed for mounting. Any cutting will require approval from the Engineer.
- F. Payment is a separate line item “Swing Gate” which the Owner reserves the right to delete.

3.07 PRESSURE VACUUM ROOF VENT

- A. Remove the existing roof vent.
- B. Furnish and install a pressure vacuum roof vent on a new bolted flange.
- C. Install a 4-mesh screen over the vertical opening using two (2) band clamps.
- D. See Drawings 03a-03d.
- E. Payment is a separate line item “Roof Vent” which the Owner reserves the right to delete.

PART 4 – SPECIAL PROVISIONS

4.01 STEEL REPLACEMENT COATING

- A. All large pieces of steel to be shop primed using the specified prime coat over a SSPC-SP10 near white surface preparation.
- B. Do not prime 3 in. from area to be welded.
- C. After installation, spot clean welded areas to a SSPC-SP11 and apply coating as specified.
- D. Use only one manufacturer for repair coating.
- E. Payment is incidental to metal repairs.

4.02 WELD PREPARATION PRIOR TO COATING

- A. Prepare all new welds per NACE SP0178 prior to coating application. Grind welds to category D.

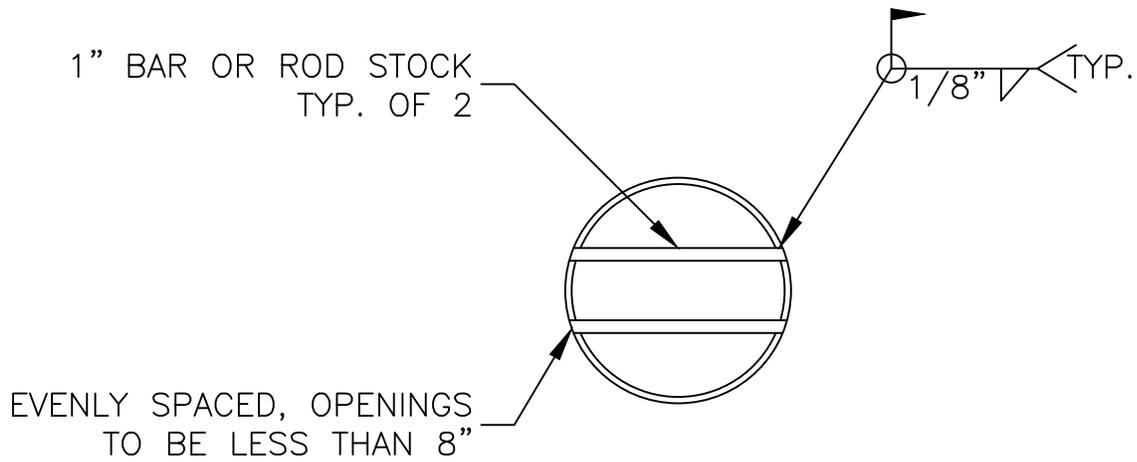
4.03 CUTTING ACCESS FOR REPAIRS OR PAINTING

- A. If the Contractor determines that it is necessary to cut a hole in the roof or sidewall for equipment access, submit the desired location and size of opening to the Engineer for review and authorization. Do not cut any steel without authorization.
- B. The sidewall reservoir door sheet is to be cut a minimum of 6 inches above the floor. The corners are to be cut with a minimum 6-inch radius.
- C. The submittal drawing of the cut and repair method is to be sealed by a Professional Engineer registered in the State of Wisconsin. The submittal can be completed by any competent, registered Engineer, including the Project Engineer. The Owner has determined this is not a conflict of interest.
- D. Cutting access is recognized as a cost-effective method to allow entry of large equipment into the tank. Cutting, however, creates additional observation fees that the Owner would not have to pay if a Contractor did not cut access. Their costs include observation during x-rays and review of x-ray technician's interpretation of x-rays, observation of surface preparation of interior and exterior, and observation of primer and each subsequent coat of paint. In the interests of parity with Contractors cutting and Contractors not cutting these additional observation charges need to be evaluated with the bid comparisons. If you intend to cut access, mark the line on the Schedule of Values and the \$8,000 amount will be a Set-Off. If awarded the bid, this line item will be deducted from the contract price by the Owner and paid directly to the Engineer. This line item does not need to be included in the bond total. Failed observations will be treated as detailed elsewhere in these specifications.
- E. Weld a temporary hasp on the door and sidewall to accept a padlock. Contractor to keep the door locked at night.
- F. Cost is the responsibility of the Contractor.

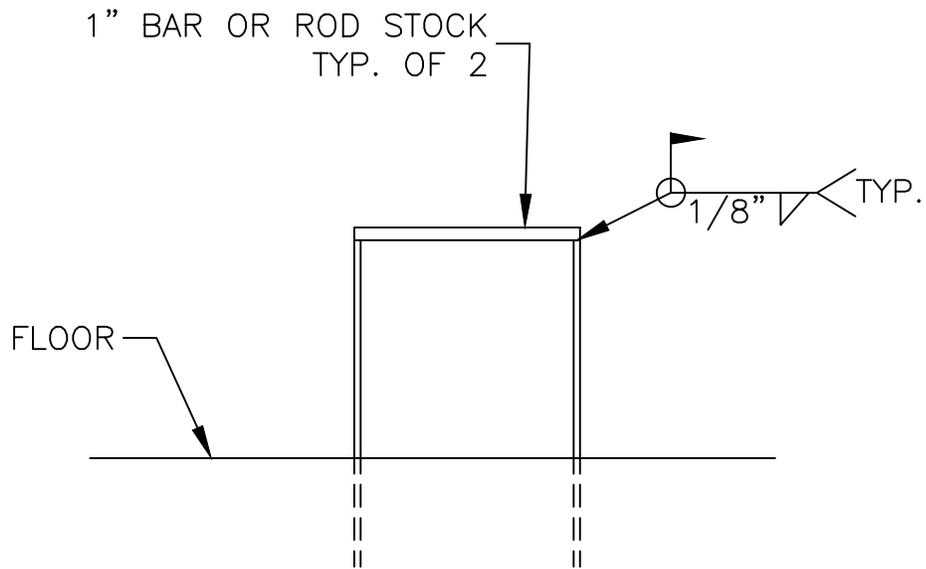
4.04 RADIOGRAPHS - ACCESS OPENING REPLACEMENT

- A. Furnish all radiographic equipment, film, personnel, etc., necessary to perform radiographic inspection of completed welds in accordance with AWWA D100-21 including additional requirements outlined in Section 14.4. The radiographic testing firm is to be approved by the Engineer.
- B. A minimum of four (4) radiographs will be required.

- C. The radiographs will all be taken in one day at locations identified by the Engineer, and in the Engineer's presence.
- D. The radiographs will be developed on-site by the radiographer, and interpreted by the radiographer, but reviewed by the Engineer.
- E. All developed film will become property of the Owner.
- F. Cost for radiographic examination is the responsibility of the Contractor.
- G. Cost for additional radiographic examination due to failed x-rays is also the responsibility of the Contractor.



PLAN VIEW



SIDE VIEW

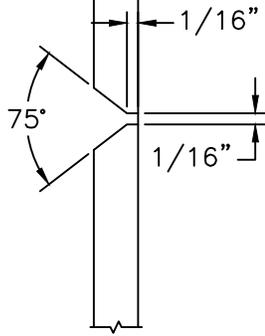
Note: Drawing not to scale.

	
Wauwatosa, WI 1,500,000 Reservoir	
Fill/Draw Pipe Deflector Bars	
Drawn By: TMF	Date: 04/03/25
Checked By: JVR	DWG: 01

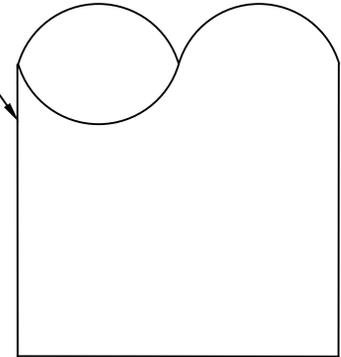
EXISTING 12"Ø OVERFLOW PIPE
TO BE TRIMMED,
FIELD VERIFY SIZE

ELBOW WITH A THICKNESS OF
 $\frac{1}{4}$ " MIN., (CONTRACTOR TO
DETERMINE SHORT OR LONG
ELBOW TO MEET AIR GAP
REQUIREMENT)

INSTALL PIPE EXTENSION
AS NEEDED SO THE
DISCHARGE IS OVER THE
NEW SPLASH PAD



WELD DETAIL



ELBOW WITH A THICKNESS OF
 $\frac{1}{4}$ " MIN., (CONTRACTOR TO
DETERMINE SHORT OR LONG
ELBOW TO MEET AIR GAP
REQUIREMENT)

GALVANIZED STEEL NUTS
AND BOLTS. SIZE PER
AWWA C207

$\frac{1}{4}$ " FLANGE WITH BOLT
HOLES PATTERN PER
AWWA C207

$\frac{3}{16}$ "
STAINLESS STEEL
4 MESH SCREEN

PIPE EXTENSION
WITH A THICKNESS
OF $\frac{1}{4}$ " MIN.

NOTES:

1. THE CONTRACTOR CAN INSTALL A PREFABRICATED ELBOW WITH WITH SHOP INSTALLED FLANGES IN LIEU OF FABRICATING THE ELBOW. THE ELBOW MUST FACE DOWNWARD WHEN INSTALLED.
2. INSTALL A SUPPORT ON THE OVERFLOW JUST ABOVE THE CUT LOCATION, SUPPORT TO BE $\frac{1}{2}$ " x 5" PLATE, FIELD DETERMINE THE WIDTH, USE $\frac{3}{16}$ " FULL FILLET WELDS.

12"-24"

GROUND

SIDE VIEW

Note: Drawing not to scale.



Wauwatosa, WI 1,500,000 Reservoir

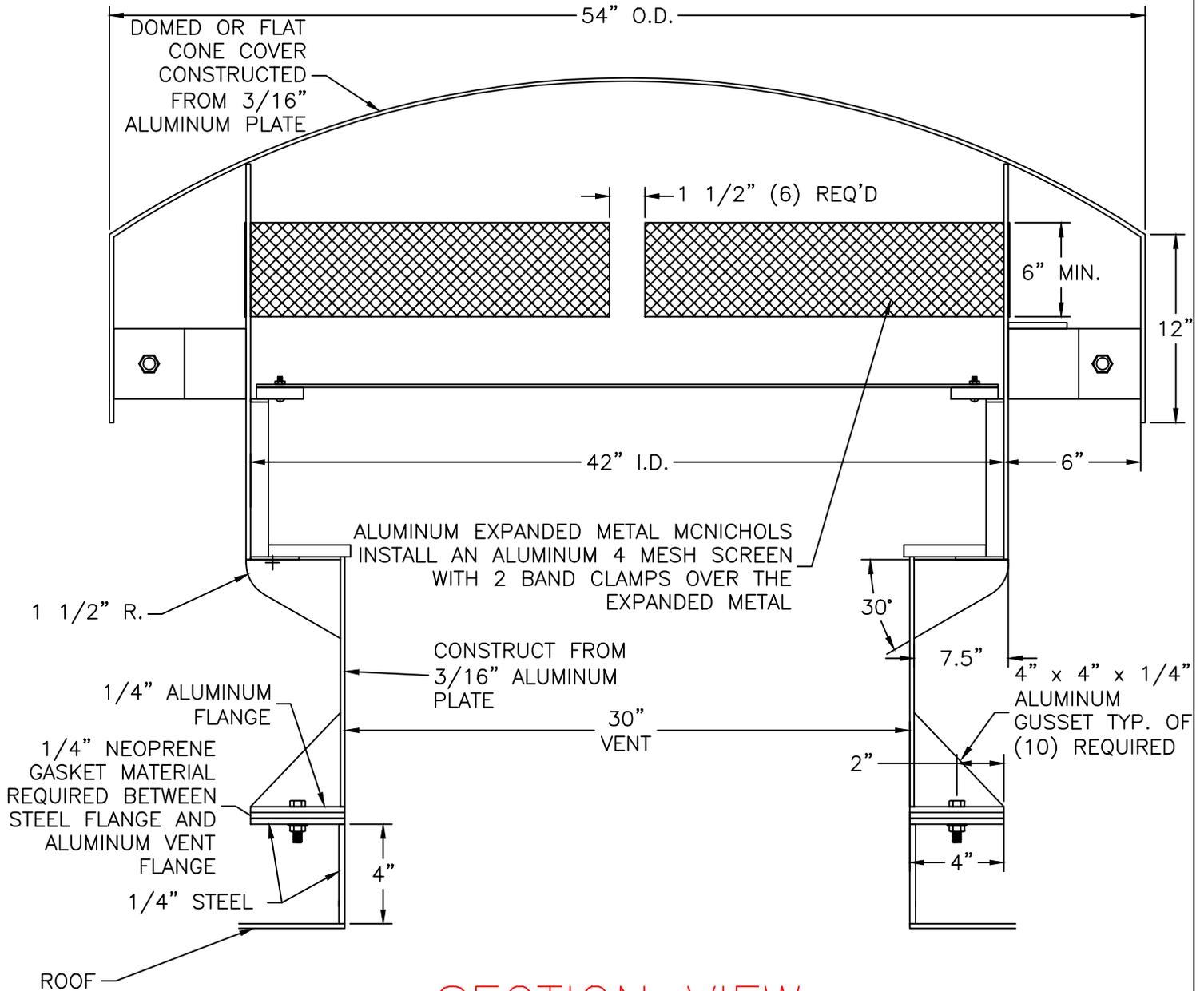
Overflow Discharge

Drawn By: TMF

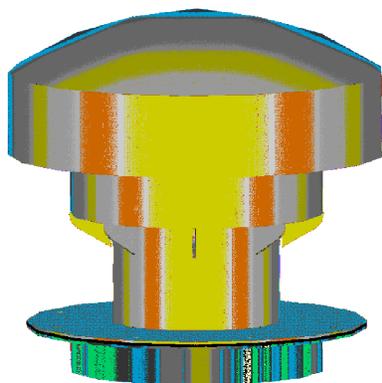
Date: 04/03/25

Checked By: JVR

DWG: 02



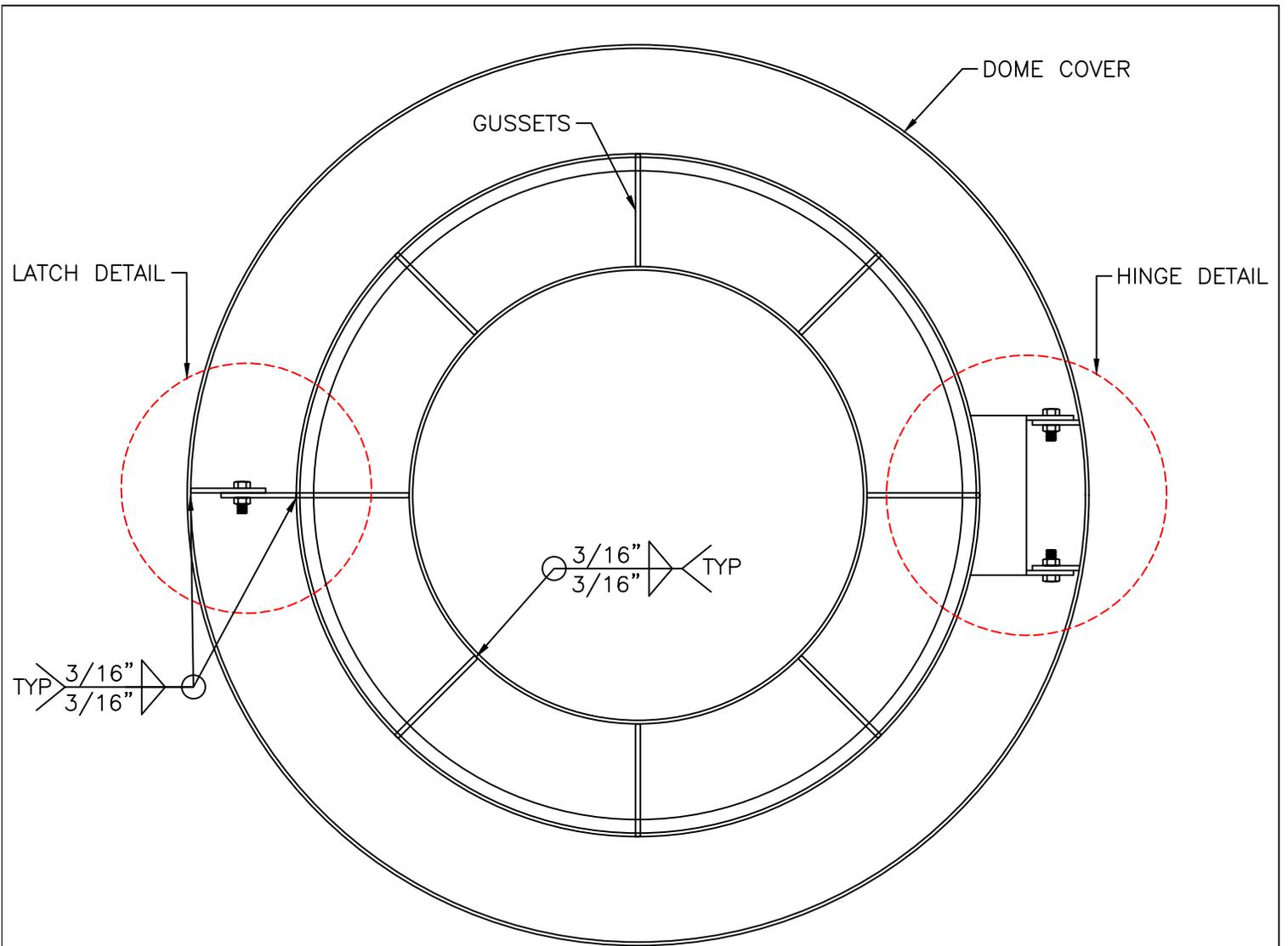
SECTION VIEW



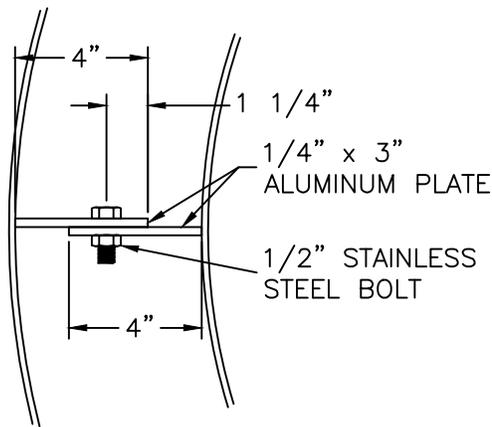
ISO VIEW

Note: Drawing not to scale.

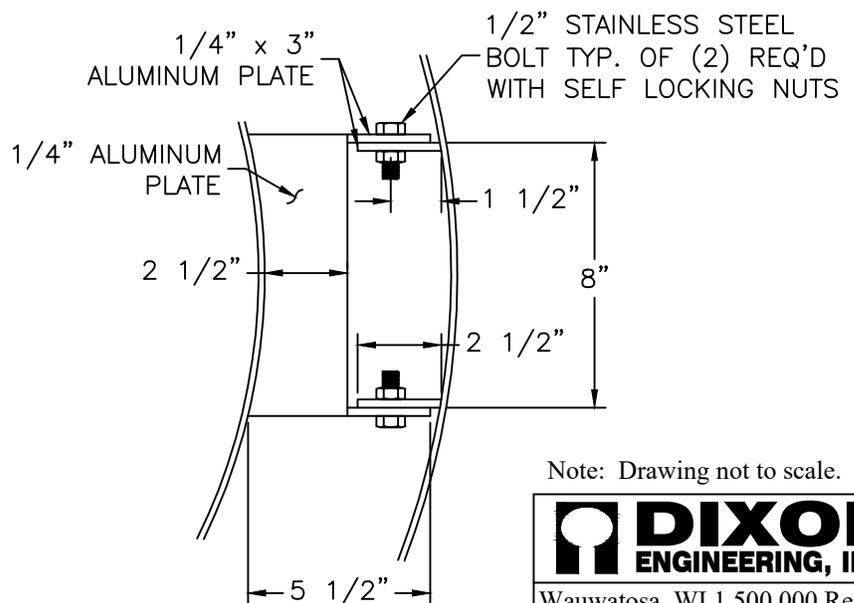
Wauwatosa, WI 1,500,000 Reservoir	
30" Pressure Vacuum Roof Vent	
Drawn By: TMF	Date: 04/03/25
Checked By: JVR	DWG: 03a



PLAN VIEW



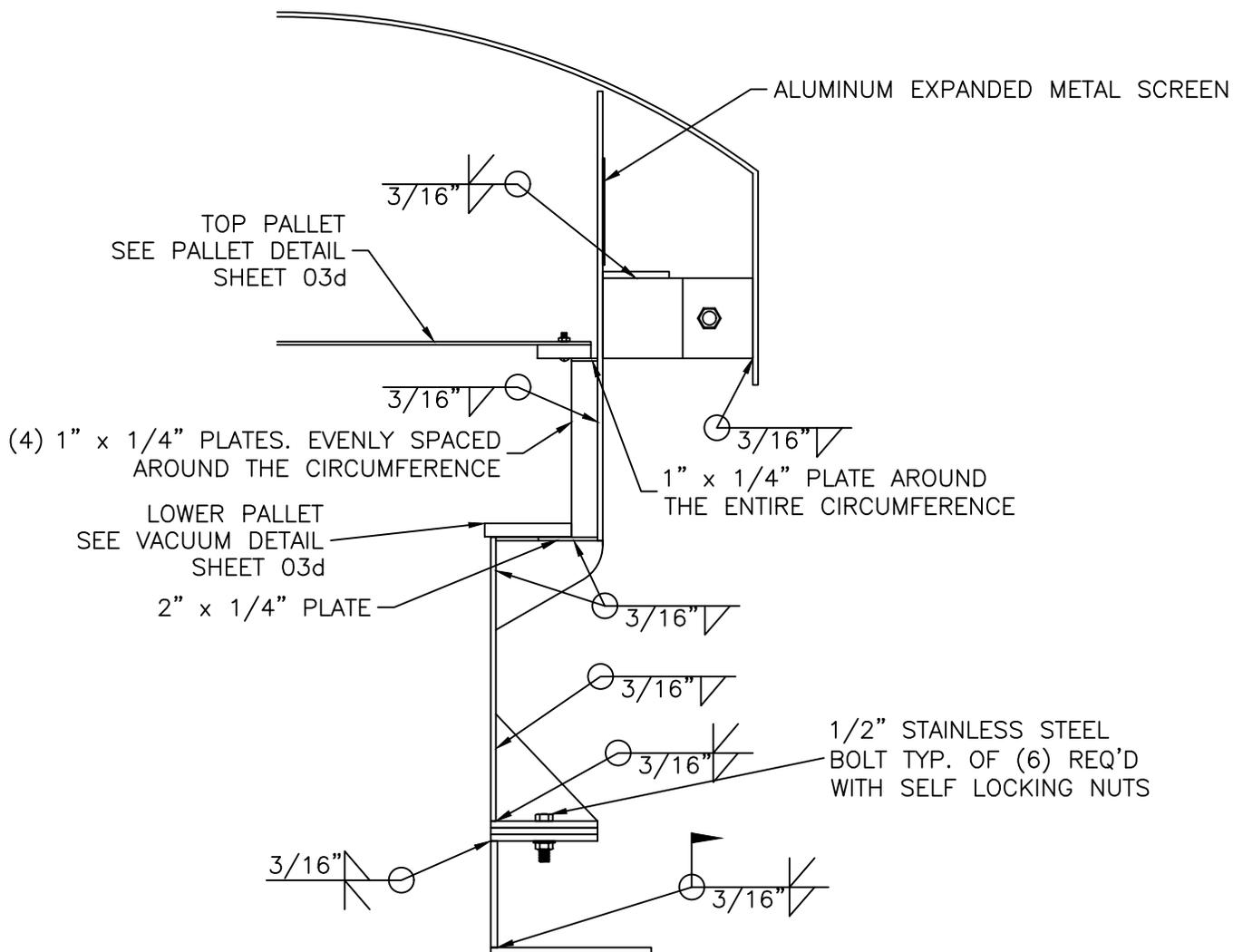
LATCH DETAIL



HINGE DETAIL

Note: Drawing not to scale.

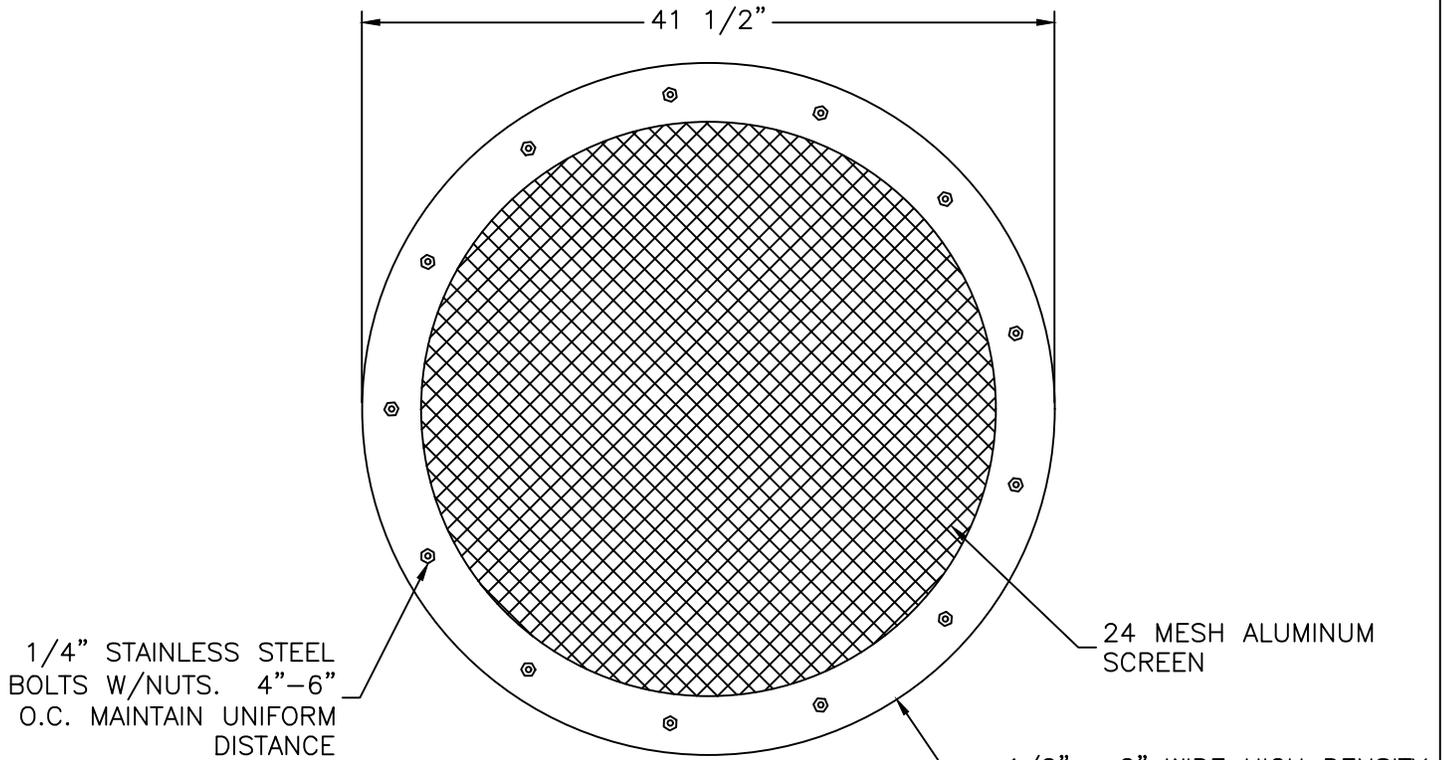
DIXON ENGINEERING, INC.	
Wauwatosa, WI 1,500,000 Reservoir	
30" Pressure Vacuum Roof Vent	
Drawn By: TMF	Date: 04/03/25
Checked By: JVR	DWG: 03b



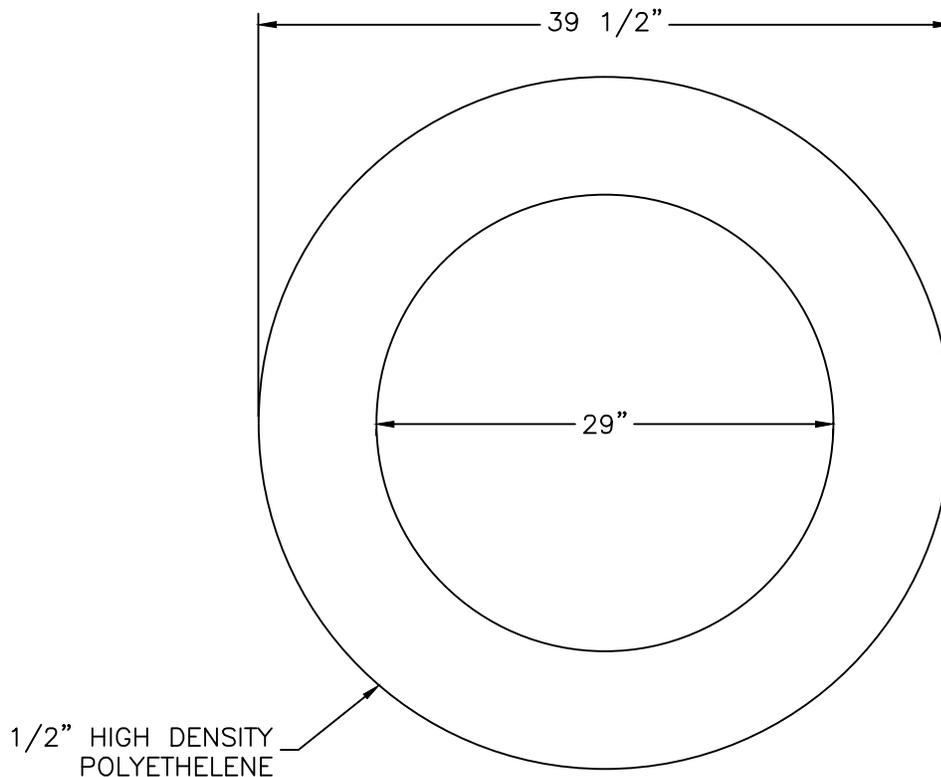
WELDING DETAIL

Note: Drawing not to scale.

Wauwatosa, WI 1,500,000 Reservoir	
30" Pressure Vacuum Roof Vent	
Drawn By: TMF	Date: 04/03/25
Checked By: JVR	DWG: 03c



TOP PALLET



VACUUM PALLET

Note: Drawing not to scale.

Wauwatosa, WI 1,500,000 Reservoir	
30" Pressure Vacuum Roof Vent	
Drawn By: TMF	Date: 04/03/25
Checked By: JVR	DWG: 03d

SECTION 09 97 13 **STEEL COATING**

PART 1 – GENERAL

1.01 SECTION INCLUDES

- A. Painting of steel structures.
- B. Interior cleaning and disinfection.

1.02 REFERENCES

- A. AWWA Standards (latest versions):
 - 1. D102 – Painting Steel Water Storage Tanks.
 - 2. C652 – Disinfection of Water Storage Facilities.
 - 3. C655 – Field Dechlorination.
- B. NSF/ANSI (latest versions)
 - 1. NSF/ANSI 60/600 and 61/600.

1.03 WORK INCLUDED

- A. Exterior: Apply a three (3) coat epoxy urethane system.
- B. Wet Interior: Apply a three (3) coat zinc epoxy system, apply a polyurethane caulk to the roof lap seams. The cathodic protection system is to be removed, and reinstalled by the Owner's vendor (or approved equal), coordination and payment is the Contractor's responsibility.
- C. Pit Piping: Apply a two (2) coat epoxy system.

1.04 EXISTING COATING CONDITIONS

- A. Exterior: Urethane system applied over a SSPC-SP6 blast in 2010.
- B. Wet Interior: Epoxy system applied over a SSPC-SP10 blast in 2010.
- C. Pit Piping: Epoxy coating system.

1.05 OMISSIONS OR INCIDENTAL ITEMS

- A. It is the intent of these specifications to coat the structure for the purpose of corrosion protection on wet interior surfaces. It is the intent to coat the exterior for corrosion protection and aesthetics.
- B. Any minor or incidental items not specifically detailed in the schedule, but inherently a part of the work is included at no additional cost to the Owner.
- C. The Engineer, as interpreter of the specifications, will determine if disputed items fall under this category. Prevailing customs and trade practices will be considered in this determination.

1.06 PAINTER QUALIFICATIONS

- A. The Contractor is to complete all coating and surface preparation.
- B. All coating applicators are to be specialized in industrial or heavy commercial painting.
- C. ALL CONTRACTORS ARE TO BE PREQUALIFIED with Dixon Engineering for projects of this size and complexity.

1.07 SUBMITTALS

- A. Provide an electronic copy to the engineer. Note that the Contractor is to supply a separate copy of the SDS of each product at the job site that is accessible by their employees.
- B. No work may commence without the complete filing. All SDS shall conform to the requirements of SARA (EPCRA) Right-to-Know Act.
- C. Submit the following with the annual prequalification:
 - 1. Occupational Safety and Health Programs and certification that all site personnel have been trained as required by law.
- D. Submit the following ten (10) days prior to the preconstruction meeting:
 - 1. Safety Data Sheets (SDS) and Product Data Sheets:
 - a. Furnish from all suppliers Safety Data Sheets and product data sheets for all applicable materials including but not limited to: coatings, thinners, additives, cleaners, caulking, degreasers, chlorine, abrasives, abrasive additives, and pretreatments.
 - 2. Ventilation Design Plan. Include airflow calculations, dust collector size, fan size, and number of fans.
 - 3. Dehumidification/Heat Design Plan. Include airflow calculations, equipment size, number of units used, connection details, and power source. Include noise suppressant design.
 - 4. Fall Prevention Plan and Site-Specific Fall Hazard Evaluation:
 - a. Site specific plan to contain a description and/or generic drawing of the existing structure and appurtenances of this structure and reflect safety changes specified for this Project.
 - b. Certifications for all spiders, scaffolding, stages, etc., to be used on the Project. All certifications to be current, less than one (1) year old.
 - 5. Cathodic Protection system installer name and contact information.
- E. Submit the following at the preconstruction meeting:
 - 1. Designated OSHA Competent Person and qualifications, if not previously submitted.
- F. Submit the following within two (2) weeks of project completion with final pay request:

1. Waste manifest, waste hauler and disposal facility. Required even if testing results indicate that the waste is non-hazardous.
2. Waivers of lien.
3. Copies of any formal worker safety or environmental citations received on the Project.

1.08 OWNER RESPONSIBILITY

- A. Drain the structure with seven (7) day notice after the Contractor meets all precedent conditions of the contract.
- B. Fill the structure and draw samples and test after chlorination; responsibility for passing test results remains with the Contractor. Failing test results could result in added costs to the Contractor, including re-chlorination, cost of water, plus possible liquidated damages.

1.09 DELIVERY and STORAGE of MATERIAL

- A. The Owner reserves the right to require that the Contractor is to have all of the required coating for the Project delivered to the site or to the Owner's storage facility prior to the structure being taken out of service and commencement of the Project.
- B. Submit the manufacturer's invoice, with or without paint cost, to the Engineer for review. This submittal will be used to identify the quantity of paint recommended by the manufacturer for a job of this size and design and will be used to check the quantity actually delivered to the Project.
- C. Cover bulk materials subject to deterioration because of dampness, weather, or contamination, and protect while in storage.
- D. Maintain materials in original, sealed containers, unopened and with labels plainly indicating the manufacturer's name, brand, type, grade of material, and batch numbers.
- E. Remove from the work site containers that are broken, opened, water marked, and/or contain caked, lumpy, or otherwise damaged materials. They are unacceptable.
- F. Store the material in a climate controlled designated area where the temperature will not exceed the manufacturer's storage recommendations. Heat the storage area to the manufacturer's recommended minimum mixing temperature.
- G. Keep equipment stored outdoors from contact with the ground, away from areas subject to flooding, and covered with weatherproof plastic sheeting or tarpaulins.
- H. Store all painting materials in a location outside the structure.
- I. Do not store or have on-site unapproved material, material from different manufacturers, or materials from different Projects.

1.10 ACCESS and RPR SAFETY

- A. Provide access to all portions of the Project where work is being completed. Access must be close enough and secure enough to allow the RPR to use equipment without extensions.
- B. Provide personnel to assist with access and to ensure the Contractor's access equipment is safely used.
- C. Provide separate fall protection devices and safety lines for the Owner and observers that meet all local and federal OSHA requirements.
- D. These specifications require the Contractor to supply a separate fall protection cable and safety grab for each tie-off point for the observer's use. The Contractor is encouraged to provide a separate cable and tie-off for each worker. The cables may be connected to the same tie-off point as the RPR, but a separate cable and safety grab are required for each user.

1.11 OBSERVATION and TESTING

- A. Prior to the scheduled observation, remove all dust, spent abrasive, and foreign material from the surface to be coated.
- B. The Contractor is to furnish an instrument for measuring the wet film thickness, and also a calibrated instrument for measuring dry film thickness of each field coat of paint. The dry film thickness testing gauge to be the magnetic type as manufactured by Elcometer Co., or the Nordson Gauge Co.; spring loaded model with two percent (2%) accuracy margin over a range of one-to-twenty-one (1-100) mils or equal.
- C. The Engineer will furnish and operate observation equipment for their own use as quality assurance.
- D. Certify to the Owner that the specified paint has been applied at the paint manufacturer's recommended coverage, and to the specified thickness required. Also, certify that the paint has been applied in accordance with this Contract.
- E. Take all necessary steps, including dry stripping by brush or roller, to ensure a holiday-free coating system.
- F. The wet interior coatings are subject to low or high voltage holiday testing.
- G. The Owner and Engineer reserve the right to perform destructive testing under conditions deemed necessary. Testing may include, but is not limited to, the Tooke thickness test and adhesion testing. Any damage caused by these tests will be corrected per these specifications by the Contractor at the Contractor's expense.

1.12 SCHEDULING

- A. Complete all welding and any other work before coating operations begin, including surface preparation that might damage the new coating system. The exception is coating removal in the weld area.

- B. If the Contractor wants a variance in this schedule, request the change and provide a reason in writing to the Owner. The Project Manager will reply with a written Field Order if the change is approved. The Engineer reserves the right to put further conditional restrictions in the Field Order. If the Contractor objects to restrictions, they may revert to the original specifications.

1.13 CLIMATIC CONDITIONS

- A. Do not apply paint when the temperature, as measured in the shade, is below the manufacturer's required ambient conditions and surface temperatures.
- B. Do not apply paint to wet or damp surfaces, or during rain, snow, or fog.
- C. Do not apply paint when it is expected the relative humidity will exceed 85%, or the surface temperature is less than 5° F above dew point, or the air temperature will drop below the manufacturer's requirements for proper cure. Anticipate dew or moisture condensation, and if such conditions are prevalent, delay painting until the observer is satisfied that the surfaces are dry.

1.14 APPLICATION

- A. Complete all painting and surface preparation in strict accordance with these specifications, approved paint manufacturer's specifications, and good painting practices per SSPC.
- B. Apply each coating at the rate and in the manner specified by the manufacturer. Check the wet film thickness regularly during coating application to ensure each coat applied meets the dry film thickness range requirements.
- C. Allow sufficient time for each coat of paint to dry and cure. Allow a minimum of twenty-four (24) hours between coats, unless product requirements have a maximum time of less than 24 hours. Additional time may be necessary if low temperatures require an increase in the necessary cure time.
- D. Responsibility for damage caused by coating application and repair of coatings improperly applied is the responsibility of the Contractor, even with prior non objection to application procedures:
 - 1. Apply exterior coating by brush and roller only. Spray application is not permitted without prior approval of the Engineer.
 - 2. Coatings are to be applied using methods to eliminate roller or spray marks in the finished product on the exterior.
 - 3. Painting may be delayed because of poor coverage or the potential damage from overspray and/or dry spray.
 - 4. The Contractor is responsible for the appearance of the finished project and is advised to prevent contact with any freshly applied coating. Removal of rigging is to be completed so as not to damage the coating.
 - 5. Stripe the wet interior prior to the application of the final coat.

6. Additional coats may be required for coverage or to eliminate roller marks, spray marks and to repair dry spray and overspray.
- E. Use of pole extensions on spray guns is prohibited for all paint applications.
- F. Mixing partial kits is not permitted. All partial coating containers must be removed from the site.
- G. Mixing blades to be clean. The Engineer has the right to reject mixing blades based on cleanliness or paint build-up. Do not use the same mixing blade for different coatings (i.e., epoxy and urethane coatings).

1.15 PRESSURE RELIEF VALVES

- A. Furnish two (2) pressure relief valves for the Owner to install.
- B. The valves are to be Aquatrol series 69F1 manufactured by Aquatrol Valve Company, Inc. www.aquatrol.com (800) 323-0688, or approved equal.
- C. Valves will need to be fitted with a hydrant thread adaptor. Valves to be adjustable with a range of 30 to 90 psi. Set the valve at 60 psi and the Owner will adjust the valves once installed.
- D. Supply three (3) days prior to draining of the structure.
- E. After work on the structure is complete with successful disinfection and dechlorination completed, the Owner will return the valves to the possession of the Contractor.
- F. Cost is incidental to the Project.

PART 2 – PRODUCTS

2.01 COLOR

- A. Exterior Coatings:
 1. Supply the Engineer with a color chart to allow the Owner ample time for the exterior topcoat color selection.
 2. Factory tint the intermediate coat(s) for all areas of the structure if similar to the finish coat. Tinting is to be sufficient to allow visibility of the dissimilar color from 1 ft., and from 100 ft.
 3. The Owner is to select or verify the topcoat color at the preconstruction meeting. The Contractor is responsible for verifying all topcoat colors with the Owner, even when specified, prior to ordering topcoat products.
 - a. All bids are to be based on Tnemec “Destiny 02 BR” color.
- B. Wet Interior Coatings:
 1. The color is to be a different tint between coats. Tinting to be performed in the factory. The final color is to be white, blue, or off-white as selected by the Owner. The topcoat color is to be verified at the preconstruction meeting.
 2. Only colors approved by NSF 61/600 are to be used in the wet interior.

2.02 SUBSTITUTIONS

- A. All coatings specified and approved herein have met or exceeded a specified list of ASTM standards. The materials specified are the standard to which all others are to be compared.
- B. The purpose is to establish a standard of design and quality, and not to limit competition.
- C. Manufacturers wishing to have their products approved are to have their coatings tested using the same test methods.
- D. Approval by ANSI/NSF Standard 61/600 is also a requirement for potable water contact coatings.
- E. The selection of coatings and manufacturers have taken into consideration the manufacturer's current and past performance on availability, stocking, and shipping capabilities, ability to resolve disputes, and any applicable warranties.

2.03 DEHUMIDIFICATION AND CLIMATE CONTROL – WET INTERIOR

- A. Supply dehumidification/heating units capable of maintaining dew point temperature lower than 15° below surface temperature during blasting and lower than 5° below surface temperature during coating application and cure, and steel temperature maintained above the manufacturer's printed requirements.
- B. Supply a dehumidifier designed with a solid desiccant having a single rotary desiccant bed capable of continuous operation, with fully automatic operation. Do not use liquid desiccant, granular, or loose lithium chloride drying systems. Refrigerant systems may be used in conjunction with desiccant units.
- C. Plumbing, noise control, insulation, venting, and all incidental items needed to provide proper ambient conditions is to be included as one package.
- D. Supply and maintain a power source for the dehumidifier and heater, unless otherwise specified.
- E. Use a minimum 6,500 CFM dehumidification capacity for all wet interior work.
- F. Dehumidification capacity can be obtained by combining two or more units, but total capacity must be met.

2.04 DUST COLLECTORS – AIR FILTRATION UNITS

- A. Furnish and use a dust collector during all of the blasting work.
- B. Units to be equal in filtration capacity to Eagle Industries dust collectors. Other units may be used, but their substitution will be evaluated on efficiency at 0.5-micron size and airflow movement.
- C. Use 40,000 CFM minimum for wet interior work.
- D. Dust collector capacity can be obtained by combining two or more units, but the total minimum capacity requirement must be met.

- E. The substitution with steel grit blasting may decrease the requirements above. New requirements will be defined by the Engineer based on the efficiency of the Contractor's equipment.
- F. Furnish HEPA filters for dust collection.
- G. The number of dust collectors is to be sufficient to supply a 50 ft./minute downward draft in most areas. An average may be considered. Determination of actual containment plan will be the deciding factor. Calculations of airflow is to be included in the containment submittal.
- H. Use only new filters or filters certified clean.

2.05 EQUIPMENT COVERING

- A. Use material that is 8 – 10 mils thick, and 100% impermeable to all vulnerable equipment.
- B. Use material resistant to tear and/or rip by mechanical action from abrasive blasting during blasting operations.
- C. Make coverings airtight by the use of duct tape at the openings, or other suitable measures.
- D. Meet with the representative of equipment owners to verify covering will not damage equipment. This includes not only the Owner's equipment, but also telecommunication antennas, cables, buildings, controls, etc.

2.06 AIR DRYER FOR COMPRESSOR

- A. Use air dryers that are sufficient to remove 98% of the moisture from the compressed air. Size the dryers on total cfm using manufacturer supplied charts. Upon request, provide charts to the Engineer for verification.
- B. If the dryer fan is not operable, cease all blasting until the dryer is replaced or repaired.
- C. Supply air dryer with an air draw-off valve to check air for dryness, oil contamination, and cleanliness on the outlet side of the air dryer.
- D. For cleaning operations, draw clean air from the outlet side of the air dryer.

PART 3 – EXECUTION

3.01 DISINFECTION

- A. The Contractor is fully responsible for determining that the wet interior coatings have cured prior to disinfection and refilling the structure. The Contractor shall perform an MEK Solvent Double Rub Test per ASTM D 4752 to verify the cure of the coating film prior to returning the tank to service. The Owner reserves the right to perform their own MEK Solvent Double Rub Test, but the conclusion of the test results is the sole responsibility of the Contractor.

- B. Disinfect the completely painted structure in accordance with AWWA Standard C652 Chlorination Method No. 3.
- C. Furnish the material and labor necessary to disinfect the structure in the required manner. Any chlorine products used shall be NSF 60/600 approved. Assist the Owner during filling and ensure that any manways are free of leaks after filling. The Contractor is to adjust the manways and replace gaskets as needed to ensure there are no leaks.
- D. Contractor shall never allow water to enter the distribution system until satisfactory bacteriological test results are received and any dechlorination requirements are met. Throughout the Project, only the Owner will operate valves allowing water back into the distribution system.
- E. The Owner is responsible for collecting two consecutive bacteriological samples, 24 hours apart, following disinfection. Satisfactory results are required before the tank can be returned to service.
- F. Water drained to waste may not contain any substances in concentrations that can adversely affect the natural environment. No total residual chlorine may be measured in water discharged to surface water. It is recommended that the water be dechlorinated per AWWA C655 Field Dechlorination.
- G. The Contractor shall pay all additional expenses if it is necessary to repeat the testing and disinfection procedure as a result of defective work, including Engineering fees.

3.02 PROTECTION OF NON-WORK AREAS

- A. Protect all non-blasted/painted surfaces prior to all abrasive blast cleaning/painting.
- B. Thoroughly cover the fill pipe, draw pipe, overflow pipe, and all other openings. Do not permit abrasive or paint chips to enter the piping or distribution system. Use watertight seals on the pipes.
- C. Protect and seal all controls and electrical components (even if they are not in the immediate work area) that are in danger from the Project. Coordinate with the Owner so all controls are shut down and/or vented if necessary.

3.03 ANTENNA SYSTEM PROTECTION

- A. There are ten antennas mounted on a mast located on the roof. The number of antennas listed are from the last known condition, the Contractor is to field verify number of antennas.
- B. There are cables routed from the ground up to the antennas with miscellaneous sensitive equipment mounted on the structure and control equipment/buildings located on the ground.
- C. Use material that is 100% impermeable to cover and protect all antennas, antenna cables, and antenna controls/buildings.

- D. Use material resistant to tear by mechanical action from abrasive blasting, power washing and coating application.
- E. Payment for damage to antennas, antenna cables, miscellaneous equipment and/or antenna controls/buildings is the responsibility of the Contractor.
- F. Contact the Owner of each set of antennas one (1) week prior to the beginning of construction to determine protection requirement. Names of antenna companies will be available at the preconstruction meeting.
- G. Antennas may remain in service during the Project. The Contractor is responsible for their own RF safety. Contractor to provide a minimum of one RF monitor for employees on site for the duration of the Project.

3.04 ANTENNA MOUNTING EQUIPMENT COATING

- A. Antenna mounting equipment is to be surface prepared and coated to match the exterior tank per these specifications including but not limited to brackets and mounting poles.
- B. Note that the antennas, radio heads, cables, etc. are not to be coated. Coating is limited to items that have previously been coated to match the tank.
- C. All previously coated items are to be coated per the exterior specifications. Any galvanized, stainless steel or other uncoated materials are to remain uncoated.
- D. Cable connections are to be removed during surface preparation and coating application. Temporary support may be needed to hold the cables in place during the Project. Reinstall the cable attachments or install new connections if the attachment is a zip-tie or electrical tape. Note that the antenna and any miscellaneous equipment attachment points are to remain in place throughout the Project.
- E. Cost is incidental to the Project.

3.05 DEHUMIDIFICATION AND CLIMATE CONTROL

- A. Control the environment with dehumidification equipment twenty-four (24) hours a day during blast cleaning, coating operations, and 48 hours after the topcoat (including holiday touch-ups and repairs) at a minimum to maintain ambient conditions until cure completion.
 - 1. Failure to operate dehumidification 24 hours, 7 days a week continuously for the specified time period will result in damages assessed to the Contractor and held as a Setoff of **\$200 for every hour** the equipment is recorded as not operating.
 - 2. Operating 24/7 may require auxiliary fuel tanks, which is the responsibility of the Contractor.
- B. The Contractor is responsible for determining that the coatings have completely cured between coats and after the topcoat application. The Contractor is responsible for the operation of the dehumidification and climate control equipment as needed to obtain full cure past the minimum 48 hours after topcoat application.

- C. Supply sufficient dry air to assure the air adjacent to surfaces to be abrasive blast cleaned or coated does not exceed minimum required humidity at any time during the blasting, coating, or curing cycle.
- D. Monitor and record ambient conditions twenty-four (24) hours a day throughout abrasive blast cleaning, painting work and through full cure following the last application of the interior coating.
 - 1. It is the responsibility of the Contractor to keep a ledger or digital recording of ambient conditions. The documentation is to be available to the Owner/Engineer upon request. The Contractor is to keep records throughout the maintenance period (24 months) after substantial completion.
 - 2. Dehumidification Monitor to be capable of being programmed with condition parameters and is to be capable of alerting the Contractor, and if requested the Engineer and Owner via phone or email if conditions are outside of set parameters or of equipment failures.
 - 3. It is recommended to use Polygon Exact Aire, DRYCO ClimaTrack, DH Tech HOB0U30 data logger, or approved equal.
- E. Contractor to manually test interior ambient conditions three (3) times a day, or more often with rapid weather changes. Record daily readings. Adjust or add equipment as required to maintain steel temperatures, dew point, and humidity. (This is in addition to the monitor with recorder noted above).
- F. Surround the units with noise suppressant enclosures unless the units are sound attenuated or have noise suppressants. More extensive enclosure requirements are required in residential areas where the machines must run outside of the allowed work hours. The noise suppressant level needed will depend on the size of the dehumidification units, their efficiency, and their locations. Provide noise suppressant enclosures of sufficient height and thickness to lower noise to an acceptable level for neighbors. Also provide noise suppressant enclosures for generators.
- G. Auxiliary heaters or cooling units may be necessary to maintain the surface temperature at a level acceptable to the coating manufacturer's application parameters. The auxiliary equipment must be approved for use by the manufacturer of the dehumidification equipment and is to meet the following requirements. Auxiliary ventilation equipment and/or dust collection equipment can affect the exchange rate.
 - 1. Heaters/cooling units are to be installed in the process air supply duct between the dehumidifier and the work, as close to the work as possible. Air heaters/cooling units are not acceptable as a substitute for dehumidification without approval.
 - 2. Use only electric or indirect gas fired auxiliary heaters. No direct fired space heaters will be allowed during blasting, coating, or curing phase.

- H. Seal off the work, allowing air to escape at the bottom of the space away from the point where the dehumidified air is being introduced. Maintain a slight positive pressure in the work unless the dust from the blasting operation is hazardous.
- I. Where necessary to filter the air escaping the space, design the filtration system to match the air volume of the dehumidification equipment in such a way that it will not interfere with the dehumidification equipment's capacity to control the space as described herein. Do not recirculate the air from the work area or from filtration equipment back through the dehumidifier when coating or solvent vapors are present. Outside air is to be used during those periods.
- J. Securely attach duct work to the equipment and work to minimize air loss. Design hoses with sufficient capacity and minimal bends to reduce friction loss.
- K. Dehumidification and its operating power source are incidental to the respective painting project (wet or dry interior).
- L. Set up and operate equipment twenty-four (24) hours (or earlier) prior to start of blasting.

3.06 DUST CONTAINMENT – WET INTERIOR

- A. No visible dust release is allowed from openings.
- B. Seal or close all openings prior to blasting. Connect the air filtration unit through a manway.
- C. The seal at the side exit will be tested by holding a smoke agent 6 in. outside the seal with the air filtration unit operating. If smoke is drawn to the seal area, additional sealing will be necessary.
- D. The Contractor may reverse this operation by connecting the air filtration unit to the roof manhole and sealing around the hose. Also seal the roof vent. A sealed semi-rigid structure also may be used where employees have access through a side door. 90% of the air drawn must be from the tank proper.

3.07 VENTILATION REQUIREMENTS – WET INTERIOR

- A. Supply mechanical ventilation sufficient to change air in the tank six (6) times each hour during blast and coating operations.
- B. In calculating air exchange, the dust collector air capacity can be considered a part of the air being changed up to 50% of ventilation requirements.
- C. Use the manways with fans to move the required air.
- D. Ventilate wet interior areas a minimum of seven (7) days after completion of painting, or longer until the wet interior coating has fully cured. Maintain ventilation at the rate of two (2) complete air changes per hour.
- E. Maintain internal air movement inside the wet interior during cure of the coating. In addition to the fan(s) forcing air into the wet interior, install fan(s) inside the wet

interior to disrupt air movement from flowing directly between the roof hatch(es) to the manhole(es) at the bottom of the structure.

- F. The Contractor is to perform an MEK Solvent Double Rub Test per ASTM D 4752 to verify the cure of the coating film prior to returning the tank to service. The Owner reserves the right to perform their own MEK Solvent Double Rub Test, but the conclusion of the test results is the sole responsibility of the Contractor.
- G. Additional ventilation openings may have to be installed by the Contractor. Submit size of opening, stamped reinforcement details, and location(s) for approval by the Owner prior to cutting any opening. All costs associated with repairs by a certified welder are incidental to the Project.
- H. Connect the dust collector through a manway to create negative pressure, and install fans as needed on the roof and sidewalls that blow inward. If all openings are not needed for ventilation, seal them. Zero release into the atmosphere will be permitted.
- I. The cost of ventilation is incidental to the Project.

3.08 HAND WASH FACILITY

- A. Provide an OSHA approved hand wash facility with running water. Hot water is not required.
- B. Stock facility with soap and towels and keep supply replenished.
- C. Test and dispose of the water properly after the Project is completed.

3.09 LIGHTING OF WORKSPACE

- A. Provide durable lighting fixtures designed for the intended work environment for use during blasting, painting, and during all observations.
- B. Encase portable lamps in a non-conductive, shatterproof material. Use only heavily insulated cable with an abrasive resistant casing.
- C. Install all temporary electrical items in accordance with all local, state, and federal codes, including OSHA.
- D. Protect from paint overspray and damage from abrasive materials.
- E. Measure required illumination during surface preparation and coating application at the work surface. Supply 20 ft. candles minimum illumination during blasting and painting, and 30 ft. candles minimum prior to and during observation, per SSPC-Guide 12. Inspect the prepared surface at the higher illumination prior to calling for observation. All work must conform to specification requirements prior to the scheduled observation.
- F. Measure the illumination at the work surface in the plane of the work.

PART 4 – SPECIAL PROVISIONS

4.01 CATHODIC PROTECTION REMOVAL

- A. Remove the existing cathodic protection anode system from the wet interior, including ropes and wires.
- B. Install new anode wires and mounting hardware as needed and replace all reference cells. Work is to be performed by existing Owner's supplier (Corrpro), or an approved equal.
- C. All work is to meet AWWA D104 requirements.
- D. Work is to be coordinated and scheduled by the Contractor.
- E. Cost is incidental to wet interior repainting.

4.02 GRASS RESTORATION

- A. The Contractor is to report any damaged ground at the construction site in writing with photos, prior to mobilization of equipment, otherwise all repairs to the damaged ground will be the responsibility of the Contractor.
- B. Refill all holes, ruts etc. with clean topsoil, and level area around the construction site to the original grade.
- C. Fill material to be clean soil, no gravel, rocks, or construction debris is to be used as fill material without the Owner's consent.
- D. Bring soil to a friable condition by disking, harrowing, or otherwise loosening and mixing to a depth of 3 in. – 4 in. Thoroughly break all lumps and clods.
- E. Rake area to be seeded. Sow seed at a minimum rate of 220 lbs./acre. Use seed intended for the climate, and shall be approved by the Owner.
- F. Work to be completed to the Owner's satisfaction.
- G. Cost is incidental to the Project.

SECTION 09 97 13.10

STEEL COATING SURFACE PREPARATION

PART 1 – GENERAL

1.01 SECTION INCLUDES

- A. Full Field Abrasive Blast Cleaning.
- B. Power Tool Cleaning.
- C. High Pressure Water Cleaning.

1.02 REFERENCES

- A. AWWA Standards (latest version):
 - 1. D102 Painting Steel Water Storage Tanks.
- B. SSPC and NACE Standards (latest versions):
 - 1. SP11 – Power Tool Cleaning to Bare Metal.
 - 2. SP6/NACE No. 3 – Commercial Blast Cleaning.
 - 3. SP10/NACE No. 2 – Near-White Metal Blast Cleaning.
 - 4. SP12/NACE No. 5 – Surface Preparation and Cleaning of Metals by Water Jetting
Prior to Recoating
 - 5. VIS 1 (Visual standard for abrasive blasted metal).
 - 6. VIS 3 (Visual standard for hand and power tool cleaned metal).

1.03 WORK INCLUDED – SURFACE PREPARATION

- A. Exterior: High pressure water clean (5,000 to 10,000 psi), spot power tool clean to a SSPC-SP11 standard.
- B. Wet Interior: Abrasive blast clean to a SSPC-SP10 near-white metal standard.
- C. Pit Piping: Abrasive blast clean to a SSPC-SP6 commercial standard.

PART 2 – PRODUCTS

2.01 EXTERIOR CLEANER

- A. United 727 Weather-Zyme or approved equal.
- B. Manufactured by United Laboratories www.unitedlabsinc.com (800) 323-2594.

2.02 ABRASIVE – COAL SLAG

- A. The coal slag is to be 20-40 grade, or 30-60 grade.
- B. The abrasive is to be free of moisture, water soluble contaminants, dust, and oil.
- C. The abrasive is to be stored and covered to prevent moisture contamination.
- D. All leaking or spilling bags are to be removed, and affected areas properly cleaned.

- E. All slag abrasive is to meet the requirements of SSPC-AB1 “Mineral and Slag Abrasive” Grade 3.
- F. The use of silica sand, flint sand, and glass beads is prohibited.
- G. Other types of blast media will be considered if submitted. All abrasive and grit material used, and all equipment supplied is to be subject to approval of the Engineer. The abrasive or grit is to be sharp enough and hard enough to remove the mill scale, rust, and paint.

2.03 RECYCLABLE STEEL GRIT – ALTERNATE

- A. Use recyclable steel grit size G-25 or G-50.
- B. The abrasive is to be free of moisture, water soluble contaminants, dust, and oil.
- C. The abrasive is to be stored and covered to prevent moisture contamination.
- D. All leaking or spilling containers are to be removed, and affected areas properly cleaned.
- E. All recyclable steel is to meet requirements of SSPC-AB3 “Ferrous Metallic Abrasive”.
- F. All abrasive and grit material used, and all equipment supplied is to be subject to approval of the Engineer. The abrasive or grit is to be sharp enough and hard enough to remove the mill scale, rust, and paint.

PART 3 – EXECUTION

3.01 PRE-SURFACE PREPARATION – WET INTERIOR

- A. Low pressure water clean all surfaces and appurtenances at 3,500 to 5,000 psi to remove sediment, minerals, and other contaminants. Remove any remaining water.
- B. Staining may remain in place prior to abrasive blast cleaning, Engineer to approve cleanliness.

3.02 NEAR-WHITE METAL (SSPC-SP10/NACE No. 2) DRY BLAST – WET INTERIOR

- A. Abrasive blast clean all surfaces and appurtenances to a near-white metal finish (SSPC-SP10/NACE No. 2).
- B. Maintain a profile of 2.0 – 3.0 mils on abrasive blast cleaned surfaces.
- C. All interior abrasive blast cleaning is to be completed and all spent abrasive removed, and surfaces thoroughly cleaned prior to any primer application.
- D. Once an area is acceptable for painting, apply all coats and allow coating to cure to touch prior to resumption of blasting or blast the entire tank before painting, use dehumidification to hold the blast. It is the Contractor’s discretion and responsibility to determine if the entire tank is to be blasted, or the amount of surface area that is to be blasted and coated (all coats).

- E. The Contractor is responsible for supplying heat and dehumidification to maintain blast conditions.

3.03 COMMERCIAL BLAST (SSPC-SP6/NACE No. 3) – PIT PIPING

- A. Abrasive blast clean all surfaces and appurtenances to a commercial finish (SSPC-SP6/NACE No. 3).
- B. Maintain a profile of 1.0 – 2.0 mils on abrasive blast cleaned surfaces.

3.04 HIGH PRESSURE WATER CLEANING (SSPC-SP12/NACE No. 5) - EXTERIOR

- A. Solvent clean all visible grease, oil, salt, algae, and residue in accordance with SSPC-SP1.
- B. High pressure water clean all exterior surfaces and appurtenances at 5,000 – 10,000 psi per SSPC-SP12/NACE No. 5 HP WC to remove all dirt, chalk, algae, other foreign material, and all brittle or loose coating and rust.
- C. Operational pressure will be determined by the Engineer based on field conditions.
- D. Maintain a water jet nozzle distance of 2 in. – 10 in. away from the surface.
- E. Hold the water jet nozzle with 0° - 15° tip perpendicular (90°) to the surface at all times.
- F. Only use machines rated at and capable of achieving and maintaining 10,000 psi. Use of a rotating/reciprocating nozzle during water cleaning is permitted but not to increase the pressure of a washer rated lower than required.
- G. Do NOT exceed a rate of 10 sq. ft./minute.
- H. The gauge measuring time of use must be operational on the unit, if not operational the Contractor may be shut down and/or deducted price for rental of an operational unit from the final payment.
- I. Feather all edges using power tools per this specification.
- J. SURFACES WITH AN EXISTING CLEAR COAT WILL REQUIRE SANDING. ALL CLEAR COAT REMAINING AFTER POWER WASHING IS TO BE SCARIFIED AND SHARP EDGES ARE TO BE REMOVED USING 30-60 GRIT PAPER. SCARIFY THE SURFACE PRIOR TO THE APPLICATION OF THE FIRST FULL COAT.

3.05 POWER TOOL CLEAN (SSPC-SP11) - EXTERIOR

- A. Solvent clean all visible grease, oil, salts, and residue.
- B. Power tool clean all surfaces and appurtenances to bare metal (SSPC-SP11) in areas where steel is exposed or rusted, or where coating is abraded.
- C. Retain or produce a surface profile. Surface profile is to be greater than 1.0 mil.
- D. Edges of adjacent coating is to be feathered a minimum of ½ in. from the exposed steel with 3M Scotch-Brite Clean'n Strip discs.

3.06 HAZARDOUS WASTE DISPOSAL

- A. Contract directly with a licensed hazardous waste hauler who is properly licensed in the State of Wisconsin to haul hazardous material.
- B. Transport the debris for treatment to a licensed hazardous waste disposal site.
- C. The Contractor will not be paid any retainage until paperwork has been submitted, including submittal of the hazardous waste manifest. Any original hazardous waste manifest is to be returned to the Owner.
- D. Remove all hazardous waste from the site within thirty (30) days of completion of the blasting portion of the Project.
- E. Payment for disposal of hazardous waste shall be calculated as the difference between the hazardous and non-hazardous waste disposal costs. The Contractor is to submit documentation from the landfill verifying the unit cost increase for hazardous waste disposal.

3.07 NON-HAZARDOUS WASTE DISPOSAL

- A. If after testing of the spent abrasive material the TCLP tests indicate the abrasive is not a hazardous waste, dispose the abrasive in a waste disposal facility.
- B. All waste shall be handled by a licensed hauler. Supply the owner with all proper documentation from the final disposal site. The actual bill of lading and all manifests will be required prior to the final payment.
- C. Payment for non-hazardous waste disposal is incidental to the respective portions of the coating project.

3.08 WASTE DOCUMENTATION

- A. Supply proper documentation of storage, transportation, and treatment, or disposal of the waste to the Owner. The Owner will retain sufficient funds from the Contractor to pay for hazardous waste transportation, treatment, and any possible fines until all documentation has been received. This retainage will be held, even if the waste is tested as non-hazardous if documentation is not properly submitted.

3.09 TESTING AND CLEAN-UP OF WASTE

- A. Daily collect all spent abrasive and properly cover/store during non-work hours. Prior to receiving test results, spent abrasive is to be separated by portion of the Project. The exterior waste (if applicable) shall be stored on ground tarps and shall be covered and weighted down so no dust can be released. The interior waste shall be stored inside the structure.
- B. Furnish containers with proper labels for storage of the spent debris. Containers are to meet the requirements of the EPA (or their local counterpart) for hazardous waste disposal. The spent abrasive will be moved directly from the structure into the waste containers. Furnishing containers with covers will be incidental to respective repaint

and will not be affected by the Owner's final selection of respective interior or exterior disposal.

- C. Waste to remain on-site in covered receptacles until waste test results are received.

3.10 WASTE SEPARATION

- A. The Owner is taking possession of the properly applied finished coating, on the structure only. All of the product that was not applied to the structure, all transport materials, (empty or partially filled coating and thinner pails, skids etc.) remain the property of the Contractor.
- B. If TCLP testing determines waste from some or all portions of the project are non-hazardous, then the abrasive and removed coating residue becomes the property of the Contractor. All project waste, that belongs to the Contractor, and which does not require special waste disposal, may be combined, as property of the Contractor. Dispose of waste per non-hazardous portion of these specifications.
- C. If TCLP testing determines waste from some portion or from all portions of the project, dispose of waste per Hazardous Waste Disposal portion of these specifications.

3.11 WASTE SAMPLING

- A. Sample spent abrasive waste from each portion of the Project with different existing coating systems. Keep waste from separate sections of the structure segregated from each portion of the Project. Send to a NLLAP certified lab and test for TCLP for eight (8) metals (Arsenic, Barium, Cadmium, Chromium, Lead, Mercury, Selenium and Silver).
- B. The Owner reserves the right to collect samples and to send them to their selected lab. This will be determined at the preconstruction meeting.
- C. The Contractor is to pay all lab fees for eight (8) metals TCLP analysis on spent abrasive waste samples.
- D. If TCLP determines waste from more than one portion of the Project is non-hazardous, those waste may be combined.

PART 3 – EXECUTION

3.01 ZINC EPOXY SYSTEM

- A. Apply to all prepared surfaces a three (3) coat zinc epoxy system.
- B. Surface preparation is defined in Section 09 97 13.10.
- C. Apply each coat at the following rates for the sidewall and floor.

<u>Coat</u>	<u>Minimum</u>	<u>Maximum</u>
	<u>D.F.T. (mils)</u>	<u>D.F.T. (mils)</u>
Primer	2.5	3.5
Stripe Coat	1.5	2.5
Intermediate	4.0	6.0
Topcoat	<u>4.0</u>	<u>6.0</u>
Total	10.5*	15.5*

*Total does not include stripe coat.

- D. Apply each coat at the following rates for the roof and sidewall from 1 ft. below the overflow weir box up:

<u>Coat</u>	<u>Minimum</u>	<u>Maximum</u>
	<u>D.F.T. (mils)</u>	<u>D.F.T. (mils)</u>
Primer	2.5	3.5
Stripe Coat	1.5	2.5
Intermediate	4.0	6.0
Topcoat	<u>16.0</u>	<u>20.0</u>
Total	22.5*	29.5*

*Total does not include stripe coat.

- E. Stripe coat to be applied to all welds, angles, and sharp edges throughout the structure, including above the high-water line and all roof beams, etc.
- F. Each full coat to be a different color from the previous coat and is to be approved by the engineer. No color bleedthrough should occur if proper application rates are observed.
- G. Apply all coats in uniform color and sheen without streaks, laps, runs, sags, cloudy, or missed areas. Correct all defects before application of the successive coat.

3.02 SEAM SEALING – ROOF

- A. Seam seal all roof lap seams on the interior after the topcoat is dry to the touch. Seal using a caulking gun filling all cracks less than 1 in. separation. Tool sealant as required.
- B. Payment will be on a lump sum basis.
- C. Payment will be a separate line item “Seam Sealer” which the Owner reserves the right to delete.

3.03 SCHEDULE OF WORK

A. Complete all exterior and interior welding prior to surface preparation.

SECTION 09 97 13.21.01

PIT PIPING STEEL COATING – TWO COAT EPOXY

PART 1 – GENERAL

1.01 SECTION INCLUDES

A. Painting the pit piping.

1.02 REFERENCES

A. SSPC and NACE Standards:

1. PA1 – Paint Application.
2. PA2 – Measurements and Calibration.
3. NACE RP 0178 Surface Finish Requirements.

1.03 WORK INCLUDED

A. Application of an epoxy system.

PART 2 – PRODUCTS

2.01 EPOXY SYSTEM

A. Approved suppliers and systems:

<u>Manufacturer</u>	<u>System</u>
Tnemec	V69/V69(stripe)/V69
Induron	PE-70/PE-70(stripe)/PE-70
Sherwin Williams	646FC/646FC(stripe)/646FC

PART 3 – EXECUTION

3.01 EPOXY SYSTEM

A. Apply to all prepared surfaces a two (2) coat epoxy system.

B. Surface preparation is defined in Section 09 97 13.10.

C. Apply each coat at the following rates:

<u>Coat</u>	<u>Minimum</u>	<u>Maximum</u>
	<u>D.F.T. (mils)</u>	<u>D.F.T. (mils)</u>
Primer	3.5	5.5
Stripe	1.5	2.5
Topcoat	<u>3.5</u>	<u>5.5</u>
Total	7.0*	11.0*

*Totals do not include the stripe coat.

- D. Stripe coat to be applied to all welds, angles, and sharp edges throughout the structure.
- E. Each full coat to be a different color from the previous coat and is to be approved by the engineer. No color bleedthrough should occur if proper application rates are observed.
- F. Apply all coats in uniform color and sheen without streaks, laps, runs, sags, cloudy, or missed areas. Correct all defects before application of the successive coat.
- G. Allow a minimum of twenty-four (24) hours between coats (including stripe coat). Additional time may be necessary if low temperatures require an increase in the necessary cure time.

SECTION 09 97 13.24.01

EXTERIOR STEEL COATING – THREE COAT EPOXY URETHANE OVERCOAT

PART 1 – GENERAL

1.01 SECTION INCLUDES

- A. Painting on the exterior.

1.02 REFERENCES

A. SSPC and NACE Standards:

- 1. PA1 – Paint Application.
- 2. NACE RP 0178 Surface Finish Requirements.

1.03 WORK INCLUDED

- A. Application of an epoxy urethane system.

PART 2 – PRODUCTS

2.01 EPOXY URETHANE OVERCOAT SYSTEM

- A. The contractor is advised to follow all requirements for safety concerning isocyanates.
- B. Ultraviolet protection additives mixed at factory only. There will be no tinting or addition of any material other than the manufacturer’s thinners.
- C. Approved suppliers and systems:

<u>Manufacturer</u>	<u>System</u>
Tnemec	V69(spot)/V69/1095/1094
Induron	PE-70(spot)/PE-70/I-6600/I-6600
Sherwin Williams	646FC(spot)/646FC/Acrolon 218/Acrolon Ultra

PART 3 – EXECUTION

3.01 EPOXY URETHANE OVERCOAT SYSTEM

- A. Apply to all prepared surfaces a three (3) coat epoxy urethane system.
- B. Surface preparation is defined in Section 09 97 13.10.

C. Apply each coat at the following rates:

<u>Coat</u>	Minimum <u>D.F.T. (mils)</u>	Maximum <u>D.F.T. (mils)</u>
Primer (spot)	2.0	3.0
Epoxy Intermediate	2.0	3.0
Urethane Intermediate	2.0	3.0
Topcoat	<u>2.0</u>	<u>3.0</u>
Total	8.0	12.0

D. Each full coat to be a different color from the previous coat and is to be approved by the engineer. No color bleedthrough should occur if proper application rates are observed.

E. Apply all coats in uniform color and sheen without streaks, laps, runs, sags, cloudy, or missed areas. Correct all defects before application of the successive coat.

F. Allow a minimum of twenty-four (24) hours between coats. Additional time may be necessary if low temperatures require an increase in the necessary cure time.

3.02 SCHEDULE OF WORK

A. Complete all exterior and interior welding prior to surface preparation.

SECTION 09 97 23.23.01

CONCRETE FOUNDATION COATING – TWO COAT EPOXY

PART 1 – GENERAL

1.01 SECTION INCLUDES

- A. Painting of the concrete foundation.

1.02 REFERENCES

- A. SSPC and NACE Standards:
 - 1. PA1 – Paint Application.
 - 2. PA2 – Measurements and Calibration.

1.03 WORK INCLUDED

- A. Application of an epoxy system.

PART 2 – PRODUCTS

2.01 EPOXY SYSTEM

- A. Approved suppliers and manufacturers:

<u>Manufacturer</u>	<u>System</u>
Tnemec	V69/V69
Induron	PE-70/PE-70
Sherwin Williams	646FC/646FC

PART 3 – EXECUTION

3.01 EPOXY SYSTEM

- A. Apply to all prepared areas a two (2) coat epoxy system.
- B. Remove soil 3” below grade around the entire foundation prior to coating, backfill once the topcoat is dry to the touch.
- C. Foundations to be water cleaned at 3,500 to 5,000 psi to remove all contaminants. Coating is to be applied no sooner than 72 hrs. after water cleaning.

- D. Apply each coat at the following rates:

<u>Coat</u>	<u>Min. D.F.T. (mils)</u>	<u>Max. D.F.T. (mils)</u>
Primer	3.5	5.5
Topcoat	<u>3.5</u>	<u>5.5</u>
Total	7.0	11.0

- E. Allow the manufacturer’s minimum time between coatings.
- F. Cost is incidental to exterior painting.



1) 1,500,000 gallon water storage reservoir (Alice Street) owned by the City of Wauwatosa, Wisconsin.

2) The concrete foundation is in good condition with no significant deterioration.



3) Same.



4) The grout between the steel baseplate and concrete foundation is in good condition.

5) The two sidewall manways are in good condition.



6) Same.



7) The overflow pipe exits the upper sidewall and routes below grade.

8) Same.



9) There is heavy mildew on the sidewall but no significant coating deterioration.



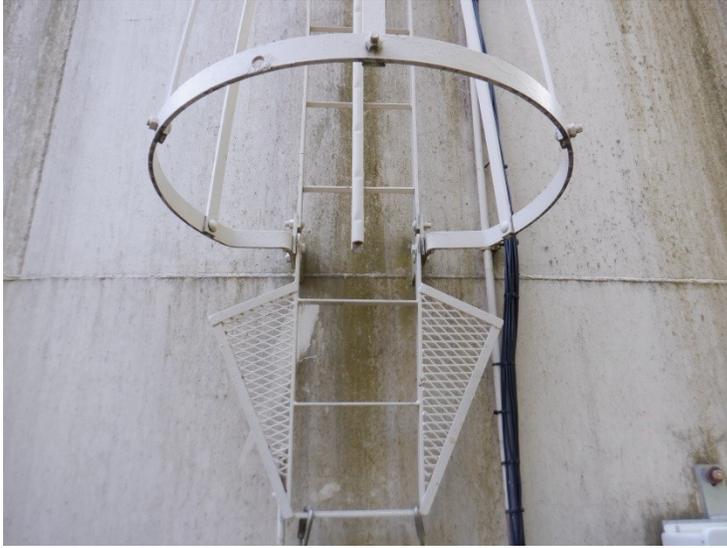
10) Same.



11) Same.



12) Same.



13) The vandal guard on the sidewall ladder is in good condition.

14) The sidewall ladder is in good condition. The ladder is equipped with a cage and a fall prevention device.



15) There are handrails on the roof on each side of the sidewall ladder. There is not a swing gate at the opening in the railing.



16) There was no gasket on the wet interior roof hatch.

17) A gasket was installed on the hatch during the inspection.



18) The roof coating is in good condition overall with no significant failures.



19) Same.



20) Same.



21) The handrail to the center of the tank is in good condition.



22) The roof rigging couplings are properly plugged.

23) The roof vent is in good condition.



24) The roof vent screen is in good condition but oversized.



25) There is a tall lattice type antenna mounting structure on the roof.

26) The attachment points for the three guyed wires at the edge of the roof for the antenna structure appear to be in good condition.



27) Same.



28) There are coating failures on the wet interior roof at the lap seams and roof stiffeners.

29) Same.



30) The wet interior roof coating is in fair condition overall.



31) The overflow elbow and upper sidewall stiffener are in good condition.

32) There is some topcoat delamination on the wet interior sidewall.



33) Same.



34) Same.

35) The two sidewall manways are in good condition.



36) Same.



37) There are no significant coating failures on the center column.

38) The center column base is in good condition.



39) The coating on the floor is in good condition with no failures.



40) Same.

41) The cathodic protection system appears to be in good condition.



42) One rope for the cathodic protection system is broken.



43) The fill pipe routes across the floor and discharges near the opposite sidewall.

44) The fill pipe discharge is in good condition.



45) There are no deflector bars over the draw pipe in the wet interior.



46) The metal hatches over the pit are in good condition.

47) The pit piping coating is in good condition with minor corrosion.



48) Same.